

# Remarks on proper conflict-free degree-choosability of graphs with prescribed degeneracy

Masaki Kashima <sup>a</sup>, Riste Škrekovski <sup>b,\*</sup>, Rongxing Xu <sup>c</sup>

<sup>a</sup> Faculty of Science and Technology, Keio University, Yokohama, Japan

<sup>b</sup> Faculty of Mathematics and Physics, University of Ljubljana, Faculty of Information Studies in Novo Mesto, and Rudolfovo - Science and Technology Centre Novo Mesto, Slovenia

<sup>c</sup> School of Mathematical and Science, Zhejiang Normal University, Jinhua, China

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## ABSTRACT

A proper coloring  $\phi$  of  $G$  is called a proper conflict-free coloring of  $G$  if for every non-isolated vertex  $v$  of  $G$ , there is a color  $c$  such that  $|\phi^{-1}(c) \cap N_G(v)| = 1$ . As an analogy of degree-choosability of graphs, we introduced the notion of proper conflict-free  $(\text{degree} + k)$ -choosability of graphs. For a non-negative integer  $k$ , a graph  $G$  is proper conflict-free  $(\text{degree} + k)$ -choosable if for any list assignment  $L$  of  $G$  with  $|L(v)| \geq d_G(v) + k$  for every vertex  $v \in V(G)$ ,  $G$  admits a proper conflict-free coloring  $\phi$  such that  $\phi(v) \in L(v)$  for every vertex  $v \in V(G)$ . In this note, we first remark if a graph  $G$  is  $d$ -degenerate, then  $G$  is proper conflict-free  $(\text{degree} + d + 1)$ -choosable. Furthermore, when  $d = 1$ , we can reduce the number of colors by showing that every tree is proper conflict-free  $(\text{degree} + 1)$ -choosable. This motivates us to state a question.

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## 1. Introduction

Throughout the paper, we only consider simple, finite, and undirected graphs. Let  $\mathbb{N}$  be the set of positive integers. For a positive integer  $k$ , let  $[k]$  denote the set of integers  $\{1, 2, \dots, k\}$ .

For a graph  $G$ , a mapping  $\phi$  from  $V(G)$  to  $\mathbb{N}$  is called a *proper coloring* of  $G$  if  $\phi(u) \neq \phi(v)$  for every edge  $uv \in E(G)$ . A proper coloring of a graph  $G$  in which every vertex of  $G$  maps to an integer in  $[k]$  is called a proper  $k$ -coloring of  $G$ .

Recently, Fabrici, Lužar, Rindošová, and Soták [6] introduced a new variation of coloring named proper conflict-free coloring of graphs. For a graph  $G$ , a mapping  $\phi$  from  $V(G)$  to  $\mathbb{N}$  is called a *proper conflict-free coloring* of  $G$  if  $\phi$  is a proper coloring of  $G$  and every non-isolated vertex  $v \in V(G)$  has a color  $c$  such that  $|\phi^{-1}(c) \cap N_G(v)| = 1$ , where  $N_G(v)$  is the (open) neighborhood of  $v$ . A proper conflict-free coloring of a graph  $G$  such that every vertex of  $G$  maps to an integer in  $[k]$  is called a *proper conflict-free  $k$ -coloring* of  $G$ . For a (partial) coloring  $\phi$  of  $G$  and a vertex  $v \in V(G)$ , let  $\mathcal{U}_\phi(v, G)$  denote the set of colors that appear exactly once in the neighborhood of  $v$ . Using this notation, a proper conflict-free coloring  $\phi$  of  $G$  is a proper coloring  $\phi$  of  $G$  such that  $\mathcal{U}_\phi(v, G) \neq \emptyset$  for every non-isolated vertex  $v \in V(G)$ . The *proper conflict-free chromatic number* of a graph  $G$ , denoted by  $\chi_{\text{pcf}}(G)$ , is the least integer  $k$  such that  $G$  admits a proper conflict-free  $k$ -coloring.

One major problem in proper conflict-free coloring is the following Brooks-type conjecture, which was posed by Caro, Petruševski, and Škrekovski [2].

\* Corresponding author.

E-mail addresses: [masaki.kashima10@gmail.com](mailto:masaki.kashima10@gmail.com) (M. Kashima), [skrekovski@gmail.com](mailto:skrekovski@gmail.com) (R. Škrekovski), [xurongxing@zjnu.edu.cn](mailto:xurongxing@zjnu.edu.cn) (R. Xu).

**Conjecture 1.** For every graph  $G$  with the maximum degree  $\Delta \geq 3$ ,  $\chi_{\text{pcf}}(G) \leq \Delta + 1$ .

This conjecture is widely open except for the case  $\Delta = 3$  by Liu and Yu [13] and some asymptotic results in the literature [3,4,7,11,12].

It is well known that the original Brooks' theorem was generalized to degree-choosability of graphs in Borodin [1] and Erdős, Rubin, and Taylor [5]. Following the same direction, we introduced the concept of proper conflict-free (degree +  $k$ )-choosability of graphs in [9], as follows.

A list assignment  $L$  of a graph  $G$  maps each vertex of  $G$  to a set of integers. For a mapping  $f$  from  $V(G)$  to positive integers, an  $f$ -list assignment of  $G$  is a list assignment  $L$  of  $G$  with  $|L(v)| \geq f(v)$  for every vertex  $v \in V(G)$ . In particular, if  $f$  is the constant map from  $V(G)$  to a positive integer  $k$ , an  $f$ -list assignment of  $G$  is called a  $k$ -list assignment of  $G$ .

For a given graph  $G$  and a list assignment  $L$  of  $G$ , a *proper conflict-free  $L$ -coloring* of  $G$  is a proper conflict-free coloring  $\phi$  of  $G$  such that  $\phi(v) \in L(v)$  for every vertex  $v \in V(G)$ . For a non-negative integer  $k$ , a graph  $G$  is *proper conflict-free (degree +  $k$ )-choosable* if  $G$  admits a proper conflict-free  $L$ -coloring for any  $f$ -list assignment of  $G$ , where  $f(v) = d_G(v) + k$  for every vertex  $v \in V(G)$ . It is natural to ask whether there is an absolute constant  $k$  such that every graph is proper conflict-free (degree +  $k$ )-choosable, but in fact, even the existence of a constant  $k$  such that  $\chi_{\text{pcf}}(G) \leq \Delta(G) + k$  for every graph  $G$  is unknown.

In this note, we focus on the proper conflict-free (degree +  $k$ )-choosability of graphs with a given degeneracy. For a positive integer  $d$ , a graph  $G$  is  $d$ -degenerate if every subgraph  $H$  of  $G$  satisfies  $\delta(H) \leq d$ . We first remark the following simple bound, which states the relationship between the degeneracy and proper conflict-free (degree +  $k$ )-choosability of graphs.

**Proposition 2.** If  $G$  is a  $d$ -degenerate graph for some positive integer  $d$ , then  $G$  is proper conflict-free (degree +  $d + 1$ )-choosable.

**Proof.** Let  $G$  be a  $d$ -degenerate graph of order  $n$ . Let  $L$  be a list assignment of  $G$  satisfying  $|L(v)| \geq d_G(v) + d + 1$  for each vertex  $v \in V(G)$ . Since  $G$  is  $d$ -degenerate, we label the vertices of  $G$  as  $v_1, v_2, \dots, v_n$  so that each vertex has at most  $d$  neighbors with smaller indices.

We color the vertices greedily in the order  $v_1, v_2, \dots, v_n$  as follows: For each  $i \in \{1, 2, \dots, n\}$ , we assign  $v_i$  a color from  $L(v_i)$  that differs from the colors of all smaller-indexed vertices that are either adjacent to  $v_i$  or are the smallest-indexed neighbor of a vertex adjacent to  $v_i$ . Note that at most  $d$  colors are forbidden by the previously colored neighbors and at most  $d_G(v_i)$  colors are forbidden by the smallest-indexed neighbors of  $N_G(v_i)$ , and hence at least one color is available for  $v_i$ . It is obvious that the obtained coloring is a proper coloring of  $G$ . Furthermore, for each vertex of  $G$ , the color of the smallest-indexed neighbor appears exactly once in its neighbors, and hence we obtain a proper conflict-free  $L$  coloring of  $G$ .  $\square$

This improves a bound from Cranston and Liu [4] of a Brooks-type statement. One may ask whether the bound is best possible. As we saw previously, the 5-cycle is 2-degenerate and not proper conflict-free (degree + 2)-choosable, and hence we cannot improve the bound in Proposition 2 in general. On the other hand, when  $d = 1$ , we show the following result, that states that the bound can be reduced to (degree +  $d$ ).

**Theorem 3.** Every tree is proper conflict-free (degree + 1)-choosable.

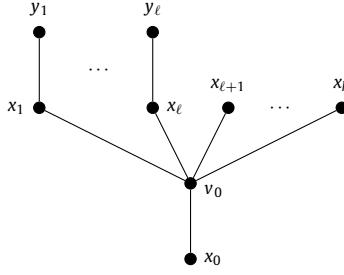
The proof of the above theorem is given in the next section. The bound (degree + 1) cannot be reduced to (degree + 0). Indeed, let us consider a star  $K_{1,n-1}$  ( $n \geq 3$ ) with the center  $v_0$  and leaves  $v_1, v_2, \dots, v_{n-1}$ , and let  $L$  be a list assignment of  $K_{1,n-1}$  such that  $|L(v_0)| = n - 1$  and  $L(v_1) = L(v_2) = \dots = L(v_{n-1}) = \{1\}$ . Then the center  $v_0$  must have  $n - 1$  neighbors with color 1 no matter what list  $v_0$  has, and hence  $K_{1,n-1}$  is not proper conflict-free  $L$ -colorable.

Similarly, we can construct a 2-degenerate graph that is not proper conflict-free (degree + 1)-choosable. Let  $G$  be a graph obtained by  $n$  copies  $C_1, C_2, \dots, C_n$  of the 4-cycle ( $n \geq 1$ ) by identifying the vertices  $v_1, v_2, \dots, v_n$  into a vertex  $v$ , where  $v_i$  is a vertex of  $C_i$ . Obviously  $G$  is 2-degenerate. Let  $L$  be a list assignment of  $G$  such that  $L(v) = \{1, 2, \dots, 2n + 1\}$  and each vertex of  $V(C_i) \setminus \{v_i\}$  has a list  $\{1, 2i, 2i + 1\}$ . Then, by the conflict-free condition of vertices of the  $i$ th copy, colors  $\{1, 2i, 2i + 1\}$  are forbidden for  $v$ , and hence there is no color left for  $v$ . Hence,  $G$  is not proper conflict-free  $L$ -colorable.

Considering Theorem 3 and these constructions, we ask whether the following may hold.

**Conjecture 4.** If  $G$  is a  $d$ -degenerate graph for some positive integer  $d$  and  $G$  is not isomorphic to the 5-cycle, then  $G$  is proper conflict-free (degree +  $d$ )-choosable.

The above constructions imply that the bound in Conjecture 4 is best possible for  $d = 1, 2$ . Also, by Theorem 3, Conjecture 4 holds for the case  $d = 1$ . For other values of  $d$ , we know that every connected outerplanar graph other than the 5-cycle is 2-degenerate and proper conflict-free (degree + 2)-choosable [8], and that every planar graph is 5-degenerate and proper conflict-free (degree + 5)-choosable [10], which will appear in separate papers.

Fig. 1. A reducible structure of  $T$ .

## 2. Proof of Theorem 3

Suppose that the statement is false, and let  $T$  be a minimum counterexample. Obviously,  $|V(T)| \geq 3$ . Let  $L$  be a list assignment of  $T$  such that  $|L(v)| = d_T(v) + 1$  for every vertex  $v \in V(T)$  and  $T$  is not proper conflict-free  $L$ -colorable.

**Claim 1.** Let  $v_1 v_2 v_3$  be a path of length 2 of  $T$  with  $d_T(v_1) = 1$  and  $d_T(v_2) = 2$ . Then  $L(v_1) \subseteq L(v_2)$ .

**Proof.** Assume to the contrary that  $L(v_1) \setminus L(v_2) \neq \emptyset$ . Take a color  $\alpha \in L(v_1) \setminus L(v_2)$ . Let  $T' = T - \{v_1, v_2\}$  and let  $L'$  be a list assignment of  $T'$  defined by  $L'(v_3) = L(v_3) \setminus \{\alpha\}$  and  $L'(v) = L(v)$  for every  $v \in V(T') \setminus \{v_3\}$ . Note that  $|L'(v)| \geq d_{T'}(v) + 1$  for every  $v \in V(T')$ . By the minimality of  $T$ ,  $T'$  admits a proper conflict-free  $L'$ -coloring  $\phi$ . Let  $\phi(v_3) = \beta \neq \alpha$  and let  $\gamma$  be a color in  $\mathcal{U}_\phi(v_3, T')$ . By setting  $\phi(v_1) = \alpha$  and choosing  $\phi(v_2) \in L(v_2) \setminus \{\beta, \gamma\}$ ,  $\phi$  can be extended to a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.  $\square$

**Claim 2.**  $T$  does not have a path  $v_1 v_2 v_3 v_4$  of length 3 with  $d_T(v_1) = 1$  and  $d_T(v_2) = d_T(v_3) = 2$ .

**Proof.** Assume to the contrary that  $T$  has such a path  $v_1 v_2 v_3 v_4$ . By Claim 1, we know that  $L(v_1) \subseteq L(v_2)$ . As  $|L(v_1)| = 2$  and  $|L(v_2)| = 3$ , let  $\alpha$  be a color in  $L(v_2) \setminus L(v_1)$ . Let  $T' = T - \{v_1, v_2, v_3\}$  and let  $L'$  be a list assignment of  $T'$  defined by  $L'(v_4) = L(v_4) \setminus \{\alpha\}$  and  $L'(v) = L(v)$  for every  $v \in V(T') \setminus \{v_4\}$ . By the minimality of  $T$ ,  $T'$  admits a proper conflict-free  $L'$ -coloring  $\phi$ . Let  $\phi(v_4) = \beta \neq \alpha$  and let  $\gamma$  be a color in  $\mathcal{U}_\phi(v_4, T')$ . Note that it is possible that  $\gamma = \alpha$ . We choose  $\phi(v_3) \in L(v_3) \setminus \{\beta, \gamma\}$ . We let  $\phi(v_2) = \alpha$  if  $\phi(v_3) \neq \alpha$ , and let  $\phi(v_2)$  be a color in  $L(v_2) \setminus \{\phi(v_3), \beta\}$  otherwise. In either case, one of  $v_2$  and  $v_3$  is colored with  $\alpha$ , which is not in  $L(v_1)$ . Since  $|L(v_1) \setminus \{\phi(v_2), \phi(v_3)\}| \geq 1$ , we can choose  $\phi(v_1) \in L(v_1) \setminus \{\phi(v_2), \phi(v_3)\}$ , and hence  $\phi$  can be extended to a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.  $\square$

By Claim 2,  $T$  has a vertex  $v_0$  of degree at least 3 such that each component of  $T - v_0$  except one component is isomorphic to  $K_1$  or  $K_2$ . Let  $N_T(v_0) = \{x_0, x_1, \dots, x_k\}$ . Note that  $k = d_T(v_0) - 1 \geq 2$ . For each  $i \in \{0, 1, \dots, k\}$ , let  $T_i$  denote the component of  $T - v_0$  that contains  $x_i$ . Without loss of generality, we may assume that  $T_i$  is isomorphic to  $K_2$  for every  $i \in \{1, 2, \dots, \ell\}$  and  $T_i$  is isomorphic to  $K_1$  for every  $i \in \{\ell + 1, \ell + 2, \dots, k\}$ , where  $\ell$  is a positive integer at most  $k$ . For each  $i \in \{1, 2, \dots, \ell\}$ , let  $V(T_i) = \{x_i, y_i\}$  (Fig. 1).

By Claim 1, we have  $L(y_i) \subseteq L(x_i)$  for each  $i \in \{1, 2, \dots, \ell\}$ . Thus, we let  $L(x_i) = \{\alpha_i, \beta_i, \gamma_i\}$  and  $L(y_i) = \{\beta_i, \gamma_i\}$  for each  $i \in \{1, 2, \dots, \ell\}$ , and let  $L(x_i) = \{\alpha_i, \beta_i\}$  for each  $i \in \{\ell + 1, \ell + 2, \dots, k\}$ .

In the rest of the proof, we take a proper conflict-free coloring of  $T' := T - \left(\bigcup_{i=1}^k V(T_i) \cup \{v_0\}\right)$  and extend it to  $T$ .

We first consider relatively simple three cases. In the following three cases, let  $\phi$  be a proper conflict-free  $L$ -coloring of  $T'$ . Let  $\alpha = \phi(x_0)$  and let  $\beta$  be a color in  $\mathcal{U}_\phi(x_0, T')$ .

**Case 1.**  $k = 2$ .

Note that  $d_T(v_0) = 3$  in this case. If  $\ell = 0$ , then we let  $\phi(x_2) \in L(x_2) \setminus \{\alpha\}$ ,  $\phi(v_0) \in L(v_0) \setminus \{\alpha, \beta, \phi(x_2)\}$ , and  $\phi(x_1) \in L(x_1) \setminus \{\phi(v_0)\}$ . If  $\ell = 1$ , then we let  $\phi(x_2) \in L(x_2) \setminus \{\alpha\}$ ,  $\phi(v_0) \in L(v_0) \setminus \{\alpha, \beta, \phi(x_2)\}$ ,  $\phi(y_1) \in L(y_1) \setminus \{\phi(v_0)\}$ , and  $\phi(x_1) \in L(x_1) \setminus \{\phi(v_0), \phi(y_1)\}$ . In either case, since  $d_T(v_0) = 3$  and at least two colors appear in the neighbors of  $v_0$ , we have  $\mathcal{U}_\phi(v_0, T) \neq \emptyset$ . Thus, we obtain a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

Now assume that  $\ell = 2$ . We consider another list assignment  $L'$  of  $T'$ . If  $\alpha_1 = \alpha_2$ , then let  $L'$  be a list assignment of  $T'$  defined by  $L'(x_0) = L(x_0) \setminus \{\alpha_1\}$  and  $L'(v) = L(v)$  for every  $v \in V(T') \setminus \{x_0\}$ . Otherwise, let  $L' = L$ . Note that  $|L'(v)| \geq d_{T'}(v) + 1$  for every  $v \in V(T')$ . By the minimality of  $T$ ,  $T'$  admits a proper conflict-free  $L'$ -coloring  $\phi'$ . Let  $\phi'(x_0) = \alpha'$  and let  $\beta'$  be a color in  $\mathcal{U}_{\phi'}(x_0, T')$ . By the definition of  $L'$ , either  $\alpha_1 \neq \alpha'$  or  $\alpha_2 \neq \alpha'$  holds. Without loss of generality, we may assume that  $\alpha_1 \neq \alpha'$ . Then let  $\phi'(x_1) = \alpha_1$ ,  $\phi'(v_0) \in L(v_0) \setminus \{\alpha', \beta', \alpha_1\}$ ,  $\phi'(y_1) \in L(y_1) \setminus \{\phi'(v_0)\}$  for  $i = 1, 2$ , and  $\phi'(x_2) \in L(x_2) \setminus \{\phi'(v_0), \phi'(y_2)\}$ . It is easy to verify that  $\phi'$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

**Case 2.**  $k \geq 3$  and  $\ell = k$ .

For each color  $c \in L(v_0) \setminus \{\alpha, \beta\}$ , let  $I_c = \{i \mid 1 \leq i \leq \ell, c \in L(x_i)\}$ . Since  $|L(v_0) \setminus \{\alpha, \beta\}| \geq k$  and  $\sum_{c \in L(v_0) \setminus \{\alpha, \beta\}} |I_c| \leq \sum_{i=1}^{\ell} |L(y_i)| = 2\ell$ , there is a color  $\gamma \in L(v_0) \setminus \{\alpha, \beta\}$  such that  $|I_\gamma| \leq \frac{2\ell}{k} = 2$ . Set  $\phi(v_0) = \gamma$ . For each  $i \in I_\gamma$ , let  $\phi(y_i) \in L(y_i) \setminus \{\gamma\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\gamma, \phi(y_i)\}$ . Since  $|I_\gamma| \leq 2 < \ell$ , we may assume that  $1 \notin I_\gamma$ .

Now we color remaining vertices. Since there are at most three colored neighbors of  $v_0$  including  $x_0$ , either (a) there is a color  $\alpha'$  that appears exactly once in the colored neighbors of  $v_0$ , or (b) all neighbors of  $v_0$  are colored by  $\alpha$ . For each case, we color the neighbors of  $v_i$  in the following manner:

- If (a), then let  $\phi(x_i) \in L(x_i) \setminus \{\gamma, \alpha'\}$  for each  $i \in \{1, 2, \dots, \ell\} \setminus I_\gamma$ .
- If (b), then let  $\phi(x_1) \in L(x_1) \setminus \{\gamma, \alpha\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\gamma, \phi(x_1)\}$  for each  $i \in \{2, 3, \dots, \ell\} \setminus I_\gamma$ .

Then, we have  $\alpha' \in \mathcal{U}_\phi(v_0, G)$  if (a), and  $\phi(x_1) \in \mathcal{U}_\phi(v_0, G)$  if (b). Finally, for each  $i \in \{1, 2, \dots, \ell\} \setminus I_\gamma$ , we choose  $\phi(y_i) \in L(y_i) \setminus \{\phi(x_i)\}$ . Since  $\gamma \notin L(y_i)$  for each  $i \in \{1, 2, \dots, \ell\} \setminus I_\gamma$ , we know that  $\phi(y_i) \neq \gamma = \phi(v_0)$  and hence  $\gamma \in \mathcal{U}_\phi(x_i, G)$ . Thus,  $\phi$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

**Case 3.**  $k \geq 3$  and  $\ell = k - 1$ .

We define the color  $\gamma$  and the set  $I_\gamma$  similarly to Case 2. Note that the colors in  $L(x_k)$  are not considered when we define  $\gamma$  and  $I_\gamma$  in this case. By the assumption of this case and the choice of the color  $\gamma$ , we know that  $|I_\gamma| \leq \left\lfloor \frac{2\ell}{k} \right\rfloor = 1$ . Note that  $d_G(x_k) = 1$  and  $d_G(x_i) = 2$  for each  $i \leq k - 1$ .

Set  $\phi(v_0) = \gamma$ . We let  $\phi(y_i) \in L(y_i) \setminus \{\gamma\}$  and  $\phi(x_i) \in L(x_i) \setminus \{\gamma, \phi(y_i)\}$  for  $i \in I_\gamma$ , and let  $\phi(x_k) \in L(x_k) \setminus \{\gamma\}$ . Then, the number of colored neighbors of  $v_0$  is equal to  $|I_\gamma \cup \{x_0, x_k\}| = |I_\gamma| + 2 \leq 3$ . Therefore, by a similar argument as in Case 2, we can extend  $\phi$  to a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

By the above three cases, we may assume that  $k \geq 3$  and  $\ell \leq k - 2$ . We set  $X = \{x_1, x_2, \dots, x_k\}$ . Let  $L(X) = \bigcup_{x \in X} L(x)$  and let  $\tilde{L}(v_0) = L(v_0) \setminus L(X)$ . We consider two cases depending on whether  $|\tilde{L}(v_0)| \geq 2$  or not.

**Case 4.**  $|\tilde{L}(v_0)| \geq 2$ .

By Claim 1,  $\tilde{L}(v_0) \cap L(y_i) = \tilde{L}(v_0) \cap L(x_i) = \emptyset$  for every  $i \in \{1, 2, \dots, \ell\}$ . We fix a color  $\gamma \in \tilde{L}(v_0)$ , and let  $L'$  be a list assignment of  $T'$  defined by  $L'(x_0) = L(x_0) \setminus \{\gamma\}$  and  $L'(v) = L(v)$  for every  $v \in V(T') \setminus \{x_0\}$ . By the minimality of  $T$ ,  $T'$  admits a proper conflict-free  $L'$ -coloring  $\phi$ . Let  $\phi(x_0) = \alpha$  and let  $\beta$  be a color in  $\mathcal{U}_\phi(x_0, T')$ . Note that it is possible that  $\beta = \gamma$ .

If  $\tilde{L}(v_0) \setminus \{\alpha, \beta\} \neq \emptyset$ , then let  $\phi(v_0) \in \tilde{L}(v_0) \setminus \{\alpha, \beta\}$ , let  $\phi(x_i) \in L(x_i) \setminus \{\alpha\}$  for each  $i \in \{1, 2, \dots, k\}$  and let  $\phi(y_i) \in L(y_i) \setminus \{\phi(x_i)\}$  for each  $i \in \{1, 2, \dots, \ell\}$ . This extends  $\phi$  to a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

Thus, we infer that  $\tilde{L}(v_0) \setminus \{\alpha, \beta\} = \emptyset$ , which implies that  $\alpha \in \tilde{L}(v_0)$  and  $\beta = \gamma$ . Then we choose  $\phi(v_0) \in L(v_0) \setminus \{\alpha, \beta\}$  arbitrarily, let  $\phi(y_i) \in L(y_i) \setminus \{\phi(v_0)\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0), \phi(y_i)\}$  for each  $i \in \{1, 2, \dots, \ell\}$ , and let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0)\}$  for each  $i \in \{\ell + 1, \ell + 2, \dots, k\}$ . Since  $\alpha \notin L(X)$ , we have  $\alpha \in \mathcal{U}_\phi(v_0, T)$  and hence  $\phi$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

**Case 5.**  $|\tilde{L}(v_0)| \leq 1$ .

The assumption of this case implies that  $|L(X)| \geq |L(v_0)| - 1 \geq k + 1$ . For each color  $c \in L(X)$ , let  $J_c = \{i \mid 1 \leq i \leq k, c \in L(x_i)\}$ . Note that  $J_c \neq \emptyset$  for any color  $c \in L(X)$ . Let  $\gamma$  be a color in  $L(X)$  such that  $|J_\gamma|$  is the smallest among all colors in  $L(X)$ . Since  $|L(X)| \geq k + 1$  and  $\sum_{c \in L(X)} |J_c| = \sum_{i=1}^k |L(x_i)| = 2k + \ell$ , we have  $|J_\gamma| \leq \left\lfloor \frac{2k+\ell}{k+1} \right\rfloor \leq 2$ . In particular, if  $\ell \leq 1$ , then  $|J_\gamma| = 1$ . Let  $L'$  be a list assignment of  $T'$  defined by  $L'(x_0) = L(x_0) \setminus \{\gamma\}$  and  $L'(v) = L(v)$  for every  $v \in V(T') \setminus \{x_0\}$ . By the minimality of  $T$ ,  $T'$  admits a proper conflict-free  $L'$ -coloring  $\phi$ . Let  $\alpha = \phi(x_0)$  and let  $\beta$  be a color in  $\mathcal{U}_\phi(x_0, T')$ .

**Subcase 5.1.**  $\ell \leq 1$ .

Let  $J_\gamma = \{p\}$ . We set  $\phi(x_p) = \gamma$  and let  $\phi(y_p) \in L(y_p) \setminus \{\phi(x_p)\}$  if  $y_p$  exists. Since  $|L(v_0)| = k + 2 \geq 5$ , we choose  $\phi(v_0) \in L(v_0) \setminus \{\alpha, \beta, \gamma, \phi(y_p)\}$ . For  $i \in \{1, 2, \dots, \ell\} \setminus \{p\}$ , we let  $\phi(y_i) \in L(y_i) \setminus \{\phi(v_0)\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0), \phi(y_i)\}$ . For  $i \in \{\ell + 1, \ell + 2, \dots, k\} \setminus \{p\}$ , let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0)\}$ . Since  $\gamma \in \mathcal{U}_\phi(v_0, T)$ ,  $\phi$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

**Subcase 5.2.**  $\ell \geq 2$ .

The assumption of the subcase, together with the assumption  $\ell \leq k - 2$ , implies that  $k \geq \ell + 2 \geq 4$ . If there is a color  $c \in L(X)$  with  $|J_c| \leq 1$ , then we argue in a similar way as in the previous Subcase 5.1. We may now assume that  $|J_c| \geq 2$  for every color  $c \in L(X)$ , and in particular we know that  $|J_\gamma| = 2$ . Let  $J_\gamma = \{p, q\}$  for some  $1 \leq p < q \leq k$ .

Suppose first that  $k \geq 5$ . We let  $\phi(x_p) = \gamma$ ,  $\phi(x_q) \in L(x_q) \setminus \{\gamma\}$ , and for each  $i \in \{p, q\}$ , let  $\phi(y_i) \in L(y_i) \setminus \{\phi(x_i)\}$  if  $y_i$  exists. Since  $|L(v_0)| = k + 2 \geq 7$ , we choose  $\phi(v_0) \in L(v_0)$  distinct from  $\alpha, \beta, \gamma, \phi(x_q)$ , and also distinct from  $\phi(y_p)$  and  $\phi(y_q)$  in case they are defined. For  $i \in \{1, 2, \dots, \ell\} \setminus \{p, q\}$ , we let  $\phi(y_i) \in L(y_i) \setminus \{\phi(v_0)\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0), \phi(y_i)\}$ . For  $i \in \{\ell + 1, \ell + 2, \dots, k\} \setminus \{p, q\}$ , let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0)\}$ . Since  $\gamma \in \mathcal{U}_\phi(v_0, T)$ ,  $\phi$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

Now we may assume that  $k = 4$ . Since  $\ell \leq k - 2 = 2$  and  $2(k + 1) \leq \sum_{c \in L(X)} |J_c| = \sum_{i=1}^k |L(x_i)| = 2k + \ell$ , we infer that  $\ell = 2$  and  $|J_c| = 2$  for every  $c \in L(X)$ . Thus, without loss of generality, we may assume that  $q = 4$ , which implies that  $d_T(x_q) = 1$ . Then we let  $\phi(x_q) = \gamma$ ,  $\phi(x_p) \in L(x_p) \setminus \{\gamma\}$ , and let  $\phi(y_p) \in L(y_p) \setminus \{\phi(x_p)\}$  if  $y_p$  exists. Since  $|L(v_0)| = k + 2 = 6$ , we choose  $\phi(v_0) \in L(v_0)$  distinct from  $\alpha, \beta, \gamma, \phi(x_p)$ , and also distinct from  $\phi(y_p)$  in case it is defined. For  $i \in \{1, 2\} \setminus \{p\}$ , we let  $\phi(y_i) \in L(y_i) \setminus \{\phi(v_0)\}$  and let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0), \phi(y_i)\}$ . For  $i \in \{3, 4\} \setminus \{p, q\}$ , let  $\phi(x_i) \in L(x_i) \setminus \{\phi(v_0)\}$ . Since  $\gamma \in \mathcal{U}_\phi(v_0, T)$ ,  $\phi$  is a proper conflict-free  $L$ -coloring of  $T$ , a contradiction.

This completes the proof of Theorem 3.

## Declaration of competing interest

There is no competing interest.

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## Data availability

No data was used for the research described in the article.

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