



The σ -irregularity of trees with maximum degree 5

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ABSTRACT

The σ -irregularity, a variant of the well-established Albertson irregularity, is a topological invariant defined for a graph $G = (V, E)$ as $\sigma(G) = \sum_{uv \in E} (d(u) - d(v))^2$, where $d(u)$ and $d(v)$ denote the degrees of vertices u and v , respectively. Recent research has successfully characterized chemical trees with the maximum σ -irregularity. In this paper, we expand upon this research by establishing several structural properties of maximal trees with prescribed maximum degree Δ . Application of these properties enables us to characterize maximal trees with $\Delta = 5$. We establish that extremal trees contain only vertices of degrees 1, 2 and Δ . Moreover, the number of edges with both end-vertices having the degree 2 or Δ is very small, so almost all edges have the (second) maximum possible contribution to σ -irregularity. We believe this property or similar should extend to maximal trees for any value of Δ , so this is an interesting direction for further research.

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1. Introduction

Let $G = (V, E)$ be a graph with the vertex set $V = V(G)$ and the edge set $E = E(G)$. Unless explicitly stated otherwise, all graphs in this paper are assumed to be simple and finite. The *degree* $d_G(v)$ of a vertex $v \in V(G)$ is defined to be the number of neighbors of v in G . For a pair of vertices $u, v \in V(G)$, by $d_G(u, v)$ we denote the *distance* of these two vertices, i.e. the length of a shortest path connecting them. If the graph G is clear from context, we omit the subscript G . A graph G is *regular* if all its vertices have a same degree, otherwise it is said to be *irregular*. The concept of irregularity has been widely researched within various scientific fields such as chemistry and network theory [6,8–10,12,17,18].

One of the well-known irregularity measures of a graph G is the *Albertson irregularity* [4] denoted by $\text{irr}(G)$ and defined as follows

$$\text{irr}(G) = \sum_{uv \in E(G)} |d_G(u) - d_G(v)|.$$

This index received considerable attention from the scientific community, we refer here to a selection of relevant studies [1,2,4,5,12,14].

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A downside of the Albertson irregularity is the inherent need to calculate absolute values, hence it is natural to propose a similar index, the so-called σ -irregularity, defined for a graph G by

$$\sigma(G) = \sum_{uv \in E(G)} (d_G(u) - d_G(v))^2.$$

Some fundamental properties of the σ -irregularity were given by Gutman et al. in [13], such as the following basic relation

$$\sigma(G) = F(G) - 2M_2(G), \quad (1)$$

where $F(G)$ denotes the *forgotten index* defined by

$$F(G) = \sum_{u \in V(G)} d(u)^3,$$

while $M_2(G)$ denotes the *second Zagreb index* defined by

$$M_2(G) = \sum_{uv \in E(G)} d(u)d(v).$$

In [3], a characterization of graphs with the maximum value of σ -irregularity is provided, and also some lower bounds on the σ -irregularity. In [3,13], the inverse problem for σ -irregularity is solved, i.e., the problem of establishing the existence of a graph with the σ -irregularity equal to a given non-negative integer. The research of the relation of σ -irregularity with some other well-known irregularity measures is conducted by Réti in [16]. Also, in a recent paper [7] the characterization of graphs with a prescribed degree sequence having extremal σ -irregularity is given. The so called total σ -irregularity, which is a variant of σ -irregularity, has also recently been studied [11,15].

A graph G is said to be *chemical* if the maximum vertex degree in G is 4. A *tree* is a connected acyclic graph. Among the (chemical) trees explored in [3], the path graph is shown to have the smallest σ -irregularity. In [19], the characterization of chemical trees with maximal σ -irregularity was provided. Here, we extend this outcome by giving several properties of trees with prescribed maximum degree Δ exhibiting maximal σ -irregularity. The application of these properties to the case $\Delta = 5$ yields a characterization of maximal trees.

Before delving into properties of maximal trees with respect to σ -irregularity, we introduce the necessary additional notation and preliminaries. In a tree T , a k -vertex is a vertex of degree k . Particularly, a *leaf* is a vertex of degree 1. A vertex of a tree T is an *internal leaf* if it has precisely one neighbor with a degree greater than 1. Notice that an internal leaf of T is a leaf in the tree T' obtained from T by removing all leaves, hence the name. A vertex with degree at least 3 will be called a *big vertex*. The number of vertices in T of degree i is denoted by n_i , where i ranges from 1 to Δ . Similarly, the number of edges in T with end-vertices of degrees i and j , for $1 \leq i \leq j \leq \Delta$, is denoted by $m_{i,j}$. If T is a tree with maximal σ -irregularity on a given number of vertices n , we refer to it as a *maximal tree*.

The present paper is organized as follows. In the next section we give several properties of maximal trees with prescribed maximum degree Δ , for any $\Delta \geq 3$. In the third section, these properties are applied to the case $\Delta = 5$ for which the characterization of maximal trees is obtained.

2. Properties of maximal trees for general Δ

In this section we will establish several properties of maximal trees with the maximum degree Δ , which hold for any $\Delta \geq 3$. We start with the following proposition which will be a useful tool in proving further properties of maximal trees and it stems from a simple tree transformation.

Proposition 1. *Let T be a maximal tree with the maximum degree Δ , and $P = ux \cdots yv$ a path in T . If $d(u) > d(v)$, then $d(x) \leq d(y)$. Also, if $d(x) > d(y)$, then $d(u) \leq d(v)$.*

Proof. To prove the first claim of the proposition, suppose that $d(u) > d(v)$. If $d(u, v) = 1$, then $x = v$ and $y = u$, so $d(x) = d(v) < d(u) = d(y)$, and the claim holds. Next, if $d(u, v) = 2$, then $x = y$ which implies $d(x) = d(y)$, so the claim also holds. Hence, let us assume that $d(u, v) \geq 3$. Assume to the contrary that $d(x) > d(y)$. Let T' be the tree obtained from T by removing edges ux and yv , and adding edges uy and xv instead. Notice that

$$\begin{aligned} \sigma(T') - \sigma(T) &= (d(u) - d(y))^2 - (d(u) - d(x))^2 + (d(v) - d(x))^2 - (d(v) - d(y))^2 \\ &= 2(d(u) - d(v))(d(x) - d(y)) > 0, \end{aligned}$$

which contradicts to T being maximal.

Now, let us prove the second claim of the proposition. Suppose that $d(x) > d(y)$, which is only possible if $x \neq y$. Assume to the contrary that $d(u) > d(v)$. Then again for the tree $T' = T - ux - yv + yu + xv$ we have

$$\sigma(T') - \sigma(T) = 2(d(u) - d(v))(d(x) - d(y)) > 0,$$

a contradiction. ■

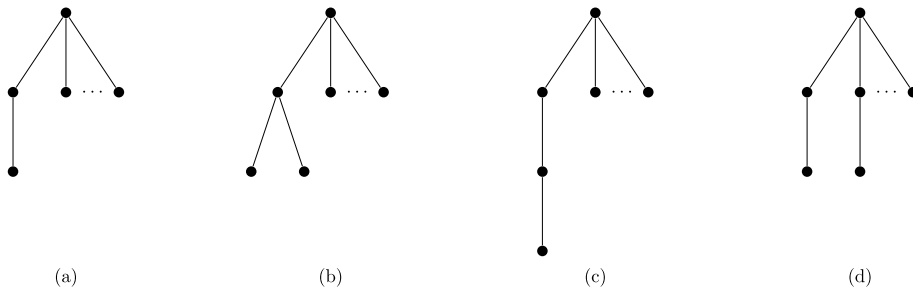


Fig. 1. Trees T of maximal-degree Δ on $n = \Delta + 2$ and $n = \Delta + 3$ vertices.

The next property we wish to establish is that all internal leaves of maximal trees have maximum degree Δ . The property holds provided that the number of vertices in a tree is sufficiently large, and it will be extensively used in the next section where we will establish maximal trees for $\Delta = 5$.

To arrive at this result, we need the following three lemmas.

Lemma 2. *Let T be a maximal tree with maximum degree $\Delta \geq 3$. If T contains at least two big vertices, then $m_{2,1} = 0$.*

Proof. Assume to the contrary, that T does contain an edge uv with $d(u) = 2$ and $d(v) = 1$. Let x be the big vertex closest to u , and let y be the neighbor of x which is not a leaf, such that the path P connecting y and v contains x , i.e., $P = yx \cdots uv$. Notice that such a vertex y must exist, since T contains at least two big vertices, and there is no big vertex on the path connecting x and v . Since $d(v) < d(y)$, Proposition 1 implies $d(u) \geq d(x)$, a contradiction. ■

There is only one tree T of maximum degree Δ with $n = \Delta + 1$ vertices, obviously the star; and also one such T on $n = \Delta + 2$ vertices, see Fig. 1(a). If $n = \Delta + 3$, we have three non-isomorphic trees, shown in Fig. 1(b)–(d), and the maximum of $\sigma(\cdot)$ is attained by the tree in (c).

Let us show that a maximal tree T with the sufficiently many vertices does have at least two big vertices. This is established by the following lemma.

Lemma 3. *Let T be a maximal tree on n vertices with maximum degree $\Delta \geq 3$. If $n \geq \Delta + 4$, then T contains at least two big vertices.*

Proof. Assume to the contrary that T does not contain two big vertices. Then T has precisely one vertex u of degree Δ , and so it consists of Δ pending paths attached to u .

First suppose that one of these pending paths has length at least 4, say

$$P = u \cdots v_3 v_2 v_1 v_0, \quad d(v_0) = 1, \quad d(v_1) = d(v_2) = d(v_3) = 2.$$

If we modify T by deleting the edge $v_0 v_1$ and adding $v_0 v_2$, we obtain a new tree

$$T' = T - v_0 v_1 + v_0 v_2.$$

In T' , the degree of v_2 increases from 2 to 3, while v_1 decreases from 2 to 1. Thus, $\sigma(T') > \sigma(T)$, contradicting the maximality of T . Hence no pending path may have length at least 4.

Next, suppose there are two distinct pending paths, each of length 2 or 3, say

$$v_0 v_1 \cdots u, \quad uv_2 \cdots v_3,$$

with $d(v_1) = d(v_2) = 2$ and $d(v_0) = d(v_3) = 1$. Applying Proposition 1 on the subpath $v_0 v_1 \cdots uv_2$ we obtain that vertex v_1 has to be big, a contradiction.

From the above, it follows that T has at most one pending path of length 3 and all others are of length 1, and consequently

$$n \leq \Delta + 3.$$

This contradicts the assumption $n \geq \Delta + 4$, which establishes the claim. ■

An immediate consequence of Lemmas 2 and 3 is the following corollary.

Corollary 4. *Let T be a maximal tree on n vertices with maximum degree $\Delta \geq 3$. If $n \geq \Delta + 4$, then $m_{1,2} = 0$.*

The next important step towards the result we aim at, is the following lemma in which we establish that almost all internal vertices of a maximal tree have the degree Δ .

Lemma 5. Let T be a maximal tree on n vertices with maximum degree $\Delta \geq 3$. If $n \geq \Delta + 4$, then at most one internal leaf of T has the degree smaller than Δ .

Proof. The assumption $n \geq \Delta + 4$ implies that T contains at least two distinct internal leaves. Assume to the contrary, that at least two internal leaves have the degree less than Δ . Let u and v be two such internal leaves at the maximum possible distance, and we may assume $d(u) \geq d(v)$. If u and v are neighbors, then u and v are the only non-leaf vertices of T . Since the maximum degree of T is Δ , at least one of u and v must have the degree Δ , say u . So, v is the only internal leaf of T which may have the degree smaller than Δ , which proves the claim in this case.

Assume next that u and v are not neighbors, i.e., $d(u, v) \geq 2$. Denote the neighbors of u by u_i , for $i = 1, \dots, d(u)$, so that u_i is a leaf for $i \geq 2$. Similarly, let the neighbors of v be denoted by v_i , for $i = 1, \dots, d(v)$, so that v_i is a leaf for $i \geq 2$. Since $n \geq \Delta + 4$, Lemma 3 implies that T contains at least two big vertices. Lemma 2 further implies that every leaf of T is a neighbor of a vertex of degree at least three. This means that $d(u) \geq 3$ and $d(v) \geq 3$. Recall that we assumed $d(u) \geq d(v)$. If $d(u) > d(v)$, then Proposition 1 implies $d(u_1) \leq d(v_1)$. If $d(u) = d(v)$ and $d(u_1) > d(v_1)$, then we swap labels of u and v , and also u_i and v_i for every i . Either way, we obtain $d(u) \geq d(v)$ and $d(u_1) \leq d(v_1)$. Let $T' = T - vv_2 + uv_2$, and notice that $\sigma(T') - \sigma(T) = \sigma_1 + \sigma_2 + \sigma_3$ where

$$\begin{aligned}\sigma_1 &= (d(v) - 2)((d(v) - 1 - 1)^2 - (d(v) - 1)^2) \\ &\quad + (d(u) - 1)((d(u) + 1 - 1)^2 - (d(u) - 1)^2), \\ \sigma_2 &= (d(u) + 1 - 1)^2 - (d(v) - 1)^2, \\ \sigma_3 &= (d(v) - 1 - d(v_1))^2 - (d(v) - d(v_1))^2 \\ &\quad + (d(u) + 1 - d(u_1))^2 - (d(u) - d(u_1))^2.\end{aligned}$$

Notice that $\sigma_1 = (d(u) - d(v) + 1)(2d(u) + 2d(v) - 5)$, so $d(u) \geq d(v) \geq 3$ implies $\sigma_1 > 0$. Notice further that due to $d(u) \geq d(v)$ it holds that $\sigma_2 > 0$. Finally, it holds that $\sigma_3 = 2d(u) - 2d(v) + 2d(v_1) - 2d(u_1) + 2$, where $d(u) \geq d(v)$ and $d(v_1) \geq d(u_1)$ implies $\sigma_3 > 0$. This amounts to $\sigma(T') - \sigma(T) > 0$, a contradiction with T being maximal. ■

A tree T with only one internal leaf has $n = \Delta + 1$ vertices, so a tree T on $n \geq \Delta + 4$ vertices must have at least two internal leaves. On the other hand, a tree T on $n \leq 2\Delta - 1$ vertices cannot have two vertices of degree Δ . Hence, the result of Lemma 5 is the best possible for maximal trees on n vertices, where $\Delta + 4 \leq n \leq 2\Delta - 1$. In the next theorem we show that the presence of this one internal leaf with the degree less than Δ is only due to the small number of vertices, i.e. that for $n \geq 2\Delta$ all internal leaves in a maximal tree are of degree Δ .

Theorem 6. Let T be a maximal tree with maximum degree Δ . If $n \geq 7$, for $\Delta = 3$ and $n \geq 2\Delta$, for $\Delta \geq 4$, then all internal leaves of T are of degree Δ .

Proof. Notice that $n \geq \Delta + 4$ for each $\Delta \geq 3$.

Assume to the contrary that there exists an internal leaf u of T with $d(u) < \Delta$. Lemma 5 implies that all other internal leaves of T are of degree Δ . A vertex of T which is neither a leaf nor an internal leaf will be called a *core* vertex of T . Notice that $n \geq 2\Delta$ and $d(u) < \Delta$ imply that T contains at least one core vertex.

Let us show that the degree of each core vertex of T is at most $d(u)$. For that purpose, let v be a core vertex of T , and let w be a neighbor of v such that $d(w, u) > d(v, u)$ and w is not a leaf. Such a vertex w must exist in T , since v is not an internal leaf. If $d(v) > d(u)$, due to Proposition 1, we would have $d(w) \leq 1$, a contradiction. Hence, it must hold that $d(v) \leq d(u)$.

We now distinguish the following two cases.

Case 1: All core vertices in T have precisely two neighbors with degrees greater than 1. Here, we distinguish two subcases regarding the degrees of core vertices in T .

Subcase 1.a: All core vertices have the degree 2. Since all core vertices are of degree 2, the tree T contains precisely two internal leaves u and v , and one of the two internal leaves must have the degree Δ , say v . Let P be the path in T connecting u and v , then the core vertices of T are the interior vertices of P .

If T contains precisely one core vertex w , then from $n \geq 2\Delta$ and $d(w) = 2$ we conclude $d(u) = \Delta - 1$. Let $T' = T - vw + vu$, and notice that the contribution of the edges vw and uv taken together to $\sigma(T') - \sigma(T)$ is $0 - (\Delta - 2)^2$, the contribution of the edge uw is $(\Delta - 1)^2 - (\Delta - 3)^2$, and the contribution of $\Delta - 2$ leaves of T attached to u is $(\Delta - 1)^2 - (\Delta - 2)^2$. Hence, we have

$$\begin{aligned}\sigma(T') - \sigma(T) &= -(\Delta - 2)^2 + (\Delta - 1)^2 - (\Delta - 3)^2 + (\Delta - 2)((\Delta - 1)^2 - (\Delta - 2)^2) \\ &= \Delta^2 + \Delta - 6 > 0\end{aligned}$$

for $\Delta \geq 3$, a contradiction.

So, let us assume T contains more than one core vertex. This implies that u and v are connected by a path P of the length ≥ 3 , and all internal vertices of P are core vertices which are of degree 2. Let $P' = zwu$ be the subpath of the

path connecting u and v . Consider the tree $T' = T - zw + zu$, and notice that the contribution of the edges zw and zu to $\sigma(T') - \sigma(T)$ is $(d(u) - 2)^2 - 0$, the contribution of the edge wu is $(d(u) - 1)^2 - (d(u) - 2)^2$, and the contribution of $d(u) - 1$ leaves of T attached to u is $d(u)^2 - (d(u) - 1)^2$. We obtain

$$\begin{aligned}\sigma(T') - \sigma(T) &= (d(u) - 2)^2 + (d(u) - 1)^2 - (d(u) - 2)^2 + (d(u) - 1)(d(u)^2 - (d(u) - 1)^2) \\ &= 3d(u)^2 - 5d(u) + 2 > 0\end{aligned}$$

for $d(u) \geq 2$, a contradiction.

Subcase 1.b: *There exists a core vertex v of T with $d(v) \geq 3$.* We may assume that v is the core vertex of the degree ≥ 3 closest to u . This implies that every internal vertex of the path P connecting u and v is of degree 2 in T . Due to $d(v) \geq 3$ and the assumption that v contains at most two non-leaf vertices, we conclude that there exists a leaf v_1 of T attached to v . Let $T' = T - v_1v + v_1u$ and let us consider the difference $\sigma(T') - \sigma(T)$.

Since v is a core vertex of T , recall that $d(v) \leq d(u)$. This implies that the contribution of the edges v_1v and v_1u to $\sigma(T') - \sigma(T)$ is strictly positive. This also implies that the sum of the contributions of edges of P to $\sigma(T') - \sigma(T)$ is strictly positive.

Let v_2 be the non-leaf neighbor of v not contained on P , and u_1 a leaf of T attached to u . If $d(v_2) \geq d(v)$ then the contribution of v_2v to $\sigma(T') - \sigma(T)$ is positive, otherwise if $d(v_2) < d(v)$ then the contribution of v_2v may be negative, but it is $\geq (d(v) - 2)^2 - (d(v) - 1)^2$. Hence, the sum σ_1 of contributions of edges v_2v and u_1u is

$$\begin{aligned}\sigma_1 &\geq (d(v) - 2)^2 - (d(v) - 1)^2 + d(u)^2 - (d(u) - 1)^2 \\ &= 2d(u) - 2d(v) + 2 > 0,\end{aligned}$$

since $d(u) \geq d(v)$.

Let E_v be the set of all leaves of T incident to v distinct from v_1v and E_u the set of all leaves incident to u except u_1u . Since $d(v) \leq d(u)$ and v_2v is not a leaf, we conclude $|E_v| < |E_u|$. We conclude that

$$\begin{aligned}\sigma(T') - \sigma(T) &> |E_v|((d(v) - 2)^2 - (d(v) - 1)^2) - |E_u|(d(u)^2 - (d(u) - 1)^2) \\ &> |E_v|(2d(u) - 2d(v) + 2) > 0,\end{aligned}$$

a contradiction.

Case 2: *There exists a core vertex in T with at least three neighbors with degrees greater than 1.* Let v be a core vertex of T with at least three non-leaf neighbors closest to u . Let P be the subpath of T connecting u and v , and notice that the choice of v implies that all the internal vertices of P have the degree 2 in T .

Subcase 2.a: *All non-leaf neighbors of v are internal leaves of T .* This implies that v is the only core vertex of T and uv is an edge of T , i.e., u and v are neighbors.

Suppose first that there exists a leaf w attached to v in T . Let $T' = T - wv + wu$ and let us consider the difference $\sigma(T') - \sigma(T)$. Since $d(v) \leq d(u)$, the sum of contributions of edges wv and wu to $\sigma(T') - \sigma(T)$ is strictly positive. For the same reason, the contribution of the edge uv is also strictly positive. A non-leaf neighbor z of v is an internal leaf of T , so $d(z) = \Delta$. This implies that for every such z the contribution of the edge zv to $\sigma(T') - \sigma(T)$ is strictly positive. It remains to consider leaves of T incident to v which are distinct from wv , denote the set of such edges by E_v . Notice that edges of E_v are the only edges in T with negative contribution to $\sigma(T') - \sigma(T)$. Denote by E_u the set of leaves incident to u . Since $d(v) \leq d(u)$ and v has more non-leaf neighbors than u , we conclude $|E_v| < |E_u|$. Hence, we obtain

$$\begin{aligned}\sigma(T') - \sigma(T) &\geq |E_v|((d(v) - 2)^2 - (d(v) - 1)^2) - |E_u|(d(u)^2 - (d(u) - 1)^2) \\ &> |E_v|(2d(u) - 2d(v) + 2) > 0,\end{aligned}$$

a contradiction.

Suppose now that there are no leaves attached to v in T . Denote by z a leaf of T which is not attached to u . Let $T' = T - uv + uz$, and notice that $d(v) \leq d(u)$ implies that the sum of contributions of edges uv and uz to $\sigma(T') - \sigma(T)$ is strictly positive. Hence, we have

$$\begin{aligned}\sigma(T') - \sigma(T) &> (d(v) - 1)((\Delta - (d(v) - 1))^2 - (\Delta - d(v))^2) + (\Delta - 2)^2 - (\Delta - 1)^2 \\ &= (d(v) - 2)(2\Delta - 2d(v) - 1) > 0,\end{aligned}$$

a contradiction.

Subcase 2.b: *There exists a non-leaf neighbor of v which is a core vertex of T .* Let P be the path connecting u and v in T . Let u_1 (resp. v_1) be the neighbor of u (resp. v) which belongs to P . If v_1 is the only neighbor of v which is a core vertex of T , then v has a non-leaf neighbor v_2 which is an internal leaf of T . Lemma 5 implies $d(v_2) = \Delta$. Now, since $d(u) < \Delta = d(v_2)$, Proposition 1 implies $d(u_1) \geq d(v)$, a contradiction since $d(u_1) = 2$ and $d(v) > 2$.

Hence, there exists a core vertex $v_2 \neq v_1$ which is a neighbor of v . We may assume that among neighbors of v distinct from v_1 which are core vertices, v_2 has the smallest degree. Also, since v_2 is a core vertex, we have $d(v_2) \leq d(u)$. Let

$v_3 \neq v_1$ be the third non-leaf neighbor of v , and the choice of v_2 implies $d(v_2) \leq d(v_3)$. Let $T' = T - v_2v + v_2u$, and notice that the sum of the contributions of edges of the path P to $\sigma(T') - \sigma(T)$ is strictly positive due to $d(v) \leq d(u)$.

Denote by u_2 and u_3 a pair of leaves attached to u in T . Also, let σ_1 be the sum of contributions to $\sigma(T') - \sigma(T)$ of the edges $v_2v, v_2u, v_3v, u_2u, u_3u$. It holds that

$$\begin{aligned}\sigma_1 &= (d(v_3) - (d(v) - 1))^2 - (d(v_3) - d(v))^2 + (d(v_2) - (d(u) + 1))^2 - (d(v_2) - d(v))^2 \\ &\quad + 2(d(u)^2 - (d(u) - 1)^2) \\ &= (d(u) - d(v_2))^2 + 3d(u) + d(v)(d(v) - 2) + 3(d(u) - d(v_2)) + 2d(v_3).\end{aligned}$$

Since $d(u) \geq d(v_2)$, it follows that $\sigma_1 > 0$. Now, let E_v (resp. E_u) be the set of edges incident to v (resp. u) in T distinct from v_iv (resp. u_iu), for $1 \leq i \leq 3$. Obviously, $|E_v| = d(v) - 3$ and $|E_u| = d(u) - 3$, so $d(v) \leq d(u)$ implies $|E_v| \leq |E_u|$. The contribution of each edge e from E_v to $\sigma(T') - \sigma(T)$ is $\geq (d(v) - 2)^2 - (d(v) - 1)^2$, and notice that this contribution may be negative. On the other hand, the contribution of each edge e of E_u to $\sigma(T') - \sigma(T)$ is $\geq d(u)^2 - (d(u) - 1)^2 > 0$. Since $\sigma_1 > 0$ and the contribution of edges of P to $\sigma(T') - \sigma(T)$ is also strictly positive, we conclude that

$$\begin{aligned}\sigma(T') - \sigma(T) &> |E_v|((d(v) - 2)^2 - (d(v) - 1)^2) + |E_u|(d(u)^2 - (d(u) - 1)^2) \\ &\geq |E_v|((d(v) - 2)^2 - (d(v) - 1)^2 + d(u)^2 - (d(u) - 1)^2) \\ &= |E_v|(2d(u) - 2d(v) + 2) > 0,\end{aligned}$$

a contradiction.

To summarize, in each of the two possible cases we have proved that the assumption that there exists an internal leaf u of T with $d(u) < \Delta$ leads to contradiction. We conclude that all internal leaves of T must be of the degree Δ , and we are done. ■

Let us next prove one additional property of maximal trees.

Proposition 7. *Let T be a maximal tree with maximum degree $\Delta \geq 3$ and $n \geq \Delta + 4$. Then $m_{2,2} \leq 2$.*

Proof. Assume to the contrary that $m_{2,2} \geq 3$. Let $e_i = u_iv_i$, for $i \in \{1, 2, 3\}$, be three edges of T with $d(u_i) = d(v_i) = 2$. If all three edges e_i are pairwise vertex disjoint, denote by w_i the other neighbor of v_i , for $i = 1, 2, 3$. Let

$$\begin{aligned}T' &= T - u_3v_3 - v_3w_3 + u_3w_3 - u_2v_2 - v_2w_2 + u_2w_2 \\ &\quad - v_1w_1 + v_1v_2 + v_2w_1 + v_3v_1,\end{aligned}$$

and notice that the contribution of edges v_iw_i and u_iw_i to the difference $\sigma(T') - \sigma(T)$ cancels out for $i \in \{1, 2\}$, while the contribution of edges u_iv_i equals zero. The contribution of edges v_1w_1 and v_2w_1 to the difference also cancels out, so we have

$$\sigma(T') - \sigma(T) = (3 - 2)^2 + (3 - 1)^2 > 0,$$

a contradiction.

Assume next that two of the edges e_i share an end-vertex, say $v_1 = u_2$, and the third edge e_3 is vertex disjoint with e_1 and e_2 . Let $T' = T - u_3v_3 - v_3w_3 + u_3w_3 + v_3v_1$, and let us consider again the difference $\sigma(T') - \sigma(T)$. Notice that the contribution of edges v_3w_3 and u_3w_3 to the difference cancels out, the contribution of u_3v_3 equals zero, the contribution of edges u_1v_1 and u_2v_2 equals $(3 - 2)^2 - 0$, so we have

$$\sigma(T') - \sigma(T) = 2(3 - 2)^2 + (3 - 1)^2 > 0,$$

a contradiction.

Assume finally that two pairs of edges e_i share an end-vertex, say $v_1 = u_2$ and $v_2 = u_3$. Let $T' = T - u_3v_3 - v_3w_3 + u_3w_3 + v_3v_1$, and notice that the contribution of the edges v_3w_3 and u_3w_3 to $\sigma(T') - \sigma(T)$ cancels out, the contribution of the edge u_3v_3 is zero, the contribution of edges u_1v_1 and u_2v_2 is $(3 - 2)^2 - 0$, and the contribution of v_3v_1 is $(3 - 1)^2$. Hence, summing all this we again obtain $\sigma(T') - \sigma(T) > 0$, a contradiction. ■

3. Case $\Delta = 5$

In this section we will characterize extremal trees with $\Delta = 5$. In order to do so, we will heavily rely on the property of maximal trees stated in Theorem 6. For Theorem 6 to apply, we will assume throughout the section that considered trees have at least $2\Delta = 10$ vertices. We first wish to show that an extremal tree with $\Delta = 5$ does not contain vertices of degree 3. To arrive to this result, we first need the following two lemmas regarding the edges incident to vertices of degree 3.

Lemma 8. *Let T be a maximal tree on $n \geq 10$ vertices with $\Delta = 5$. Then, $m_{3,3} = 0$.*

Proof. Assume to the contrary that T does contain an edge uv with $d(u) = d(v) = 3$. Denote by u_1 and u_2 (resp. v_1 and v_2) the two remaining neighbors of u (resp. v). Let $T' = T - u_1u - u_2u + u_1v + u_2v$. Notice that

$$\begin{aligned}\sigma(T') - \sigma(T) &\geq (d(u_1) - 5)^2 - (d(u_1) - 3)^2 + (d(u_2) - 5)^2 - (d(u_2) - 3)^2 \\ &\quad + (d(v_1) - 5)^2 - (d(v_1) - 3)^2 + (d(v_2) - 5)^2 - (d(v_2) - 3)^2 \\ &\quad + (5 - 1)^2 - (3 - 3)^2 \\ &= 32 - 4d(u_1) - 4d(u_2) + 32 - 4d(v_1) - 4d(v_2) + 16 \\ &\geq 32 - 4 \cdot 5 - 4 \cdot 5 + 32 - 4 \cdot 5 - 4 \cdot 5 + 16 = 0\end{aligned}$$

with equality if and only if each of the vertices u_1, u_2, v_1 and v_2 has the degree 5. If at least one of the vertices u_1, u_2, v_1, v_2 has a degree distinct from 5, we have a contradiction with T being maximal. So, let us assume each of the vertices u_1, u_2, v_1, v_2 is of degree 5.

Let $T_{u_2v_2}$ be the connected component of $T - u_1u - v_1v$ which contains the edge uv . Since $d(u_2) = 5 > 1$, $T_{u_2v_2}$ must contain an internal leaf z of T , so Theorem 6 implies that $d(z) = 5$. Denote by z_1 and z_2 the two neighbors of z in T which are leaves. Let $T' = T - u_1u - v_1v + u_1z_1 + v_1z_2$. Notice that the contribution of the pair of edges u_1u and u_1z_1 , just as the pair v_1v and v_1z_2 , to the difference $\sigma(T') - \sigma(T)$ equals $(9 - 4)$. The contribution of each of the edges u_2u and v_2v also equals $(9 - 4)$, and the contribution each of the edges z_1z and z_2z equals $(9 - 16)$. Finally, the contribution of the edge uv is zero, so we have

$$\sigma(T') - \sigma(T) \geq 4(9 - 4) + 2(9 - 16) = 6 > 0,$$

a contradiction with T being maximal. ■

After we have eliminated edges with both end-vertices of degree 3, next we wish to do the same with edges such that one end-vertex is of degree 1 and the other of degree 3.

Lemma 9. Let T be a maximal tree on $n \geq 10$ vertices with $\Delta = 5$. Then, $m_{1,3} = 0$.

Proof. Assume to the contrary that T contains an edge uv with $d(u) = 1$ and $d(v) = 3$. Let v_1 and v_2 denote the other two neighbors of v , where we may assume $d(v_1) \leq d(v_2)$. If $d(v_1) = 1$, then v would be an internal leaf of T with $d(v) = 3$, which contradicts Theorem 6. Hence, we may assume $d(v_1) \geq 2$. Lemma 8 implies $3 \notin \{d(v_1), d(v_2)\}$.

If $d(v_1) = 2$, let x denote the neighbor of v_1 distinct from v . Let $T' = T - xv_1 + xv$, and notice that the contribution of the edge uv to $\sigma(T') - \sigma(T)$ is $(9 - 4)$, the contribution of the edge v_1v is $(9 - 1)$, so we have

$$\begin{aligned}\sigma(T') - \sigma(T) &= (9 - 4) + (9 - 1) + (d(x) - 4)^2 - (d(x) - 2)^2 + (d(v_2) - 4)^2 - (d(v_2) - 3)^2 \\ &= 32 - 4d(x) - 2d(v_2) \geq 2 > 0,\end{aligned}$$

a contradiction with T being maximal.

If $d(v_1) \geq 4$, let us consider internal leaves of T . If v_1 and v_2 are the only two internal leaves of T , then $d(v_1) = d(v_2) = 5$. Let $T' = T - v_1v + v_1u$, and notice that the sum of contributions of edges v_1v and v_1u to $\sigma(T') - \sigma(T)$ is $(9 - 4)$, the contribution of the edge uv is $(0 - 4)$, and of v_2v is $(9 - 4)$. Hence, we obtain

$$\sigma(T') - \sigma(T) = 2(9 - 4) + (0 - 4) = 6 > 0$$

a contradiction. So, we may assume that there exists an internal leaf z in T distinct from v_1 and v_2 .

Let w be the only neighbor of z which is not a leaf. Let $T' = T - uv - zw + wu + uz$. Notice that each of the edges v_1v and v_2v contributes to $\sigma(T') - \sigma(T)$ with at least $(4 - 1)$, and the edges uv and uz taken together contribute at least $(9 - 4)$. Hence, it holds that

$$\begin{aligned}\sigma(T') - \sigma(T) &\geq ((d(w) - 2)^2 - (d(w) - 5)^2) + (9 - 4) + 2(4 - 1) \\ &= 6d(w) - 10 \geq 2 > 0,\end{aligned}$$

a contradiction with T being maximal, so we are done. ■

We are now in a position to prove that a maximal tree with $\Delta = 5$ does not contain vertices of degree 3.

Lemma 10. Let T be a maximal tree on $n \geq 10$ vertices with $\Delta = 5$. Then, $n_3 = 0$.

Proof. Assume to the contrary that T does contain a vertex u with $d(u) = 3$. Denote by u_1, u_2 and u_3 the three neighbors of u , and we may assume that $d(u_1) \leq d(u_2) \leq d(u_3)$. Lemmas 8 and 9 imply that the degrees of u_1, u_2 and u_3 take their values from the set $\{2, 4, 5\}$.

Assume first that $d(u_1) = d(u_2) = d(u_3) = 2$. Denote by x the neighbor of u_1 distinct from u , and let $T' = T - xu_1 + xu$. Notice that the contribution of each of the edges u_2u and u_3u to $\sigma(T') - \sigma(T)$ is $(4 - 1)$, and the contribution of u_1u is

(9 – 1). We obtain

$$\begin{aligned}\sigma(T') - \sigma(T) &= 2(4 - 1) + (9 - 1) + (d(x) - 4)^2 - (d(x) - 2)^2 \\ &= 26 - 4d(x) \geq 6 > 0,\end{aligned}$$

a contradiction.

Assume next that $d(u_1) = d(u_2) = 2$ and $d(u_3) \geq 4$. Denote by x and y the neighbor of u_1 and u_2 , respectively, distinct from u . Let $T' = T - xu_1 - yu_2 + xu + yu$ and consider the difference $\sigma(T') - \sigma(T)$. Notice that the contribution of each of the edges u_1u and u_2u to the difference is $(16 - 1)$, and since $d(u_3) \in \{4, 5\}$ the contribution of the edge u_3u is at least $(0 - 4)$, so we have

$$\begin{aligned}\sigma(T') - \sigma(T) &\geq ((d(x) - 5)^2 - (d(x) - 2)^2) + ((d(y) - 5)^2 - (d(y) - 2)^2) \\ &\quad + 2(16 - 1) + (0 - 4) \\ &= 68 - 6d(y) - 6d(x) \geq 8 > 0,\end{aligned}$$

a contradiction.

Assume further that $d(u_1) = 2$ and $d(u_2) \geq 4$, which implies $d(u_3) \geq 4$ also. If $d(u_2) = 4$, let $T' = T - u_1u + u_1u_2$ and consider the difference $\sigma(T') - \sigma(T)$. The edges u_1u and u_1u_2 taken together contribute $(9 - 1)$ to the difference, the edge u_2u contributes $(9 - 1)$, and the edge u_3u contributes at least $(4 - 1)$. Also, each of the three edges incident to u_2 distinct from u_2u contributes at least $(0 - 1)$. We conclude that

$$\sigma(T') - \sigma(T) \geq 2(9 - 1) + (4 - 1) + 3(0 - 1) = 16 > 0,$$

a contradiction. On the other hand, if $d(u_2) = 5$, then $d(u_3) = 5$ also. Let z be a leaf of T contained in the same component of $T - u_1u$ as u . Let $T' = T - u_1u + u_1z$, and notice that each of the edges u_2u and u_3u contributes to $\sigma(T') - \sigma(T)$ by $(9 - 4)$. The pair of edges u_1u and u_1z taken together contributes $(0 - 1)$, and the edge incident to z in T contributes no less than $(9 - 16)$. We obtain

$$\sigma(T') - \sigma(T) \geq 2(9 - 4) + (0 - 1) + (9 - 16) = 2 > 0,$$

a contradiction.

Assume finally that $d(u_1) \geq 4$. Let z be a leaf of T contained in the same component of $T - u_1u$ as u . Let $T' = T - u_1u + u_1z$ and notice that each of the edges u_iu , given that $d(u_i) \in \{4, 5\}$ contributes to $\sigma(T') - \sigma(T)$ either $(4 - 1)$ or $(9 - 4)$. Similarly, the edge incident to z in T contributes no less than $(9 - 16)$. Assuming the smallest possible contribution of edges u_iu , which is the worst case, we still have

$$\sigma(T') - \sigma(T) \geq 3(4 - 1) + (9 - 16) = 2 > 0,$$

a contradiction, so we are done. ■

Next, we wish to establish that a maximal tree T with Δ does not contain a vertex of degree 4 either. Again, we will arrive to this result through the following two lemmas regarding the edges incident to a vertex of degree 4.

Lemma 11. *Let T be a maximal tree on $n \geq 10$ vertices with $\Delta = 5$. Then, $m_{2,4} = 0$.*

Proof. Assume to the contrary that T contains an edge uv with $d(u) = 2$ and $d(v) = 4$. Denote by u_1 the only neighbor of u distinct from v . Also, denote by v_1, v_2 and v_3 the three neighbors of v distinct from u . Let $T' = T - u_1u + u_1v$, and notice that the contribution of the edge uv to $\sigma(T') - \sigma(T)$ is $16 - 4$. Also, considering all the possible degrees of u_1 , the contribution of the edges u_1u and u_1v taken together is no less than $(0 - 9)$. Similarly, considering all the possible degrees of v_i , the contribution of each edge v_iv is no less than $(0 - 1)$. We obtain

$$\sigma(T') - \sigma(T) \geq (16 - 4) + (0 - 9) + 3(0 - 1) = 0$$

with equality if and only if $d(u_1) = d(v_1) = d(v_2) = d(v_3) = \Delta$. If at least one of these degrees is not equal to Δ , then we have a contradiction with T being maximal. So, let us assume that all these degrees are indeed equal to Δ .

Let T_v be the connected component of $T - v_1v - v_2v$ which contains v . Since $d(v_3) = \Delta$, there exists an internal leaf z in the connected component of $T - v_1v - v_2v$ which contains v_3 . By [Theorem 6](#) we know $d(z) = \Delta$. Let z_1 and z_2 be two leaves attached to z in T . Now, let $T' = T - v_1v - v_2v + v_1z_1 + v_2z_2$ and notice that the contribution to $\sigma(T') - \sigma(T)$ of the pair of edges v_iv and v_iz_i taken together is $(9 - 1)$, for $i \in \{1, 2\}$, since $d(v_i) = 5$. The contribution of the edge uv is $(0 - 4)$, the contribution of the edge v_3v is $(9 - 1)$, and the contribution of each of the edges z_iz , for $i \in \{1, 2\}$, is $(9 - 16)$. Therefore, it holds that

$$\sigma(T') - \sigma(T) = 2(9 - 1) + (0 - 4) + (9 - 1) + 2(9 - 16) = 6 > 0,$$

so we again have a contradiction. ■

We next wish to show that a maximal tree cannot contain an edge with one end-vertex of degree 1 and the other 4.

Lemma 12. Let T be a maximal tree on $n \geq 14$ vertices with $\Delta = 5$. Then, $m_{1,4} = 0$.

Proof. Assume to the contrary that T contains an edge uv with $d(u) = 1$ and $d(v) = 4$. Denote by v_1, v_2 and v_3 the three neighbors of v distinct from u , where we may assume $d(v_1) \leq d(v_2) \leq d(v_3)$. Notice that $d(v_2) = 1$ would imply $d(v_1) = 1$ also, so v would be an internal leaf of T with $d(v) = 4 < \Delta$, a contradiction with Theorem 6. Hence, we may assume $d(v_2) \geq 2$. Lemmas 10 and 11 imply $4 \leq d(v_2) \leq d(v_3)$. The same two lemmas imply that $d(v_1) = 1$ or $d(v_1) \geq 4$.

Assume first that $d(v_1) = 1$. If $d(v_2) = d(v_3) = 4$, let $T' = T - uv - v_1v + uv_2 + v_1v_3$, and notice that the contribution of the pair uv and uv_2 taken together to $\sigma(T') - \sigma(T)$ is $(16 - 9)$. The same holds for the pair v_1v and v_1v_3 . Each of the edges v_iv , for $i \in \{2, 3\}$, contributes to the difference by $(9 - 0)$. Finally, each of the remaining six edges incident to v_2 and v_3 contributes no less than $(0 - 1)$. We conclude that

$$\sigma(T') - \sigma(T) \geq 2(16 - 9) + 2(9 - 0) + 6(0 - 1) = 26 > 0,$$

a contradiction.

If $d(v_2) = 4$ and $d(v_3) = 5$, then let $T' = T - v_1v + v_1v_2$. The contribution to $\sigma(T') - \sigma(T)$ of the pair $v_1v + v_1v_2$ taken together is $(16 - 9)$, of uv is $(4 - 9)$, of v_2v is $(4 - 0)$ and of v_3v is $(4 - 1)$. Also, the contribution of the remaining three edges incident to v_2 is no less than $(0 - 1)$, which yields

$$\sigma(T') - \sigma(T) \geq (16 - 9) + (4 - 9) + (4 - 0) + (4 - 1) + 3(0 - 1) = 6 > 0,$$

again a contradiction.

Finally, if $d(v_2) = d(v_3) = 5$, notice that $n \geq 14$ implies that T contains an internal leaf w distinct from v_2 and v_3 . Denote by z the non-leaf neighbor of w . Lemma 10 implies $d(z) \neq 3$.

Let us first assume $d(z) \geq 4$. Let $T' = T - uv - wz + wu + uz$, and notice that the contribution to $\sigma(T') - \sigma(T)$ of the pair uv and wu taken together is 0. Considering all the possible values of z , the contribution of the pair wz and uz is no less than $(4 - 1)$. The contribution of v_1v is $(4 - 9)$, and the contribution of each of v_2 and v_3 is $(4 - 1)$. We obtain

$$\sigma(T') - \sigma(T) \geq (4 - 1) + (4 - 9) + 2(4 - 1) = 4 > 0,$$

a contradiction. So, we may assume $d(z) \leq 3$.

If $d(z) = 3$, let $T' = T - uv - v_1v + uz + v_1z$, and notice that the pair uv and uz taken together contributes to $\sigma(T') - \sigma(T)$ by $(16 - 9)$. The same holds for the pair v_1v and v_1z . Each of the edges v_2v and v_3v contributes $(9 - 1)$. The edge zw contributes $(0 - 4)$, and the two remaining edges incident to z contribute no less than $(0 - 4)$. Hence, we have

$$\sigma(T') - \sigma(T) \geq 2(16 - 9) + 2(9 - 1) + (0 - 4) + 2(0 - 4) = 18 > 0,$$

a contradiction.

If $d(z) = 2$, let $T' = T - uv - v_1v - v_2v - v_3v + v_2v_3 + uz + vz + v_1z$. Let x be the neighbor of z distinct from w , and notice that the edge zx contributes to $\sigma(T)$ by no less than $(1 - 9)$. As for the other edges, notice that all edges incident to v in T contribute to $\sigma(T)$ by $1 + 1 + 9 + 9$, also zw contributes to $\sigma(T)$ by 9. In T' , all edges incident to z except xz contribute to $\sigma(T')$ by $16 + 16 + 16 + 0$, and the edge v_2v_3 contributes to $\sigma(T')$ by 0. We conclude

$$\sigma(T') - \sigma(T) \geq (1 - 9) + (16 + 16 + 16 + 0) - (1 + 1 + 9 + 9) = 20 > 0,$$

a contradiction.

Assume now that $d(v_1) \geq 4$. Denote by z an internal leaf of T distinct from v_1, v_2 and v_3 , if such a vertex z exists. Let x be the only neighbor of z which is not a leaf and by y a neighbor of z which is a leaf. Let $T' = T - uv - xz - uv_1 + xu + uz + v_1y$ and notice that

$$\sigma(T') - \sigma(T) \geq (9 - 0) + (0 - 0) + (4 - 0) + (9 - 16) + 2(4 - 0) = 14 > 0,$$

so we have a contradiction.

It remains to consider the case of $d(v_1) \geq 4$ when v_1, v_2 and v_3 are the only internal leaves of T . If $d(v_1) = 4$, let $T' = T - uv + uv_1$. Notice that the contribution of the edges uv and uv_1 considered together to $\sigma(T') - \sigma(T)$ is $16 - 9$, the contribution of all the remaining edges is non-negative, so $\sigma(T') - \sigma(T) > 0$. If $d(v_1) = 5$, let $T' = T - v_1v + uv_1$. The contribution to $\sigma(T') - \sigma(T)$ of the edges v_1v and uv_1 taken together is $9 - 1$, of the edge uv is $1 - 9$, and for each of the edges v_2v and v_3v is $4 - 1$. We conclude

$$\sigma(T') - \sigma(T) \geq (9 - 1) + (1 - 9) + 2(4 - 1) = 6 > 0,$$

a contradiction. ■

Using the above two lemmas we can now establish that a maximal tree T does not contain a vertex of degree 4 either.

Lemma 13. Let T be a maximal tree on $n \geq 14$ vertices with $\Delta = 5$. Then, $n_4 = 0$.

Proof. Assume to the contrary that T does contain a vertex u with $d(u) = 4$. Denote by u_i , for $i = 1, \dots, 4$, the four neighbors of u . We may assume that $d(u_1) \leq d(u_2) \leq d(u_3) \leq d(u_4)$. Lemmas 10–12 imply $d(u_1) \geq 4$. Since all internal leaves of T are of degree 5 and a vertex of degree 4 can only be neighbor to vertices of degree 4 or 5, we may assume $d(u_4) = 5$. Let z be an internal leaf of T contained in the same connected component of $T - u_1u - u_2u$ as u . Theorem 6 implies that $d(z) = 5$. Denote by x and y two leaves attached to z . Let $T' = T - u_1u - u_2u + u_1x + u_2y$ and notice that

$$\sigma(T') - \sigma(T) \geq (4 - 0) + (9 - 1) + 2(4 - 0) + 2(9 - 16) = 6 > 0,$$

so we obtain a contradiction with T being maximal. ■

Lemmas 10 and 13 imply the following corollary.

Corollary 14. Let T be a maximal tree on $n \geq 14$ vertices with $\Delta = 5$. Then T contains only vertices of degrees 1, 2 and Δ .

In order to fully characterize extremal trees with $\Delta = 5$, we need the following lemmas regarding the number and the position of vertices of the degree 2 in extremal trees.

Lemma 15. Let T be a maximal tree on $n \geq 14$ vertices with $\Delta = 5$. Then, $m_{2,2} \leq 1$. Moreover, if $m_{2,2} = 1$, then $m_{\Delta,\Delta} = 0$.

Proof. Assume to the contrary that $m_{2,2} \geq 2$. Suppose first that all edges with both end-vertices of degree two are vertex disjoint. Denote by uv and ab a pair of edges with $d(u) = d(v) = d(a) = d(b) = 2$. Let u_1, v_1, a_1 and b_1 be the neighbors of u, v, a and b , respectively, which is not contained in $\{u, v, a, b\}$. Since all edges with both end-vertices of degree two are vertex disjoint, we conclude that $d(u_1) = d(v_1) = d(a_1) = d(b_1) = 5$. Let z be an internal leaf in T , and z_1 a leaf attached to z . Theorem 6 implies $d(z) = 5$. Denote by T' the tree obtained from T by removing all edges incident to vertices u, v, a and b , and then adding edges $u_1v_1, a_1b_1, uz_1, vz_1, az_1$ and bz_1 . Notice that

$$\sigma(T') - \sigma(T) \geq 4(16 - 9) + (0 - 16) = 12 > 0,$$

and we have a contradiction.

Suppose next that not all such edges are vertex disjoint, i.e. that there exist two edges uv and vw in T with $d(u) = d(v) = d(w) = 2$. Denote by u_1 and w_1 the neighbor of u and w , respectively, which is not contained in $\{u, v, w\}$.

Assume first that there exists a vertex $z \notin \{u, v, w\}$ in T of degree 2. Denote by z_1 and z_2 its two neighbors, and let x be a leaf in T . Let T' be the tree obtained from T by removing all edges incident to vertices u, v, w, z and then adding edges $u_1w_1, z_1z_2, xu, xv, xz$ and xw . Notice that

$$\sigma(T') - \sigma(T) \geq (0 - 9) + (16 - 9) + (0 - 0) + (16 - 0) + 2(16 - 9) = 28 > 0,$$

a contradiction.

Assume next that $\{u, v, w\}$ are all vertices of T of degree 2. Since $n \geq 14$, there exists a non-leaf vertex in T not contained in $\{u, v, w, u_1, w_1\}$. Hence, Corollary 14 implies that T contains an edge xy with $d(x) = d(y) = 5$. Let $T' = T - uv - vw - xy + uw + xv + yv$ and notice that

$$\sigma(T') - \sigma(T) \geq 2(9 - 0) > 0,$$

a contradiction. Hence, we have established that T contains at most one edge with both end-vertices of degree 2.

Finally, let us assume that T contains an edge with both end-vertices of the degree 2, denote them by u and v . We wish to establish that in such a case T does not contain an edge with both end-vertices of degree Δ . Assume to the contrary that T does contain such an edge xy . Denote by u_1 the neighbor of u distinct from v , and let $T' = T - u_1u - uv - xy + u_1v + xu + uv$. Notice that edges u_1u and u_1v contribute to $\sigma(T') - \sigma(T)$ by $(9 - 9)$, the edges uv and ux by $(9 - 0)$, and the edges xy and xu by $(9 - 0)$, so we have

$$\sigma(T') - \sigma(T) \geq (9 - 9) + 2(9 - 0) > 0,$$

a contradiction. ■

Lemma 16. Let T be a maximal tree on $n \geq 14$ vertices with $\Delta = 5$. Then, $m_{\Delta,\Delta} \leq 3$.

Proof. Assume to the contrary that $m_{\Delta,\Delta} \geq 4$. Suppose first that every internal leaf of T is adjacent to a vertex of degree 2. Let u be an internal vertex of T , v its neighbor of degree 2 and w the other neighbor of v . Let xy be an edge of T with $d(x) = d(y) = \Delta$. For the tree $T' = T - uv - vw - xy + uw + xv + vy$ it obviously holds that $\sigma(T') = \sigma(T)$. Hence, we may consider only trees T in which at least one internal leaf is adjacent to a vertex of degree Δ .

Let u be an internal leaf of T and v its neighbor of degree Δ . Denote by u_i , for $i = 1, 2, 3, 4$, a leaf attached to u . Assume first that T contains precisely four edges with both end-vertices of the degree Δ . Denote by a_i and b_i , for $i = 1, 2, 3$, the six vertices of T distinct from u such that $d(a_i) = d(b_i) = \Delta$ and a_ib_i is the edge of T . We define the following two sets of

edges

$$E^- = \{u_i u : i = 1, \dots, 4\} \cup \{a_i b_i : i = 1, 2, 3\};$$

$$E^+ = \{a_i u_i, u_i b_i : i = 1, 2\} \cup \{a_3 u_3, u_3 u_4, u_4 b_3\}.$$

Let $T' = T - E^- + E^+$ and notice that T' must be a tree, since the removed edges $u_i u$ are incident to leaves, and the removed edges $a_i b_i$ are replaced by subpaths connecting a_i and b_i which contain vertices u_i . Now, each of the edges $u_i u$ contributes to $\sigma(T') - \sigma(T)$ by -16 , the fifth edge incident to u by 16 , each of the edges $a_i b_i$ by 0 , each of the edges $a_i u_i$ and $u_i b_i$ by 9 , and the edge $u_3 u_4$ by 0 . So, we obtain

$$\sigma(T') - \sigma(T) = 4 \cdot (-16) + 16 + 6 \cdot 9 = 6 > 0,$$

a contradiction.

Assume next that T contains at least five edges with both end-vertices of the degree Δ . We assume that one of them is incident to an internal leaf u , and let u_i be a leaf attached to u for $i = 1, \dots, 4$. Denote by a_i and b_i , for $i = 1, \dots, 4$, the vertices of T with $d(a_i) = d(b_i) = \Delta$ such that $a_i b_i$ is an edge of T . We again define sets

$$E^- = \{u_i u : i = 1, \dots, 4\} \cup \{a_i b_i : i = 1, \dots, 4\};$$

$$E^+ = \{a_i u_i, u_i b_i : i = 1, \dots, 4\}.$$

Let $T' = T - E^- + E^+$ and notice that each of the edges $u_i u$ contributes to $\sigma(T') - \sigma(T)$ by -16 , the fifth edge incident to u by 16 , each of the edges $a_i b_i$ by 0 , and each of the edges $a_i u_i$ and $u_i b_i$ by 9 . Hence,

$$\sigma(T') - \sigma(T) = 4 \cdot (-16) + 16 + 8 \cdot 9 = 24 > 0,$$

a contradiction. ■

All the above lemmas yield that a maximal tree T with $\Delta = 5$ and $n \geq 14$ has the following properties:

(P_1) T contains only vertices of degrees $1, 2$ and Δ ;

(P_2) all internal leaves of T are of degree Δ ;

(P_3) $m_{\Delta, \Delta} \leq 3$ and $m_{2,2} \leq 1$;

(P_4) if $m_{2,2} = 1$, then $m_{\Delta, \Delta} = 0$.

Denote by $\mathcal{T}_{n, \Delta}$ the family of all trees on $n \geq 8$ vertices with maximum degree $\Delta = 5$ which satisfy the properties (P_1)-(P_4). In the next theorem we establish that all trees of $\mathcal{T}_{n, \Delta}$ are maximal.

Theorem 17. *Let T be a tree with $\Delta = 5$ and $n \geq 14$. The tree T is maximal if and only if T belongs to $\mathcal{T}_{n, \Delta}$.*

Proof. If T is maximal, then T has all the properties (P_1)-(P_4), so T belongs to $\mathcal{T}_{n, \Delta}$. Conversely, if T belongs to $\mathcal{T}_{n, \Delta}$ we wish to prove that T is maximal. It is sufficient to show that all trees from $\mathcal{T}_{n, \Delta}$ have the same value of σ -irregularity. Let T be a tree from $\mathcal{T}_{n, \Delta}$. Denote by n_i the number of vertices of degree i in T . Property (P_1) implies $n_1 + n_2 + n_5 = n$. Due to Handshaking lemma, we also have $5n_5 + 2n_2 + n_1 = 2(n - 1)$. Next, consider the tree T' obtained from T by consecutive suppressions of vertices of degree 2 until there are no such vertices left. Notice that $m_{\Delta, \Delta}(T') - 3 \leq n_2(T) \leq m_{\Delta, \Delta}(T') + 1$, which implies that in T we have $n_2 = n_5 - 4 + j$ for $0 \leq j \leq 4 = \Delta - 1$.

Taking all the equations together, we have obtained a system of three linear equations in terms of n_1, n_2 and n_5 with the solution

$$n_1 = \frac{1}{5}(3n - 3j + 16), \quad n_2 = \frac{1}{5}(n + 4j - 18), \quad n_5 = \frac{1}{5}(n - j + 2).$$

In order for n_1, n_2 and n_5 to be integers, it must hold $j \equiv (n - 2) \pmod{5}$. Since $0 \leq j \leq 4 = \Delta - 1$, we conclude that the value of j is determined by the value of n . This further implies that n_1, n_2 and n_3 are also determined by the value of n , i.e. the values of n_1, n_2 and n_3 do not depend on a tree T . Further, for a tree T it holds that $m_{1, \Delta} = n_1$ and

$$m_{2, \Delta} = \begin{cases} 2n_2 & \text{if } m_{2,2} = 0; \\ 2n_2 - 1 & \text{if } m_{2,2} = 1. \end{cases}$$

Since $m_{2,2} = 1$ if and only if $j = 4$, this implies that $m_{1, \Delta}$ and $m_{2, \Delta}$ are determined by the value of n . Notice that the property (P_2) implies $m_{1,2} = 0$, while $m_{\Delta, \Delta}$ may be greater than zero, but the contribution of such edges to $\sigma(T)$ equals zero. We conclude that $\sigma(T) = m_{1, \Delta}(\Delta - 1)^2 + m_{2, \Delta}(\Delta - 2)^2$, which implies that the value of $\sigma(T)$ is determined by n and it does not depend on T . ■

The above theorem yields the following corollary (see Fig. 2).

Corollary 18. *Let T be a maximal tree with $\Delta = 5$ and $n = \Delta k - 2 + j \geq 14$, where $0 \leq j \leq \Delta - 1$. Then,*

$$\sigma(T) = \begin{cases} \frac{1}{5}(3n - 3j + 16)(\Delta - 1)^2 + \frac{2}{5}(n + 4j - 18)(\Delta - 2)^2 & \text{if } j \neq 4, \\ \frac{1}{5}(3n - 3j + 16)(\Delta - 1)^2 + \frac{1}{5}(8j + 2n - 41)(\Delta - 2)^2 & \text{if } j = 4. \end{cases}$$

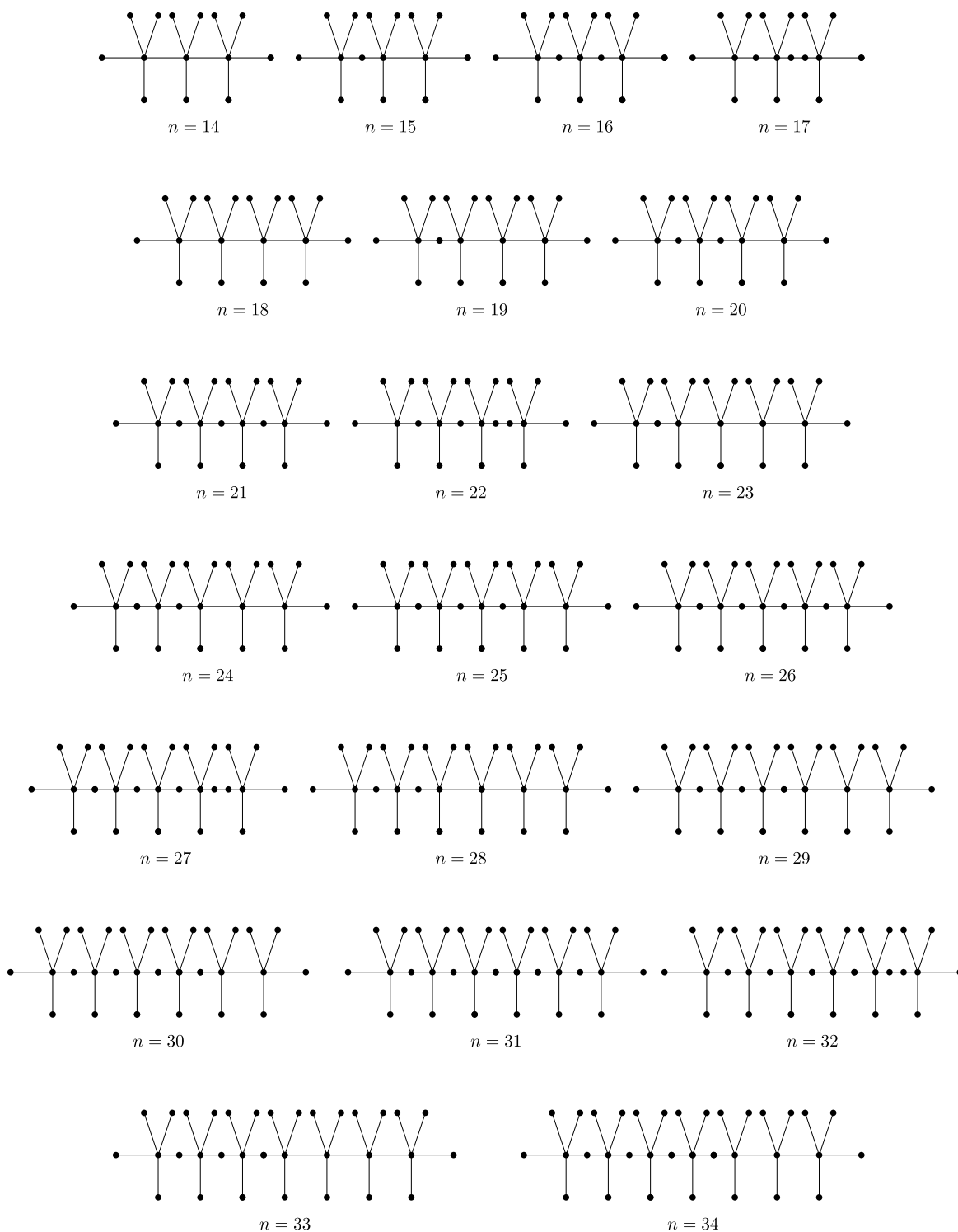


Fig. 2. Maximal trees T on n vertices with $\Delta = 5$, for $14 \leq n \leq 34$. (Mind that the list is not complete.).

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Data availability

No data was used for the research described in the article.

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