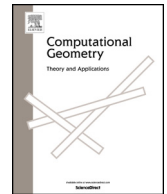




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Connected matchings [☆]

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ABSTRACT

We show that each set of $n \geq 2$ points in the plane in general position has a straight-line matching with at least $(5n + 1)/27$ edges whose segments form a connected set, and such a matching can be computed in $O(n \log n)$ time. As an upper bound, we show that for some planar point sets in general position the largest matching whose segments form a connected set has $\lceil \frac{n-1}{3} \rceil$ edges. We also consider a colored version, where each edge of the matching should connect points with different colors.

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1. Introduction

Consider a set P of n points in the plane in general position, meaning that no three points of P are collinear. A (straight line) *matching* M for P is a set of segments with endpoints in P such that no two segments share an endpoint. A matching M for P is *connected* (via their crossings) if the union of the segments of M forms a connected set. Equivalently, a matching is connected when the intersection graph of its segments is connected. The *size* of the matching M is the number of segments (or edges) in M . Note that whenever P has a connected matching of size $m \geq 1$, it also has a connected matching of size $m - 1$. Indeed, this is easy to see using the formulation via intersection graphs: in a connected graph, which is the intersection graph of the m segments of a matching M , we can always remove a vertex (which is an edge in M), and keep the graph connected.

In this paper, we study the following problem.

Question 1 (Connected matching). Find for each n the largest value $f(n)$ with the following property: each set of n points in general position in the plane has a connected matching of size $f(n)$.

It is also natural to consider a colored version of the problem. In this setting, the points are colored and each edge of the matching has to connect points with different colors. A *balanced c -coloring* of P is a partition of P into c subsets

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P_1, \dots, P_c such that $|P_i|$ and $|P_j|$ differ by at most one, for each $1 \leq i, j \leq c$. In particular, if n is divisible by c , each set P_i has cardinality n/c . A matching for a balanced c -coloring P_1, \dots, P_c is *polychromatic* if each segment connects two points with different colors. The bichromatic version of the problem corresponds to $c = 2$. We are also interested in the following question.

Question 2 (Colored connected matching). *Find for each $c \leq n$ the largest value $g(n, c)$ with the following property: each set of n points in general position in the plane with a balanced c -coloring has a connected polychromatic matching of size $g(n, c)$.*

The setting with $c = n$ colors corresponds to the uncolored version because all edges are allowed in the matching. Therefore, $f(n) = g(n, n)$.

In this work we provide upper and lower bounds for the functions $f(n)$ and $g(n, c)$. We show that $\frac{5n+1}{27} \leq f(n)$ and a connected matching of this size can be computed in $O(n \log n)$ time. We also show that $f(n) \leq \lceil \frac{n-1}{3} \rceil$. For the function $g(n, c)$, we provide an upper bound only in the bichromatic setting, namely $g(n, 2) \leq \lceil \frac{n-1}{4} \rceil$. We also show that, for sufficiently large n ,

$$g(n, c) \geq \begin{cases} \frac{c-3}{6c}n - \frac{1}{2} & \text{for } c > 7, \\ \frac{c-1}{9c}n - \frac{1}{3} & \text{for } 2 \leq c \leq 7. \end{cases}$$

For the bichromatic case, $c = 2$, this bound gives $g(n, 2) \geq \frac{n}{18} - \frac{1}{3}$. When c is very large, the lower bound becomes $\frac{n}{6} - \Theta(1)$. Again, connected polychromatic matchings attaining this size can be computed efficiently, namely in linear time.

The problem can be seen as a relaxation of the problem of *crossing families* of Aronov et al. [3], where one wants to find as many segments as possible with endpoints in P such that any pair of segments crosses in their interior. While in our setting we are asking for a connected subgraph in the intersection graph of the segments, the crossing families problem asks that the intersection graph is a complete graph. The best lower bound, showing an almost linear lower bound for crossing families, has been a recent breakthrough by Pach, Rubin and Tardos [10]. Aichholzer et al. [2] have the currently best upper bound.

The rest of the paper is organized as follows. In Section 2 we provide some basic subroutines that will be used in our algorithms. In Section 3 we discuss the existence and computation of a separator for points in the plane; the existence of such a separator is discussed by Ábrego and Fernández-Merchant [1]. In Section 4 we provide upper bounds for $f(n)$ and $g(n, c)$. In Section 5 we present a lower bound for $f(n)$ and in Section 5.1 we show that $f(n)$ is at least as large as the depth of the “most interior” point of a set (see there for a formal definition of the depth of a point). In Section 6 we give lower bounds for $g(n, c)$, the colored setting. We finalize with a short discussion in Section 7.

2. Algorithmic tools

Our algorithms are based on subroutines using classical techniques. We quickly explain these subroutines here.

We will employ algorithms for the k -selection problem: given n numbers and a value $k \leq n$, compute the element that would be in the k th position, if the numbers would be sorted non-decreasingly. It is well known that the k -selection problem can be solved performing a linear number of steps and comparisons between the input numbers; input numbers are only compared, and no arithmetic operations with them are performed. See Blum et al. [4] or the textbook [6, Section 9.3] for a description of the algorithm. Randomized variants are simpler [6, Section 9.2].

We also use that a linear program with 2 variables and n constraints can be solved optimally in $O(n)$ time; see Megiddo [9] for a deterministic algorithm or the textbook [7, Chapter 4] for a simpler, randomized algorithm. Our use of linear programming is encoded in the following result. We use $CH(P)$ to denote the convex hull of P .

Lemma 3. *Given a set P of points in the plane and a ray ρ that intersects $CH(P)$, we can find in linear time the last intersection of ρ with the boundary of $CH(P)$.*

Proof. Making a rigid motion, if needed, we may assume that ρ is an upward vertical ray that starts at the origin. If all the points of $CH(P)$ are on the same side of the y -axis, then ρ is tangent to $CH(P)$ and a point of P is the last intersection of ρ with the boundary of $CH(P)$. We can test in linear time whether we are in this scenario, and select the last point of P contained in ρ .

It remains the case when there are points of P on both sides of the y -axis. We then search for the line ℓ with equation $y = ax + b$ with minimum value b such that all the points $p = (p_x, p_y)$ of P lie below or on ℓ . This is the following LP with real variables a, b :

$$b^* = \min\{b \mid \forall p \in P : ap_x + b \geq p_y\}.$$

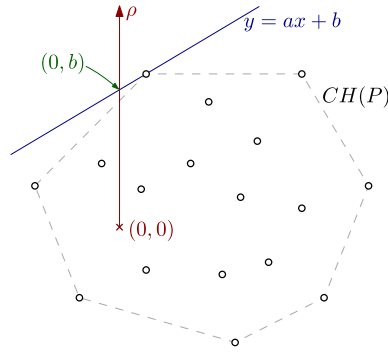


Fig. 1. Proof of Lemma 3. The pair (a, b) defining the blue line is a feasible solution to the linear program. (For interpretation of the colors in the figure(s), the reader is referred to the web version of this article.)

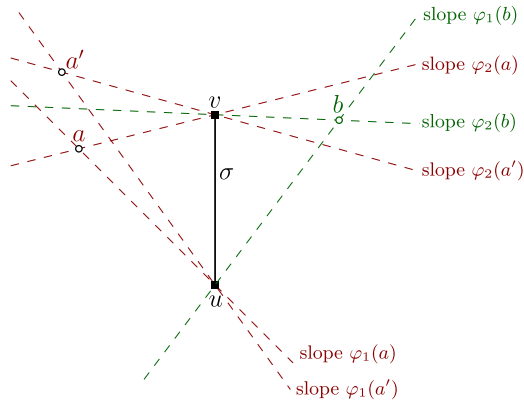


Fig. 2. Proof of Lemma 4. The definition of the transformation $\varphi = (\varphi_1, \varphi_2)$.

The point $(0, b^*)$ is the last intersection of the ray with $CH(P)$. See Fig. 1 for a schema. If $(0, b^*)$ is not a vertex of $CH(P)$, then the line $y = a^*x + b^*$ defined by an optimal solution (a^*, b^*) supports an edge of $CH(P)$. Since this is a linear program with 2 variables and n constraints, it can be solved in $O(n)$ time. \square

Recall that a maximal matching is a matching where we cannot add any additional edge and keep having a matching. In other words, each edge of the graph has at least one vertex in common with some edge of the matching.

Lemma 4. Let σ be a segment and let ℓ be its supporting line. Let A be a set of at most n points to one side of ℓ and let B be a set of at most n points to the other side of ℓ . In $O(n \log n)$ time we can compute a maximal matching in the bipartite graph

$$G(A, B, \sigma) = (A \cup B, \{ab \mid a \in A, b \in B, ab \text{ intersects } \sigma\}).$$

Proof. Making a geometric transformation, we may assume that σ and ℓ are vertical, that A is to the left of ℓ , and B to the right. Let u and v be the endpoints of σ with v above u .

We define the function $(\varphi_1, \varphi_2) = \varphi: \mathbb{R}^2 \setminus \ell \rightarrow \mathbb{R}^2$ by

$$\varphi_1(p) = \text{slope of the line supporting } pu,$$

$$\varphi_2(p) = \text{slope of the line supporting } pv.$$

For points a to the left of ℓ we have $\varphi_1(a) < \varphi_2(a)$, while for points b to the right of ℓ we have $\varphi_1(b) > \varphi_2(b)$. Therefore, each number in the interval $[\varphi_1(a), \varphi_2(a)]$ corresponds to a slope such that the line through a with that slope intersects $\sigma = uv$. A similar statement holds for b .

Using that a is to the left of ℓ and b to the right, we note that ab intersects uv if and only if $\varphi_1(a) \leq \varphi_1(b)$ and $\varphi_2(a) \geq \varphi_2(b)$. See Fig. 2. One way to see this is noting that $ab \cap uv \neq \emptyset$ if and only if the line supporting au can be rotated counterclockwise around u until it becomes the line supporting ub , and the line supporting av can be rotated clockwise around v to turn it into the line supporting bv . This mapping φ and an application is discussed in Cabello and Milinković [5, Lemma 3], using point-line duality as an intermediary step in the discussion.

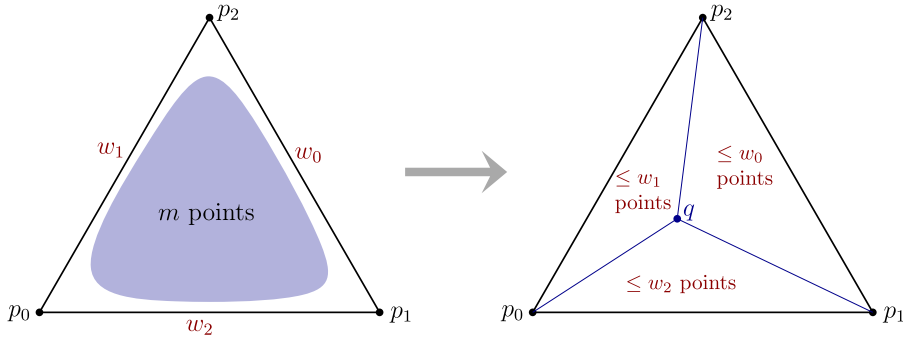


Fig. 3. Statement in Theorem 5.

We build the matching incrementally as follows. We process the points of B one by one. For each point $b \in B$, we check whether it has an unmatched neighbor in A . If so, we match b with a . It is clear that the matching produced by this procedure is maximal.

In order to carry out the procedure efficiently, we process the points of A and B in order of increasing value of φ_1 . At any point during the algorithm, we have processed all points $b \in B$ with $\varphi_1(b) \leq t$ for some threshold t . We maintain the set A' of unmatched points $a \in A$ with $\varphi_1(a) \leq t$. As we advance t , we may hit a point $a \in A$ with $\varphi_1(a) = t$ or a point $b \in B$ with $\varphi_1(b) = t$. In the first case, we simply add a to A' . In the second case, any unmatched neighbor of b is contained in A' , as all points $a \in A \setminus A'$ satisfy $\varphi_1(a) > \varphi_1(b)$. It remains to check whether A' contains a point a' with $\varphi_2(a') \geq \varphi_2(b)$. If such a point exists, we include $a'b$ in the matching and remove a' from A' .

If we maintain A' in a balanced binary search tree, ordered by the key φ_2 , then insertions, deletions and the search for an element with large enough key can be performed in $O(\log n)$ time. In total, the running time is $O(n \log n)$. \square

Note, this algorithm gives a maximum matching with a suitable choice of $a' \in A'$. If we choose the vertex with the smallest $\varphi_2(a')$ value among the vertices $\varphi_2(a') \geq \varphi_2(b)$ in each step, we get a maximum matching.

3. Balanced separation with a short path

In this section we provide a structural result about splitting the convex hull of a point set with a single edge or with a 2-edge path in such a way that both sides contain a large fraction of the point set. This will be used later in our proofs of lower bounds. A very similar result can be found in Ábrego and Fernández-Merchant [1, Lemma 2]. We include a proof because their bound has a small error,¹ our approach is slightly different in the treatment of the triangular case (Theorem 5), we develop a colored version (Lemma 11 and Lemma 13), and because we discuss the algorithmic counterpart, a part that is not considered in [1] and that forces us to rework a proof.

We first consider the case when the convex hull is a triangle and the partition can be with different numbers of points. This will be a tool for the general case. See Fig. 3 to visualize the following statement.

Theorem 5. Assume that we have a triangle with vertices p_0, p_1 , and p_2 and in its interior there is a set P of $m \geq 1$ points such that $P \cup \{p_0, p_1, p_2\}$ is in general position. For any integer weights w_0, w_1, w_2 such that $0 \leq w_0, w_1, w_2 < m$ and $\ell := w_0 + w_1 + w_2 > 2m - 3$, there exist at least $\ell - 2m + 3 > 0$ points $q \in P$ such that, for each $i \in \{0, 1, 2\}$, the triangle $\Delta(p_i q p_{i+1})$ contains at most w_{i+2} points of P in its interior, where all indices are modulo 3.

We can find $\ell - 2m + 3$ points with this property in linear time.

Proof. In this proof, all indices are modulo 3. For $i \in \{0, 1, 2\}$, consider a ray r_i that starts at p_{i-1} and goes through p_i . We rotate r_i around p_{i-1} in the direction towards p_{i+1} until we pass r_i over $m - w_i - 1$ points of P . See Fig. 4, left, to visualize the case $i = 1$. For any of the points $q \in P$ we did not scan over, the triangle $\Delta(p_{i-1} q p_{i+1})$ contains at most w_i points of P in its interior; note that q is not in the interior of $\Delta(p_{i-1} q p_{i+1})$.

Some points of P may be scanned more than once, but in total we scan at most $3m - w_1 - w_2 - w_3 - 3 = 3m - \ell - 3$ points. So there are at least $m - (3m - \ell - 3) = \ell - 2m + 3 > 0$ points remaining, and each of them satisfies the desired property. See Fig. 4, right.

To show the *algorithmic claim*, we note that, for each $i \in \{0, 1, 2\}$, the points scanned by the rotation of r_i can be computed in linear time. To see this, we associate the number $\alpha(q) = \angle(p_i p_{i-1} q)$ to each point $q \in P$. We then compute the point q_i of P solving the $(m - w_i - 1)$ -selection problem with respect to $\{\alpha(q) \mid q \in P\}$, which takes linear time. All points $q \in Q$ with $\alpha(q) \leq \alpha(q_i)$ are marked as scanned. Note that we do not need to compute actual angles and that it suffices

¹ Lemma 2 in [1] is not correct for $n = 4$ because a ceiling was missing in the bound. The authors have an updated, corrected version in arXiv.

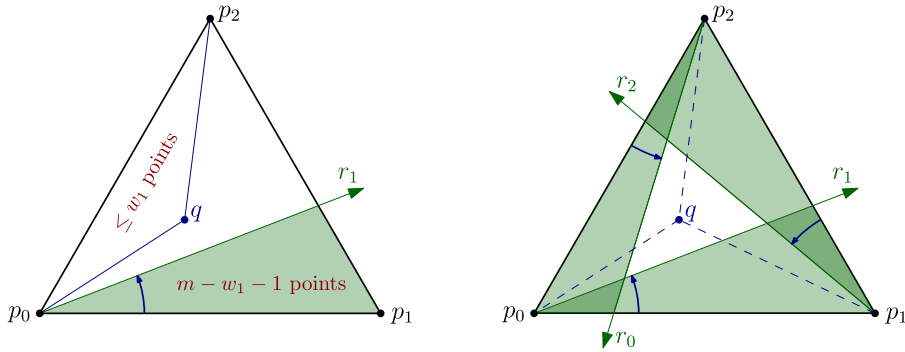


Fig. 4. Left: rotating r_1 until we pass over $m - w_1 - 1$ points. Any point not scanned by r_1 defines with p_0 and p_2 a triangle with at most w_1 points. Right: the part of the triangle that is not shadowed contains at least $\ell - 2m + 3$ points.

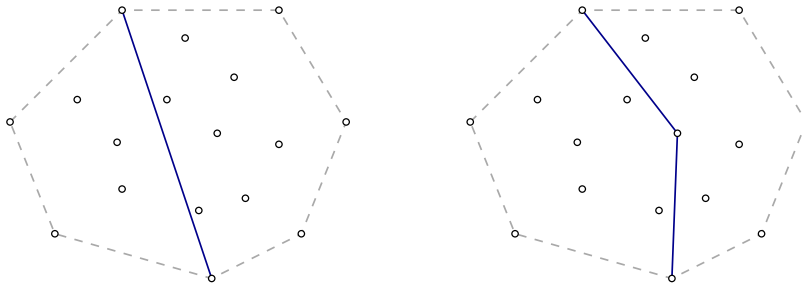


Fig. 5. Left: 5-separating path of length 1. Right: 7-separating path of length 2.

to use orientation tests to compare angles. After performing this for $i = 0, 1, 2$, the points that remain unmarked have the desired property. \square

As a special case we state the following corollary, which might be of its own interest.

Corollary 6. Let Δ be a triangle with a set P of $m \geq 1$ points in its interior. Then there is a point of P that splits Δ into three triangles, such that none of these triangles contains more than $\lceil (2m - 2)/3 \rceil$ points of P in its interior.

Proof. Perturb the points to general position, if needed, without changing the positive or negative orientation of any triple of points. Use now Theorem 5 with $w_0 = w_1 = w_2 = \lceil (2m - 2)/3 \rceil$, which satisfy $w_0 + w_1 + w_2 = 3 \lceil (2m - 2)/3 \rceil > 2m - 3$, to obtain a point q . Each triangle defined by q and any two vertices of Δ contains at most $\lceil (2m - 2)/3 \rceil$ of P in its interior. Undoing the perturbation, some points may move to the boundary of some of the triangles, but the number of points in the interior of a triangle cannot increase. \square

This result resembles the classical Centerpoint Theorem [8, Section 1.4], which tells that for each set P of n points in the plane there exists a so-called centerpoint q with the property that each open halfplane that does not contain q has at most $2n/3$ of the points of P inside. However, the centerpoint does not need to be a point of P , it exists independently of the shape of the convex hull, and for some point sets it cannot be an element of P .

Recall that $CH(P)$ denotes the convex hull of P . A point $p \in P$ is *extremal* for (or an *extreme point* of) P if it lies on the boundary of $CH(P)$. A k -separating path for P is a path π spanned by vertices of P and connecting two different extremal points of P such that $CH(P) \setminus \pi$ has two parts, each containing at least k points; note that the points on the path are counted in no part. The separation gets more balanced as k increases. See Fig. 5. The *length* of such a path is its number of edges.

Theorem 7. Let P be a set of $n \geq 2$ points in general position in the plane. Then there exists a $\lceil \frac{n-4}{3} \rceil$ -separating path of length 1 or 2. Such a separating path can be found in time linear in n .

Proof. For $n \leq 4$ the statement is trivially true. So for the remainder of the proof assume that $n \geq 5$. Let us set $r = \lceil (2(n - 3) - 2)/3 \rceil = \lceil (2n - 8)/3 \rceil$. The intuition is that r is the bound of Corollary 6 for $n - 3 \geq 1$ points; in our current setting, n is also counting the vertices of the triangle. We also set $k = \lceil (n - 4)/3 \rceil \geq 1$ as $n \geq 5$. Note that $n - 4 \leq r + k \leq n - 3$. The task is to show the existence of a k -separating path of length 1 or 2.

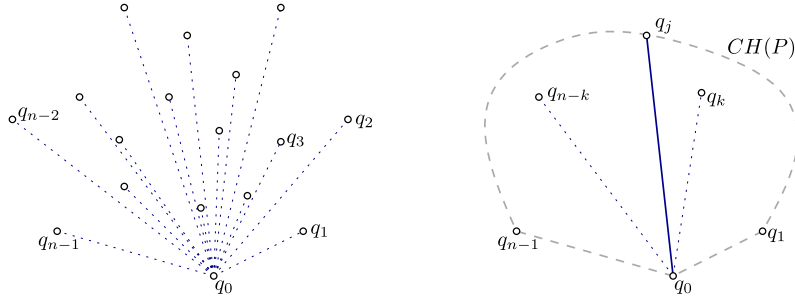


Fig. 6. Proof of Theorem 7.

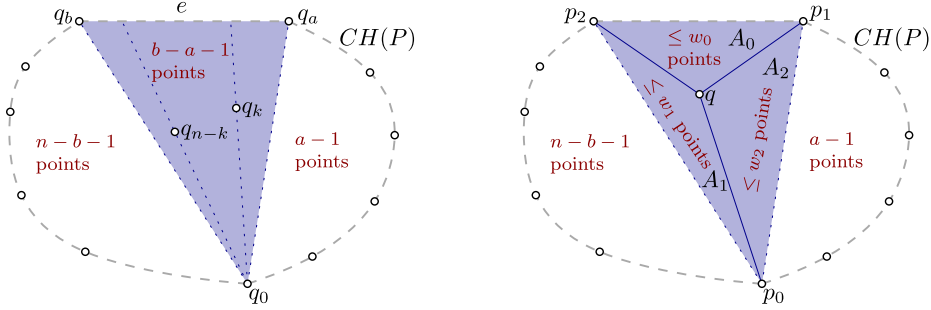


Fig. 7. Continuation of the proof of Theorem 7.

Choose an extremal point $q_0 \in P$ with the smallest y -coordinate. Let q_1, \dots, q_{n-1} be the points $P \setminus \{q_0\}$ sorted increasingly by the angle $\overline{q_0 q_i}$ makes with the horizontal rightward ray from q_0 . See Fig. 6, left.

If between q_k and q_{n-k} there is some extremal point q_j for P , which implies that $k < j < n - k$, then the segment $q_0 q_j$ is a k -separating path of length 1 and we are done. See Fig. 6, right. Otherwise, the rays $q_0 q_k$ and $q_0 q_{n-k}$ intersect the same edge e of $CH(P)$. Let $q_a q_b$ be the edge e , with $a < b$. This means $a \leq k < n - k \leq b$ and the triangle $\Delta(q_0 q_a q_b)$ has exactly $b - a - 1$ points in its interior. See Fig. 7, left. Note that we may have $a = k$ and $b = n - k$. We have $n - 2 \geq b - a \geq n - 2k$.

We want to apply Theorem 5 to $\Delta(q_0 q_a q_b)$ and the $m = b - a - 1 \geq n - 2k - 1 = n - 2\lceil(n-4)/3\rceil - 1 \geq n - 2(n-2)/3 - 1 = (n+1)/3 \geq 1$ points of P in its interior. To this end, set $p_0 = q_0$, $p_1 = q_a$, $p_2 = q_b$, $w_0 = r$, $w_1 = r - (n - b - 1)$, and $w_2 = r - (a - 1)$. See Fig. 7, right. To apply Theorem 5, we must verify that $w_0 + w_1 + w_2 > 2m - 3$:

$$\begin{aligned}
 w_0 + w_1 + w_2 &= r + (r - (n - b - 1)) + (r - (a - 1)) \\
 &= 3r - n + b - a + 2 \\
 &\geq 3r - n + b - a + 2 + (b - a - n + 2) && \text{using } n - 2 \geq b - a \\
 &= 3\lceil(2n - 8)/3\rceil - 2n + 2(b - a) + 4 && \text{using } r = \lceil(2n - 8)/3\rceil \\
 &\geq (2n - 8) - 2n + 2(m + 1) + 4 && \text{using } m = b - a - 1 \\
 &> 2m - 3.
 \end{aligned}$$

Theorem 5 guarantees the existence of a point $q \in P$ in the interior of $\Delta(p_0 p_1 p_2) = \Delta(q_0 q_a q_b)$ that splits it into three triangular pieces such that the interior of the triangle $\Delta(p_{i-1} q p_{i+1})$ has at most w_i points of P (for $i = 0, 1, 2$ and indices modulo 3).

We split $CH(P)$ into three parts A_0, A_1, A_2 by removing the segments $qq_0 = qp_0$, $qq_a = qp_1$, and $qq_b = qp_2$; the part A_i is the one whose closure is disjoint from the relative interior of qp_i (for $i = 0, 1, 2$). See Fig. 7, right. The points q, q_0, q_a, q_b belong to no part, while all the other points of P belong to exactly one part. From the choices of weights w_i , each part contains at most r points of P . For example, A_1 contains at most $(n - b - 1) + w_1 = r$ points.

Let B be a part A_j that contains the most points of $P \setminus \{q, p_0, p_1, p_2\}$. Let π be the separating path of length 2 that separates $A_j = B$ from $CH(P) \setminus A_j$; the path π is the concatenation of $p_{j-1}q$ and qp_{j+1} (indices modulo 3). Let B' be the other part of $CH(P) \setminus \pi$; it contains A_{j-1}, A_{j+1} and its common boundary (indices modulo 3).

By the pigeonhole principle, B contains at least $\lceil(n-4)/3\rceil = k$ points. On the other hand, B contains at most r points, which means that B' contains $(n-3) - r \geq k$ points. It follows that π is a k -separating path of length 2.

It remains to show the *algorithmic claim*. Finding q_0 takes linear time by scanning the point set. The points q_k and q_{n-k} can be found in linear time because it is the k - and $(n-k)$ -selection problem of P with respect to the angle $q_0 q_i$ makes

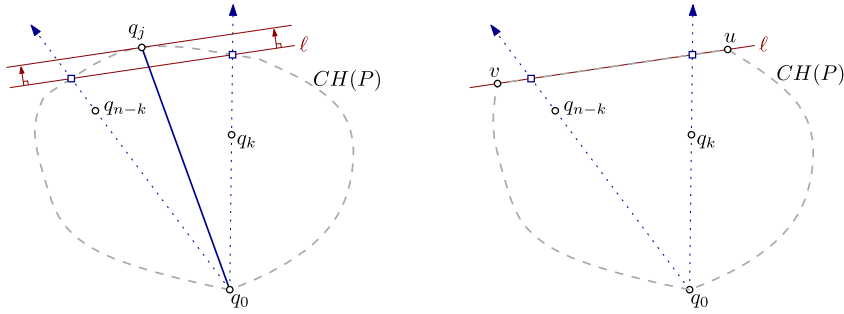


Fig. 8. Algorithmic part of Theorem 7.

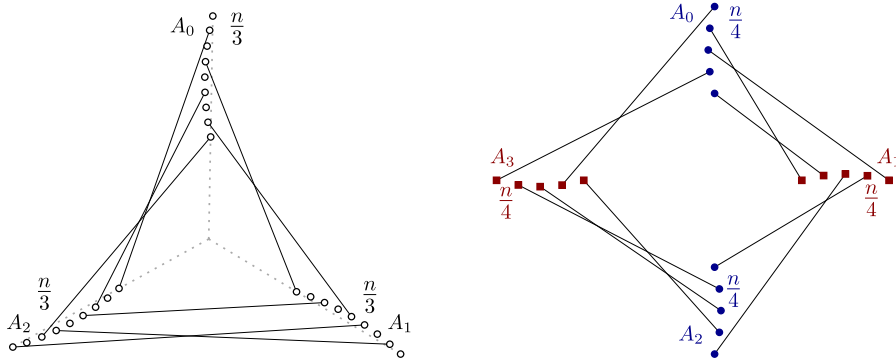


Fig. 9. Upper bounds for (colored) connected matchings. Left: uncolored. Right: balanced 2-colored.

with the horizontal rightward ray from q_0 . One does not need to compute the angle and can just use orientation tests. Using Lemma 3, we find in linear time the last intersection of the rays q_0q_k and q_0q_{n-k} with the boundary of $CH(P)$. Let ℓ be the line supporting those two intersections.

We test whether ℓ has all the points of P on the same side. If the test fails, we find the extremal point $q_j \in P$ swept by the line ℓ when we move it away from q_0 . See Fig. 8, left. The point q_j is then a vertex of $CH(P)$ and it lies in the cone defined by $q_kq_0q_{n-k}$. In this case we are in the first scenario and q_0q_j is the desired separator.

If the test is successful, that is, if all the points of P lie on the same side of ℓ , then ℓ supports an edge of $CH(P)$. This edge of $CH(P)$ is defined by the two points u, v of P that lie on the line ℓ , and they can be found in linear time. We then count how many points are in each region, that is, we figure out the indices a and b such that $u = q_a$ and $v = q_b$. We also compute the points in the interior of the triangle $q_0q_aq_b$, and use Theorem 5 to find in linear time the point q used to split $\Delta(q_0q_aq_b)$. The rest of the proof is constructive and can be done in linear time by scanning and counting points. \square

4. Upper bounds

In the following we provide upper bounds on the maximal size of connected matchings that exist for any given set of n points.

We start with an upper bound for the uncolored case. Consider n points split into three sets A_0, A_1, A_2 of size roughly $\frac{n}{3}$, where the sizes of any two sets differ by at most one, and each A_i lies on its own slightly curved blade of a three-bladed windmill; see Fig. 9, left. We use indices modulo three in the discussion. We can form such a configuration so that each line determined by two points of A_i separates A_{i+1} from A_{i+2} , and no segment connecting one point of A_i with one point of A_{i+1} crosses any segment connecting two points of A_{i+1} . Hence, the set of all segments is separated into three parts where each part consists of segments connecting two points of A_i or one point of A_i and one point of A_{i+1} , and segments from different parts do not cross. Clearly, the size of the largest matching spanning $A_i \cup A_{i+1}$, if their sizes differ by at most one, is $\min\{|A_i|, |A_{i+1}|\}$, and the largest of those values over $i \in \{0, 1, 2\}$ gives the largest connected matching. To be careful with the modulus of n , we note that there is a connected matching of maximum size $\lceil \frac{n}{3} \rceil$ when at least two of the sets have that size; when only one set has that size, the largest connected matching has size $\lfloor \frac{n}{3} \rfloor$. Thus, for each n we have constructed a point set where the maximum connected matching has size $\lceil \frac{n-1}{3} \rceil$.

Now, we provide an upper bound for the size of a connected matching in the balanced 2-colored case. We consider a similar configuration. Recall that the coloring is balanced: the cardinalities of each color class differ by at most one. We split the points into four sets A_0, A_1, A_2, A_3 of size roughly $\frac{n}{4}$ so that the sizes of any two sets differ by at most one, and each A_i lies on its own slightly curved blade of a four-bladed windmill. The sets A_0 and A_2 contain only blue points, while A_1

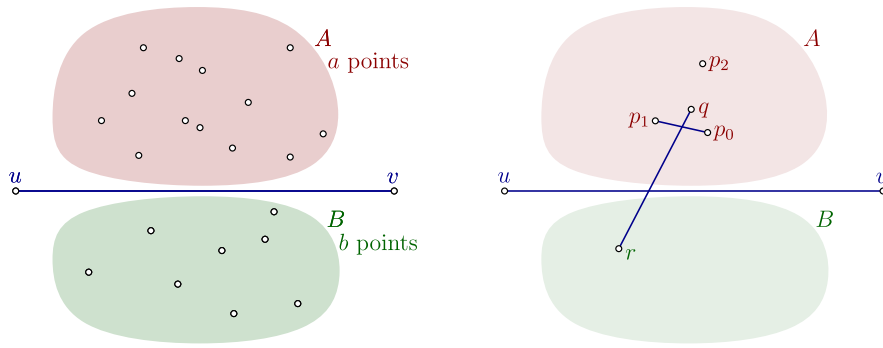


Fig. 10. Left: Situation in Lemma 8. Right: edges added to the matching when A has four points not in convex position.

and A_4 only red ones. See Fig. 9, right. In this configuration, bichromatic segments connecting points of A_i with points of A_{i+1} do not cross any other segments (indices modulo 4), so the size of the largest connected matching is $\frac{n}{4}$. A maximum connected matching of size $\lceil \frac{n}{4} \rceil$ is attainable when two sets of different colors have that cardinality, that is, when $n \equiv 2, 3 \pmod{4}$. Thus, for each n we have constructed a 2-colored point set where the maximum connected matching has size $\lceil \frac{n-1}{4} \rceil$.

5. Lower bound for uncolored sets

We first consider the following special setting, depicted in Fig. 10, left.

Lemma 8. Assume that we have a horizontal segment uv , a set A of a points above the line supporting uv , and a set B of $b \leq a$ points below the line supporting uv such that, for all $(p, q) \in A \times B$, the segment pq intersects uv , and $A \cup B \cup \{u, v\}$ consists of $a + b + 2$ points in general position. Then, $A \cup B \cup \{u, v\}$ has a connected matching of size at least

$$m(a, b) := \begin{cases} 1 + b & \text{if } b \leq a \leq 2b + 3, \\ (a + 3b + 2)/5, & \text{if } 2b + 3 \leq a \leq 7b + 3, \\ 1 + 2b, & \text{if } a \geq 7b + 3. \end{cases}$$

Such a connected matching can be computed in $O(1 + a \log a)$ time.

Proof. We first make two easy observations that will come in handy to follow the discussion:

- (a) A matching of B onto A with b edges together with the edge uv to “connect” them is a connected matching of size $b + 1$. We want to improve upon this when the sides are unbalanced, in particular when a is larger than $2b \pm O(1)$.
- (b) If A has a large subset A' in convex position, then we can get a connected matching of size $\lfloor \frac{|A'|}{2} \rfloor$, for example by connecting “antipodal” points along the boundary of $CH(A')$.

We construct a connected matching M iteratively as follows. At the start we add uv to M . While $|A| > |B| > 0$ and A has four points p_0, p_1, p_2, q such that q is in the interior of $\triangle(p_0 p_1 p_2)$, we take an arbitrary point $r \in B$, add the edge qr to M , and add to M the edge pp' of $\triangle(p_0 p_1 p_2)$ crossed by qr . See Fig. 10, right. Note that $\{pp', uv, qr\}$ is a connected matching. Then we remove p, p', q from A , and r from B . With each repetition of this operation, we increase the size of the matching by two, remove three points from A , and remove a point from B . We repeat this operation until B is empty, $|A| \leq |B|$, or A is in convex position, whatever happens first. Let k be the number of repetitions of this operation, let A' and B' be the subsets of A and B , respectively, that remain at the end. Therefore, M currently is a connected matching with $1 + 2k$ edges, A' has $a - 3k$ points, and B' has $b - k$ points.

We now consider the different conditions that hold at the end:

Condition (1) If we finish because B' is empty, then $k = b$ and the matching M has $1 + 2b$ edges. This scenario can happen only when $a \geq 3b$, because otherwise we run out of points of A earlier.

Condition (2) If we finish because $|A'| \leq |B'|$, we match the remaining points of A' to B' arbitrarily and add those $|A'|$ edges to M ; since they cross uv , M keeps being a connected matching. Because the cardinality of A decreases at steps of size 3 and the cardinality of B decreases at steps of size 1, this means that $|A'| \leq |B'| \leq |A'| + 1$, which implies that $a - 3k \leq b - k \leq a - 3k + 1$, or equivalently, we have $a - 2k \leq b \leq a - 2k + 1$. From this, because k is an integer, we obtain that $k = \lceil (a - b)/2 \rceil$. The size of the connected matching M is now $1 + 2k + (a - 3k) = 1 + a - k = 1 + a - \lceil (a - b)/2 \rceil = 1 + \lfloor (a + b)/2 \rfloor$. This scenario can happen for any $3b \geq a \geq b$.

Condition (3) If we finish because A' does not have any 4 points with the desired condition, the key observation is to note that A' is in convex position. (This is also true if $|A'| \leq 3$.) We consider two connected matchings and take the best of both.

The first matching is obtained by adding to M a matching between all the vertices of B' and any subset of A' with $|B'|$ points. The second matching, which we denote by M' , is obtained by taking a connected matching of the points A' , that is in convex position. Note that this is a matching *within* A . We take the larger matching of M and M' .

The connected matching M has size $1 + 2k + (b - k) = 1 + b + k$. The other connected matching, M' , has $\lfloor \frac{|A'|}{2} \rfloor = \lfloor \frac{a-3k}{2} \rfloor \geq \frac{a-3k-1}{2}$ edges. Therefore, in this outcome we get a connected matching of size

$$\max \left\{ 1 + b + k, \frac{a - 3k - 1}{2} \right\}.$$

The first term increases with k , the second term decreases with k , and the two terms are equal when k takes the value $k_0 := (a - 2b - 3)/5$. At $k = k_0$ the expression takes the value $(a + 3b + 2)/5$. However, because in each step we remove 3 points from A and 1 point from B , we have some additional constraints, as follows.

$$k \leq a/3 : \quad k_0 \leq a/3 \iff 2a \geq -6b - 9, \text{ always true.}$$

$$k \leq b : \quad k_0 \leq b \iff a \leq 7b + 3.$$

$$k \geq 0 : \quad k_0 \geq 0 \iff a \geq 2b + 3.$$

Therefore, if $a < 2b + 3$, then $k_0 < 0$ and the maximum is always attained at the function $1 + b + k$, which in the worst case takes value $1 + b$. If $a > 7b + 3$, then $k_0 > b$ and for all valid values of k ($k \leq b$) the maximum is given by $\frac{a-3k-1}{2}$; its minimum value is at $k = b$, giving $\frac{a-3b-1}{2}$. Summarizing this outcome, we get a connected matching whose size is bounded from below by the following function

$$\tilde{m}(a, b) := \begin{cases} 1 + b & \text{if } b \leq a \leq 2b + 3, \\ (a + 3b + 2)/5, & \text{if } 2b + 3 \leq a \leq 7b + 3, \\ (a - 3b - 1)/2, & \text{if } a \geq 7b + 3. \end{cases}$$

Note that this function is “continuous” at the boundary cases, which is a “good indication”.

Since we have given a construction that can finish with 3 different conditions, we have to consider the worst case among those scenarios, and show that in each case $m(a, b)$ is a lower bound on the size of the connected matching.

We first compare the outcomes under Conditions (1) and (3) and see that actually $m(a, b)$ describes the worst case among them.

$$\text{If } b \leq a < 2b + 3 : \quad 1 + b \leq 1 + 2b \text{ always}$$

$$\text{If } 2b + 3 \leq a \leq 7b + 3 : \quad \frac{a + 3b + 2}{5} \leq 1 + 2b \iff a \leq 7b + 3$$

$$\text{If } a \geq 7b + 3 : \quad \frac{a - 3b - 1}{2} \geq 1 + 2b \iff a \geq 7b + 3.$$

It remains to compare the outcome under Condition (2) and $m(a, b)$; we will see that the worst case is never in this outcome. In some cases we compare against $(a + b + 1)/2 \leq 1 + \lfloor (a + b)/2 \rfloor$, as it suffices and it is easier to manipulate.

$$\text{If } b \leq a < 2b + 3 : \quad 1 + b \leq 1 + \left\lfloor \frac{a + b}{2} \right\rfloor \iff a \geq b \text{ always}$$

$$\text{If } 2b + 3 \leq a \leq 7b + 3 : \quad \frac{a + 3b + 2}{5} \leq \frac{a + b + 1}{2} \iff b \leq 3a + 1 \text{ always}$$

$$\text{If } a \geq 7b + 3 : \quad 1 + 2b \leq \frac{a + b + 1}{2} \iff a \geq 3b + 1.$$

We conclude that $m(a, b)$ indeed gives a lower bound on the size of a connected maximum matching.

It remains to discuss the *algorithmic claim*. We only discuss the case of $a \geq 1$. Since $a \geq b$, we have $O(a)$ points in total. The proof is constructive and most of it is just simple book keeping of the sizes of the sets. The only complicated aspect of the algorithm is finding the 4 points of A that are not in convex position, or recognize that A is in convex position. For this we employ an incremental algorithm to compute $CH(A)$ by adding the points by increasing x -coordinate. Let p_1, \dots, p_a be the points of A sorted by increasing x -coordinate. For each index i , let A_i be the prefix $\{p_1, \dots, p_i\}$.

We maintain a connected matching M and a subset $X_i \subseteq A_i$ such that: (i) X_i is in convex position, and (ii) $A_i \setminus X_i$ are endpoints of the connected matching we maintain. This means that $X_i \cup \{p_{i+1}, \dots, p_a\}$ is the set of points A maintained

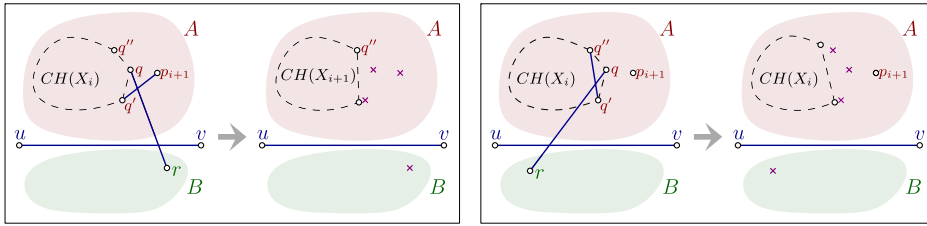


Fig. 11. Algorithmic part of Lemma 8. Two cases may arise when inserting p_{i+1} : we may match p_{i+1} to B (left) or we may match the other three points that together with p_{i+1} form a non-convex 4-tuple. Crosses denote points that are deleted.

through the iterations of the constructive proof. For X_i we maintain its convex hull, $CH(X_i)$, as a linked list with a finger to its rightmost point; in general, p_i is *not* the rightmost point of X_i because it may have been matched.

When we add the next point, p_{i+1} , we compute $CH(X_i \cup \{p_{i+1}\})$ from $CH(X_i)$. If in the process we do not delete any point of X_i , meaning that all points of $X_i \cup \{p_{i+1}\}$ are extremal, we just set $X_{i+1} = X_i \cup \{p_{i+1}\}$, move the finger to p_{i+1} because it is the rightmost point of X_{i+1} , and move to the next point, p_{i+2} . If in the process we delete some point q or X_i , let q' and q'' the neighbors of q along the boundary of $CH(X_i)$. The triangle $\triangle(p_{i+1}q'q'')$ contains q in its interior. See Fig. 11. In this case we make once the operation described in the constructive proof: select any point r from B , add to the matching qr and the edge e of $\triangle(pq'q'')$ it crosses. Now we have to remove the points q and two other points of e . For this we undo the changes we made to $CH(X_i)$, so that we get $CH(X_i)$ back. We remove the points of $\{q, q', q''\} \cap X_i$ that were matched, which takes constant time; we may have to update the finger to the point with largest x -coordinate. If p_{i+1} is to be removed because it was matched, we have finished and move to the next point, p_{i+2} ; this is the case in the left of Fig. 11. Otherwise, we try to reinsert p_{i+1} again, which may trigger another iteration adding another two edges to the matching; this is the case on the right of Fig. 11.

After sorting the points of A , we spend $O(1)$ time per point, if we do not add any edge to the matching, and $O(1)$ time per edge added to the matching. Therefore, in total we spend $O(a \log a)$ time. \square

Note that the bound $m(a, b)$ of Lemma 8 is monotone increasing in a and in b , also when we take a and b as real values (with $b \leq a$ always). Moreover, when $a + b$ remains constant, then $m(a, b)$ is larger for larger b . This means $m(a, b) \leq m(a - 1, b + 1)$ whenever $b \leq a - 2$.

Theorem 9. Let P be a set of $n \geq 2$ points in general position in the plane. Then P has a connected matching of size at least $(5n + 1)/27$ which can be computed in $O(n \log n)$ time.

Proof. By Theorem 7 we know that there is a $\lceil \frac{n-4}{3} \rceil$ -separating path π of length 1 or 2 for P . Let A and B be the sets of points of P on each side of π , such that $|A| \geq |B|$. Note that the vertices of π belong neither to the set A nor to the set B , which means that $n - 3 \leq |A| + |B| \leq n - 2$. Therefore we have

$$\left\lceil \frac{n-4}{3} \right\rceil \leq |B| \leq |A| \leq n - 3 - \left\lceil \frac{n-4}{3} \right\rceil = \left\lfloor \frac{2n-5}{3} \right\rfloor.$$

Each edge connecting a point of A to a point of B crosses π .

If π consists of a single edge e , then we match all points of B to points of A arbitrarily, and include e also in the matching. Since all these edges intersect e , they form a connected matching of size $1 + |B| \geq \lceil \frac{n-1}{3} \rceil \geq \frac{5n+1}{27}$. (This last inequality holds for $n \geq 2$.)

For the remainder of this proof we assume that π has length two, and denote its edges by e_1 and e_2 . We build a *maximal* matching M_1 from $B_1 \subseteq B$ to $A_1 \subseteq A$ with edges that cross e_1 . This means that $|A_1| = |B_1|$ and there is no point in $A \setminus A_1$ that can be connected to a point in $B \setminus B_1$ by crossing e_1 . Set $A_2 = A \setminus A_1$ and $B_2 = B \setminus B_1$; Each segment connecting a point in A_2 to a point of B_2 must cross e_2 because it does not cross e_1 . We make an arbitrary matching M_2 connecting each point of B_2 to points of A_2 ; this can be done because $|B_2| = |B| - |M_1| \leq |A| - |M_1| = |A_2|$. We add e_1 to M_1 and e_2 to M_2 so that M_1 and M_2 become connected matchings with $|M_1| + |M_2| = 2 + |B|$.

If M_1 or M_2 has size at least $\frac{5n+1}{27}$, then we are done. Therefore, we can restrict our attention to the case when $|M_1|, |M_2| \leq \frac{5n+1}{27}$. Since $|A_1| = |B_1| = |M_1| - 1 \leq \frac{5n-26}{27}$, we have

$$|B_2| = |B| - |B_1| \geq \left\lceil \frac{n-4}{3} \right\rceil - \frac{5n-26}{27} \geq \frac{4n-10}{27}.$$

We apply Lemma 8 to the segment e_2 with A_2 and B_2 to get a connected matching, where $a = |A_2|$ and $b = |B_2|$. Since the lower bound $m(a, b)$ of Lemma 8 is monotone increasing in b , even when $a + b$ is fixed, we get a worst-case lower bound by evaluating it at

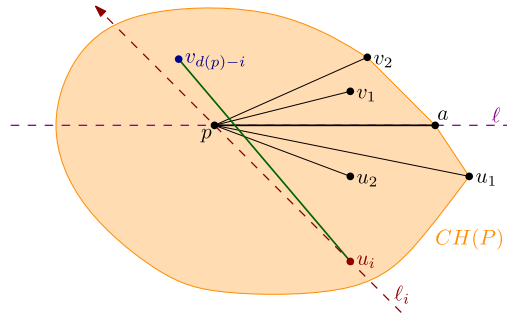


Fig. 12. Proof of Theorem 10. The segment $u_i v_{d(p)-i}$ intersects ap for each i with $1 \leq i < d(p)$.

$$b := \frac{4n-10}{27} \leq |B_2|$$

$$a := \frac{13n-19}{27} = (n-3) - 2 \cdot \frac{5n-26}{27} - \frac{4n-10}{27}$$

$$\leq (n-3) - |A_1| - |B_1| - b = |A_2| + |B_2| - b,$$

because $a + b \leq |A_2| + |B_2|$. Note that for this choice of a and b we indeed have $b \leq a$ for $n \geq 2$. To evaluate the function $m(a, b)$ of Lemma 8, the values $a = \frac{13n-19}{27}$ and $b = \frac{4n-10}{27}$ fall in the regime $2b + 3 \leq a \leq 7b + 3$, when $n \geq 16$, because

$$2b + 3 = \frac{8n+61}{27} \stackrel{16 \leq n}{\leq} \frac{13n-19}{27} = a \leq \frac{28n+11}{27} = 7b + 3.$$

In this case, when $n \geq 16$, we obtain the worst-case lower bound

$$\frac{a+3b+2}{5} = \frac{1}{5} \cdot \left(\frac{13n-19}{27} + 3 \cdot \frac{4n-10}{27} + 2 \right) = \frac{1}{5} \cdot \frac{25n+5}{27} \geq \frac{5n+1}{27}.$$

For $2 \leq n \leq 15$, we have to evaluate the function $m(a, b)$ of Lemma 8 in the regime $b \leq a \leq 2b + 3$, and the lower bound we obtain is

$$1 + b = 1 + \frac{4n-10}{27} = \frac{4n+17}{27} \stackrel{16 \geq n}{\geq} \frac{5n+1}{27}.$$

This covers all options for n and concludes the proof of the lower bound $(5n+1)/27$.

It remains to discuss the *algorithmic claim*. The computation of the separating path via Theorem 7 takes $O(n)$ and the computation of the maximal matching takes $O(n \log n)$ using Lemma 4. Lemma 8 takes $O(n \log n)$ time. The remaining tasks are simple book keeping of cardinalities of sets. \square

5.1. Sets with deep points

We define the *depth* $d(p)$ of a point $p \in P$ as the minimum number of points that need to be removed from P so that p lies on the boundary of the convex hull of the remaining points. This implies that any line through p has at least $d(p)$ points of S on both of its sides. If the set P of points is in convex position, then $d(p) = 0$ for all $p \in P$. However, for some point sets in general position, we may have some point at depth $(n-2)/2$.

Theorem 10. Let P be a set of n points in general position in the plane and let p be a point of P with the largest depth $d(p)$ in P . Then P has a connected matching of size at least $d(p)$.

Proof. Let ℓ be a line that passes through p and an arbitrary extremal point a of P . Without loss of generality we may assume that pa is horizontal with a to the right of p . The edge $e = pa$ is our first matching edge and we will construct additionally $d(p) - 1$ matching edges that all intersect e . See Fig. 12.

Label the points strictly above ℓ as v_1, \dots, v_k in counterclockwise order around p , from a onwards. Note that $k \geq d(p)$. Label the points strictly below ℓ as $u_1, \dots, u_{k'}$ in clockwise order around p , from a onwards. Note that $k' \geq d(p)$, let ℓ_i be the oriented line passing through u_i and then p . For each i with $1 \leq i < d(p)$, the points $v_1, \dots, v_{d(p)-i}$ are to the right of ℓ_i . This is so because otherwise to the right of the ℓ_i we would have $\{a, u_1, \dots, u_{i-1}\}$ and a subset of $\{v_1, \dots, v_{d(p)-i-1}\}$, which in total has $1 + (i-1) + d(p) - i - 1 = d(p) - 1$ points, contradicting the fact that p is at depth $d(p)$. Moreover, because $v_{d(p)-i}$ is to the right of ℓ_i and a is an extreme point of $CH(P)$, the segment $u_i v_{d(p)-i}$ intersects the segment $e = pa$. It follows that the segments $u_1 v_{d(p)-1}, u_2 v_{d(p)-2}, \dots, u_{d(p)-1} v_1$ together with $e = pa$ form a connected matching of size $d(p)$. \square

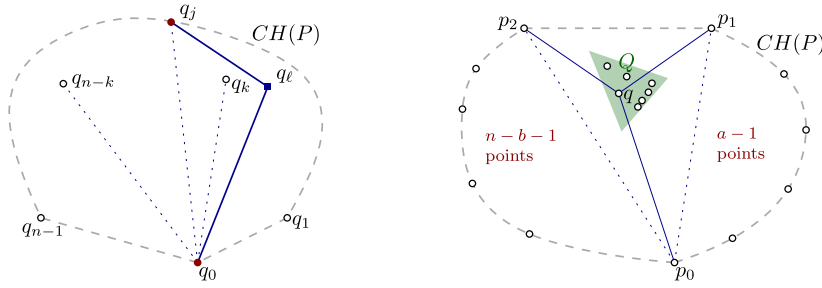


Fig. 13. Proof of Lemma 11. Left: Schema where ℓ satisfies $\frac{n}{4} - 1 \leq \ell \leq \frac{3n}{4} + 1$. Right: All the points in Q give a good enough partition, and Q has points with at least 4 colors.

Note that the bound is tight for four points, when one of them is in the interior of the convex hull.

6. Lower bound for colored sets

For this section, P denotes a set of n points in general position in the plane with a balanced c -coloring. This means that each of the c color classes has roughly n/c points. To avoid carrying floors and ceilings, which make the computation more cumbersome, in our results we will not optimize additive constants.

For colored sets we will prove our lower bounds using separating paths, as in the uncolored case. The main difference is that we want that each edge of the separating path connects points with different colors, as otherwise they can not be used as matching edges. For this, we say that a *polychromatic k -separating path* is a k -separating path where each edge of the path connects points with different colors. To show the existence of polychromatic k -separating path, for a suitable k , we use Theorem 5 in such a way that there are enough candidate points to split the triangle into the required weighted subtriangles. A sufficiently large number of points allow us to have flexibility of choosing the color of the points in the separating path.

First we show colored variants of Theorem 7. We provide two results, each of them better for a different range of c .

Lemma 11. *For $c \geq 4$ and sufficiently large n , there exists a polychromatic $\left(\frac{(c-3)n}{3c} - 3\right)$ -separating path for P of length 1 or 2. Such a separating path can be found in time linear in n .*

Proof. We closely follow the proof of Theorem 7. As it was done there, we set $k = \lceil (n-4)/3 \rceil$, which means that $\frac{n-4}{3} \leq k \leq \frac{n-2}{3}$, and define q_0, q_1, \dots, q_{n-1} by sorting the points radially around the point q_0 with minimal y -coordinate.

Consider first the case where between the points q_k and q_{n-k} there is an extremal point q_j . If q_0 and q_j have different colors, then we take $q_0 q_j$ as the separating path, which is a k -separating path for $k \geq \frac{n-4}{3} \geq \frac{(c-3)n}{3c} - 3$, whenever $c \geq 2$. Otherwise, we take a point q_ℓ with $\frac{n}{4} - 1 \leq \ell \leq \frac{3n}{4} + 1$ such that q_ℓ has a color different than q_0 and q_j . See Fig. 13, left. Such a point exists because there are at least $(\frac{3n}{4} + 1) - (\frac{n}{4} - 1) - 1 = \frac{n}{2} + 1$ points, and no color class has more than $\frac{n}{2} + 1$ points. Note that q_j is also from this interval of points, but as q_0 has the same color as q_j there is at least one point with a different color among the $\frac{n}{2} + 1$ points. Then, the path $q_0 q_\ell q_j$ is a $(\frac{n}{4} - 2)$ -separating path of length 2: it has at least $\ell - 1 \geq \frac{n}{4} - 2$ on one side, and at least $k \geq \frac{n-4}{3}$ on the other side. Finally, we note that $\frac{n}{4} - 2 \geq \frac{(c-3)n}{3c} - 3$ for $c \geq 2$. (It may be that q_ℓ is an extreme point, and therefore $q_0 q_\ell q_j$ splits $CH(P)$ into three parts, but then two of them are on the same side of the path.)

Now we turn to the case where between q_k and q_{n-k} there is no extremal point. This means that there is an edge $q_a q_b$ of $CH(P)$ such that q_k and q_{n-k} are in the triangle $\triangle(q_0 q_a q_b)$, which also means that $a \leq k < n - k \leq b$. The triangle $\triangle(q_0 q_a q_b)$ has $m = b - a - 1 \leq n - 3$ points in the interior. We set $p_0 = q_0$, $p_1 = q_a$, $p_2 = q_b$,

$$w_0 = \left\lceil \left(\frac{1}{c} + \frac{2}{3} \right) n \right\rceil, \quad w_1 = w_0 - (n - b - 1), \quad \text{and} \quad w_2 = w_0 - (a - 1).$$

We first note that

$$w_0 > 0,$$

$$w_1 = w_0 - (n - b - 1) \geq \left(\frac{1}{c} + \frac{2}{3} \right) n - k + 1 > \frac{2n}{3} - \frac{n-2}{3} + 1 > 0,$$

$$w_2 = w_0 - (a - 1) \geq \left(\frac{1}{c} + \frac{2}{3} \right) n - k + 1 > 0,$$

and then we note that

$$\begin{aligned}
w_0 + w_1 + w_2 &\geq 3 \left(\frac{1}{c} + \frac{2}{3} \right) n - (n - b - 1) - (a - 1) \\
&= 2n + \frac{3n}{c} - n + b - a + 2 \\
&\geq \frac{3n}{c} + n + b - a + 2 \\
&\geq \frac{3n}{c} + (m + 3) + (m + 1) + 2, \quad \text{using } m = b - a - 1 \text{ and } n - 3 \geq m \\
&\geq \frac{3n}{c} + 2m + 6.
\end{aligned}$$

This means that, using Theorem 5 we get a set $Q \subset P$ of at least $w_0 + w_1 + w_2 - 2m + 3 \geq \frac{3n}{c} + 9$ points such that each $q \in Q$ satisfies the conclusion of Theorem 5: the interior of each triangle $\triangle(p_{i-1}qp_{i+1})$ has at most w_i points of P (for $i = 0, 1, 2$ and indices modulo 3). See Fig. 13, right.

Since each color class has at most $\lceil \frac{n}{c} \rceil \leq \frac{n}{c} + 1$ points, Q has points with at least four different colors. Let q be a point of Q with a color different than p_0, p_1, p_2 . We can now use qp_0, qp_1, qp_2 to split $CH(P)$, as it was done in the proof of Theorem 7, and to select the piece B with the largest number of points. As it happened there, B has at least $\frac{n-4}{3}$ points by the pigeonhole principle, and it has at most $w_0 \leq \left(\frac{1}{c} + \frac{2}{3} \right) n$ points by construction, which means that the other side has at least

$$n - 3 - w_0 \geq n - 3 - \left(\frac{1}{c} + \frac{2}{3} \right) n = \frac{(c-3)n}{3c} - 3$$

points.

The algorithm to compute the separating path is very similar to the algorithm in Theorem 7. \square

Theorem 12. Assume that $c \geq 4$ and n is sufficiently large. Let P be a set of n points in general position in the plane with a balanced c -coloring. Then P has a polychromatic connected matching of size at least $\frac{(c-3)n}{6c} - \frac{1}{2}$ which can be computed in $O(n)$ time.

Proof. We use Lemma 11 to compute a polychromatic $\left(\frac{(c-3)n}{3c} - 3 \right)$ -separating path π for P of length 1 or 2. Let A and B be the sets on one side and the other side of π , and set $k = \min\{|A|, |B|\} \geq \frac{(c-3)n}{3c} - 3$. We can compute a polychromatic matching M of size k between A and B greedily: at each step, we match a point from A and a point of B with different colors from the two most popular color classes; in this way, different color classes differ by at most one through the whole procedure, and all the points in the smallest side get matched. Since each edge of M crosses π , at least one of the two (or fewer) edges of π , say e , is intersected by $|M|/2$ edges of M . The edges of M intersecting e together with e form a polychromatic matching of size at least $1 + \frac{k}{2} \geq 1 + \frac{(c-3)n}{6c} - \frac{3}{2} = \frac{(c-3)n}{6c} - \frac{1}{2}$. The computation in linear time is easy after obtaining the separating path of Lemma 11. \square

Lemma 13. For $c \geq 2$ and sufficiently large n , there exists a polychromatic path π with at most 3 edges, and two sets $P', P'' \subset P$, each with at least $\frac{(c-1)n}{3c} - 4$ points, such that each edge connecting a point from P' to a point of P'' intersects π .

Proof. The path π we are searching for is essentially a polychromatic k -separating path of length at most 3, but now the path may self-intersect and the regions are not obvious.

We closely follow the proof of Lemma 11. The only difference is that we set

$$w_0 = \left\lceil \left(\frac{1}{3c} + \frac{2}{3} \right) n \right\rceil, \quad w_1 = w_0 - (n - b - 1), \quad \text{and } w_2 = w_0 - (a - 1).$$

(In the proof of Lemma 11 we had $\frac{1}{c}$ instead of $\frac{1}{3c}$.) Like before, we note that

$$\begin{aligned}
w_0 &> 0, \\
w_1 &= w_0 - (n - b - 1) \geq \left(\frac{1}{3c} + \frac{2}{3} \right) n - k + 1 > \frac{2n}{3} - \frac{n-2}{3} + 1 > 0, \\
w_2 &= w_0 - (a - 1) \geq \left(\frac{1}{3c} + \frac{2}{3} \right) n - k + 1 > 0,
\end{aligned}$$

and then we note that

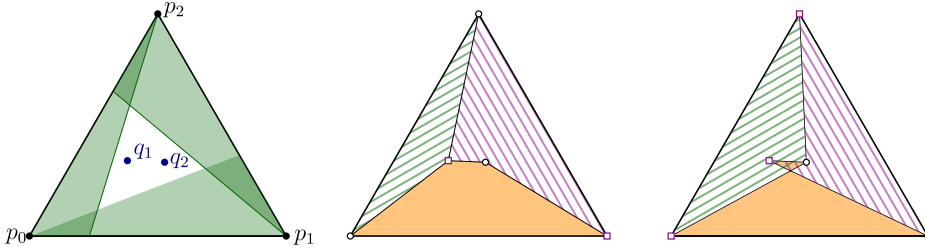


Fig. 14. Proof of Lemma 13. Left: Two points q_1, q_2 from Q with different colors. Center and right: two possible configurations and the regions they define. In the right, all three regions share a triangle.

$$\begin{aligned}
 w_0 + w_1 + w_2 &\geq 3 \left(\frac{1}{3c} + \frac{2}{3} \right) n - (n - b - 1) - (a - 1) \\
 &= 2n + \frac{n}{c} - n + b - a + 2 \\
 &\geq \frac{n}{c} + n + b - a + 2 \\
 &\geq \frac{n}{c} + (m + 3) + (m + 1) + 2, \quad \text{using } m = b - a - 1 \text{ and } n - 3 \geq m \\
 &\geq \frac{n}{c} + 2m + 6.
 \end{aligned}$$

This means that, using Theorem 5 we get a set $Q \subset P$ of at least $w_0 + w_1 + w_2 - 2m + 3 \geq \frac{n}{c} + 9$ points such that each $q \in Q$ satisfies the conclusion of Theorem 5: the interior of each triangle $\triangle(p_{i-1}qp_{i+1})$ has at most w_i points of P (for $i = 0, 1, 2$ and indices modulo 3). Recall Fig. 13, right.

Since each color class has at most $\lceil \frac{n}{c} \rceil \leq \frac{n}{c} + 1$ points, Q has points with at least two different colors. Let q_1, q_2 be points of Q with different colors. If one of them has a color different than the three points p_0, p_1, p_2 , we can continue as usual. Otherwise, we connect each point p_i ($i = 1, 2, 3$) with a point q_j ($j = 1$ or $j = 2$) that has a different color. We also connect q_1q_2 . See Fig. 14. These four edges define 3 regions; they may overlap because two (and only two) of the edges may cross. Nevertheless, the same argument as shown before can be used to show that each of the regions defined by the edge $p_{i-1}p_{i+1}$ contains at most w_i points. Now a region is bounded by a 3-edge path, which is defined by 4 points.

We can now use these regions to cover $CH(P)$ with three pieces, possibly with an overlap, and to select the piece B with the largest number of points. Let π be the path that together with a portion of the boundary of $CH(P)$ defines B . As it happened in the proof of Lemma 11, B has at least $\frac{n-5}{3}$ points (instead of $\frac{n-4}{3}$) by the pigeonhole principle, and it has at most $w_0 \leq \left(\frac{1}{3c} + \frac{2}{3} \right) n$ points by construction, which means that the complement has at least

$$(n - 4) - w_0 \geq n - 4 - \left(\frac{1}{3c} + \frac{2}{3} \right) n = \frac{(c-1)n}{3c} - 4$$

points. (Now we have $n - 4$, instead of $n - 3$, because a bounding path has 4 points instead of 3.) We take P' to be the points inside B and P'' the points outside B and not on π . Since π connects two points on the boundary of $CH(P)$, each edge connecting a point from P' to a point of P'' crosses π . \square

Theorem 14. Assume that $c \geq 2$ and n is sufficiently large. Let P be a set of n points in general position in the plane with a balanced c -coloring. Then P has a polychromatic connected matching of size at least $\frac{(c-1)n}{9c} - \frac{1}{3}$ which can be computed in $O(n)$ time.

Proof. The proof is very similar to the proof of Theorem 12, but we use Lemma 13. We start using Lemma 13 to obtain the path π and the point sets P' and P'' claimed there. Set $k = \min\{|P'|, |P''|\} \geq \frac{(c-1)n}{3c} - 4$. We construct a polychromatic matching M of size k between P' and P'' greedily, as discussed in the proof of Theorem 12. Since each edge of M crosses π , at least one of the three (or fewer) edges of π , say e , is intersected by $|M|/3$ edges of M . The edges of M intersecting e together with e form a polychromatic matching of size at least $1 + \frac{k}{3} \geq 1 + \frac{(c-1)n}{9c} - \frac{4}{3} = \frac{(c-1)n}{9c} - \frac{1}{3}$. \square

Finally, we compare the bounds of Theorem 12 and Theorem 14. For this, we want to know for which c we have

$$\frac{(c-3)n}{6c} - \frac{1}{2} \geq \frac{(c-1)n}{9c} - \frac{1}{3}.$$

The first bound is better for $c > 7$. (For $c = 7$ we get $\frac{2n}{21} - \frac{1}{2}$ against $\frac{2n}{21} - \frac{1}{3}$.)

7. Discussion and future work

We have studied the problem of finding a largest connected matching defined by a set of points in the plane. Our upper and lower bounds do not match, and the most obvious open problem is closing the gap.

The problem of crossing families asks for finding a matching in the intersection graph of segments defined by a set of points. In our problem we were only concerned about connectivity. A problem in between is the following:

Question 15. *Consider matchings such that the resulting intersection graph is k -connected.*

For $k = \Theta(n)$, the problem approaches the problem of crossing families. We can also search for matchings whose intersection graph has additional substructures, such as containing a largest star, a Hamiltonian path or a Hamiltonian cycle. Finally, one can consider the algorithmic problem of finding a largest connected matching (or related structures) for a given point set.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Data availability

No data was used for the research described in the article.

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