



Approximation of biholomorphic maps between Runge domains by holomorphic automorphisms

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Abstract

We show that biholomorphic maps between certain pairs of Runge domains in the complex affine space \mathbb{C}^n , $n > 1$, are limits of holomorphic automorphisms of \mathbb{C}^n . A similar result holds for volume preserving maps and also in Stein manifolds with the density property. This generalises several results in the literature with considerably simpler proofs.

Keywords Runge domain · Holomorphic automorphism · Stein manifold · Density property

Mathematics Subject Classification Primary 32E30 · Secondary 14R10 · 32M17

1 Introduction

A holomorphic vector field V on the complex Euclidean space \mathbb{C}^n is said to be complete if its flow $\phi_t(z)$, solving the initial value problem

$$\frac{d}{dt}\phi_t(z) = V(\phi_t(z)), \quad \phi_0(z) = z \in \mathbb{C}^n,$$

exists for every $z \in \mathbb{C}^n$ and $t \in \mathbb{R}$. Such a vector field V is also complete in complex time $t \in \mathbb{C}$ (see [9, Corollary 2.2]), and $\{\phi_t\}_{t \in \mathbb{C}}$ is a complex 1-parameter subgroup of the holomorphic automorphism group $\text{Aut}(\mathbb{C}^n)$ of \mathbb{C}^n . The same conclusion holds if V is assumed to be complete in positive real time; see Ahern, Flores, and Rosay [2].

Let $\mathbb{B}(0, \epsilon)$ denote the ball of radius ϵ around the origin $0 \in \mathbb{C}^n$. We say that 0 is a *globally attracting fixed point* of V if $V(0) = 0$ and the following two conditions hold:

- (1) $\lim_{t \rightarrow +\infty} \phi_t(z) = 0$ holds for all $z \in \mathbb{C}^n$.
- (2) For every $\epsilon > 0$ there exists a $\delta > 0$ such that $\phi_t(z) \in \mathbb{B}(0, \epsilon)$ for every $z \in \mathbb{B}(0, \delta)$ and $t \geq 0$.

A domain $\Omega \subset \mathbb{C}^n$ is said to be invariant under the positive time flow of V if $\phi_t(z) \in \Omega$ for every $z \in \Omega$ and $t \geq 0$. Such a domain is sometimes called *spirallike* for V (see [12]). It was shown by Chatterjee and Gorai [6, Theorem 1.1] (see also El Kasimi [7] for starshaped domains and Hamada [13, Theorem 3.1] for linear vector fields) that a spirallike domain Ω containing the origin is Runge in \mathbb{C}^n , that is, the restrictions of holomorphic polynomials on \mathbb{C}^n to Ω form a dense subset of the space $\mathcal{O}(\Omega)$ of holomorphic functions on Ω .

In this note we prove the following result.

Theorem 1.1 *Assume that V is a complete holomorphic vector field on \mathbb{C}^n , $n > 1$, with a globally attracting fixed point $0 \in \mathbb{C}^n$ and the domain $0 \in \Omega \subset \mathbb{C}^n$ is invariant under the positive time flow of V . Then, every biholomorphic map from Ω onto a Runge domain in \mathbb{C}^n can be approximated uniformly on compacts in Ω by holomorphic automorphisms of \mathbb{C}^n .*

By Andersén and Lempert [4], it follows that a biholomorphic map $F : \Omega \rightarrow F(\Omega) \subset \mathbb{C}^n$ in Theorem 1.1 can be approximated uniformly on compacts by compositions of holomorphic shears and generalized shears. We refer to [10, Chapter 4] and [8] for surveys of this theory.

For a starshaped domain $\Omega \subset \mathbb{C}^n$, Theorem 1.1 coincides with [4, Theorem 2.1] due to Andersén and Lempert. Theorem 1.1 generalizes results of Hamada [13, Theorem 4.2] (in which the vector field V is linear) and Chatterjee and Gorai [6, V5, Theorem 1.5]. Their results give the same conclusion under additional conditions on the flow of V , and their proofs

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(especially the one in [6]) are fairly involved. The papers [6, 13] include applications to the theory of Loewner partial differential equation; see Arosio, Bracci and Wold [5] for the latter.

Here we show that Theorem 1.1 is an elementary corollary to [11, Theorem 1.1] and no additional conditions on the vector field V are necessary.

We wish to point out that very little seems to be known about globally attracting complete nonlinear holomorphic vector fields on \mathbb{C}^n for $n > 1$. It was proved by Rebelo [14] that a complete holomorphic vector field on \mathbb{C}^2 has a nonvanishing two-jet at each fixed point. It seems unknown whether such a vector field can have more than one fixed point.

Proof of Theorem 1.1 Let V and $\Omega \subset \mathbb{C}^n$ be as in the theorem. By [6, Theorem 1.1], Ω is Runge in \mathbb{C}^n . We shall prove that every biholomorphic map $F : \Omega \rightarrow \Omega'$ onto a Runge domain $\Omega' \subset \mathbb{C}^n$ is a limit of holomorphic automorphisms of \mathbb{C}^n , uniformly on compacts in Ω .

We may assume that $F(0) = 0$ and the derivative $DF(0)$ is the identity map. Thus, F is a small perturbation of the identity near the origin. In particular, choosing $\epsilon > 0$ small enough, we have that $\mathbb{B}(0, \epsilon) \subset \Omega$ and the image $F(\mathbb{B}(0, \epsilon))$ is convex. Hence, the restricted map $F : \mathbb{B}(0, \epsilon) \rightarrow F(\mathbb{B}(0, \epsilon))$ is a limit of holomorphic automorphisms by [4, Theorem 2.1]. Fix such an ϵ . Note that for each $t \geq 0$ the domain $\Omega_t := \phi_t(\Omega) \subset \Omega$ is Runge in \mathbb{C}^n (and hence in Ω) since $\phi_t \in \text{Aut}(\mathbb{C}^n)$.

Assume first that $\overline{\Omega}$ is compact. Conditions (1) and (2) on the vector field V imply that there is a $t_0 > 0$ such that $\phi_t(\overline{\Omega}) \subset \mathbb{B}(0, \epsilon)$ for all $t \geq t_0$. Indeed, given a point $p \in \overline{\Omega}$, condition (1) gives a number $t(p) > 0$ such that $\phi_{t(p)}(p) \in \mathbb{B}(0, \delta)$. By continuity, there is a neighbourhood $U_p \subset \mathbb{C}^n$ of p such that $\phi_{t(p)}(U_p) \subset \mathbb{B}(0, \delta)$. This gives a finite open cover U_1, \dots, U_m of $\overline{\Omega}$ and numbers $t_1 > 0, \dots, t_m > 0$ such that

$$\phi_{t_j}(U_j) \subset \mathbb{B}(0, \delta) \text{ holds for } j = 1, \dots, m. \quad (1.1)$$

Set $t_0 = \max\{t_1, \dots, t_m\}$. By property (2) of the flow and (1.1) we have that $\phi_t(\overline{\Omega}) \subset \mathbb{B}(0, \epsilon)$ for all $t \geq t_0$, which proves the claim.

Recall that $\Omega' = F(\Omega)$. Let $\psi_t : \Omega' \rightarrow \Omega'$ for $t \geq 0$ be the unique holomorphic map which is F -conjugate to $\phi_t : \Omega \rightarrow \Omega$, defined by the condition

$$F \circ \phi_t = \psi_t \circ F \text{ for all } t \geq 0.$$

Thus, ψ_t maps Ω' biholomorphically onto the domain $\Omega'_t := \psi_t(\Omega') = F(\Omega_t) \subset \Omega'$ for every $t \geq 0$, and ψ_0 is the identity on Ω' . Since Ω_t is Runge in Ω for every $t \geq 0$ and the map $F : \Omega \rightarrow \Omega'$ is biholomorphic, we infer that Ω'_t is Runge in

Ω' , and hence also in \mathbb{C}^n (since Ω' is Runge in \mathbb{C}^n). Consider the family of maps

$$F_t = F \circ \phi_t : \Omega \xrightarrow{\cong} \Omega'_t, \quad t \geq 0. \quad (1.2)$$

This is an isotopy of biholomorphic maps from the Runge domain Ω onto the family of Runge domains $\Omega'_t \subset \mathbb{C}^n$, with F_t depending smoothly on t . Since $\overline{\Omega}_{t_0} = \phi_{t_0}(\overline{\Omega}) \subset \mathbb{B}(0, \epsilon)$, the restricted map $F : \mathbb{B}(0, \epsilon) \rightarrow \mathbb{C}^n$ is a limit of automorphisms of \mathbb{C}^n and $\phi_{t_0} \in \text{Aut}(\mathbb{C}^n)$, the map $F_{t_0} = F \circ \phi_{t_0}$ is also a limit of automorphisms of \mathbb{C}^n . By [11, Theorem 1.1] it follows that every map F_t in the isotopy (1.2) is a limit of automorphisms of \mathbb{C}^n . In particular, this holds for the map $F_0 = F : \Omega \rightarrow \Omega'$.

This proves the theorem in the case when $\overline{\Omega}$ is compact. The general case follows by observing that Ω is exhausted by relatively compact domains $\Omega_0 \Subset \Omega$ containing the origin which are invariant under the positive time flow of V . To see this, choose an open relatively compact subset W of Ω and set $\Omega_0 = \bigcup_{t \geq 0} \phi_t(W) \subset \Omega$. Obviously, Ω_0 is open and positive time invariant. Pick $\epsilon > 0$ such that $\mathbb{B}(0, \epsilon) \subset \Omega$. We see as before that there is a number $t_0 > 0$ such that $\phi_t(\overline{W}) \subset \mathbb{B}(0, \epsilon)$ for all $t \geq t_0$. It follows that

$$\Omega_0 \subset \bigcup_{0 \leq t \leq t_0} \phi_t(\overline{W}) \cup \overline{\mathbb{B}(0, \epsilon)}.$$

Since the first set on the right hand side is compact and contained in Ω , we see that $\overline{\Omega}_0 \subset \Omega$. By [6, Theorem 1.1], Ω_0 is Runge in \mathbb{C}^n , and hence in Ω . It follows that $F(\Omega_0) = \Omega'_0$ is Runge in $\Omega' = F(\Omega)$, and hence also in \mathbb{C}^n (since Ω' is Runge in \mathbb{C}^n). The above argument in the special case then shows that $F : \Omega_0 \rightarrow \Omega'_0$ is a limit of holomorphic automorphisms of \mathbb{C}^n uniformly on compacts in Ω_0 . By the construction, Ω_0 can be chosen to contain any given compact subset of Ω , which proves the theorem. \square

The Runge domain Ω in Theorem 1.1 need not be pseudoconvex. Replacing \mathbb{C}^n by a Stein manifold X with the density property (see Varolin [15, 16] and [10, Sect. 4.10]) and assuming that Ω is a pseudoconvex Runge domain in X which is positive time invariant for a complete holomorphic vector field V on X with a globally attracting fixed point in Ω , the conclusion of Theorem 1.1 still holds, with the same proof. The relevant version of the result on approximation of isotopies of biholomorphic maps between pseudoconvex Runge domains in X by holomorphic automorphisms of X is given by [10, Theorem 4.10.5]. (A recent survey on Stein manifolds with the density property can be found in [8, Sect. 2].) However, we do not know any example of a Stein manifold with the density property and with a globally attracting complete holomorphic vector field, other than the Euclidean spaces \mathbb{C}^n , $n > 1$.

A version of Theorem 1.1 also holds for biholomorphic maps $F : \Omega \rightarrow \Omega'$ between certain Runge domains in \mathbb{C}^n with coordinates z_1, \dots, z_n preserving the holomorphic volume form

$$\omega = dz_1 \wedge \dots \wedge dz_n, \quad (1.3)$$

in the sense that $F^*\omega = \omega$. Note that $F^*\omega = (JF)\omega$ where JF denotes the complex Jacobian determinant of F . Recall that the *divergence* of a holomorphic vector field V with respect to ω is the holomorphic function $\operatorname{div}_\omega V$ satisfying the equation

$$L_V\omega = d(V \lrcorner \omega) + V \lrcorner d\omega = d(V \lrcorner \omega) = \operatorname{div}_\omega V \cdot \omega, \quad (1.4)$$

where $L_V\omega$ is the Lie derivative of ω and $V \lrcorner \omega$ is the inner product of V and ω . The first equality is Cartan's formula (see [1, Theorem 6.4.8]), and we used that $d\omega = 0$. Let ϕ_t denote the flow of V . From (1.4) we obtain Liouville's formula

$$\frac{d}{dt} \phi_t^* \omega = \phi_t^* (L_V \omega) = \phi_t^* (\operatorname{div}_\omega V \cdot \omega). \quad (1.5)$$

Assume now that $\operatorname{div}_\omega V = c \in \mathbb{C}$ is constant. This holds in particular for every linear holomorphic vector field on \mathbb{C}^n as is seen from the formula

$$\operatorname{div}_\omega \left(\sum_{j=1}^n a_j(z) \frac{\partial}{\partial z_j} \right) = \sum_{j=1}^n \frac{\partial a_j}{\partial z_j}(z). \quad (1.6)$$

In this case, (1.5) reads $\frac{d}{dt} \phi_t^* \omega = c \phi_t^* \omega$. Since $\phi_0 = \operatorname{Id}$, it follows that

$$\phi_t^* \omega = e^{ct} \omega \quad \text{for all } t. \quad (1.7)$$

In particular, if V is globally contracting then $\Re c < 0$. The case $c = 0$ corresponds to ω -preserving vector fields whose flow maps have Jacobian 1. The following result should be compared with [6, V5, Theorem 1.10 (i)]. As before, ω is given by (1.3).

Theorem 1.2 *Let V be a complete holomorphic vector field on \mathbb{C}^n , $n > 1$, with a globally attracting fixed point $0 \in \mathbb{C}^n$, whose divergence $\operatorname{div}_\omega V = c$ is constant. Assume that the domain $0 \in \Omega \subset \mathbb{C}^n$ is pseudoconvex, invariant under the positive time flow $\{\phi_t\}_{t \geq 0}$ of V , it satisfies $H^{n-1}(\Omega, \mathbb{C}) = 0$, and $\phi_{t_0}(\Omega) \Subset \Omega$ holds for some $t_0 > 0$. Then, every volume preserving biholomorphic map of Ω onto a Runge domain $\Omega' \subset \mathbb{C}^n$ can be approximated uniformly on compacts in Ω by volume preserving automorphisms of \mathbb{C}^n .*

By Andersén [3], every volume preserving holomorphic automorphism of \mathbb{C}^n is a locally uniform limit of compositions of shears.

Proof Since V is globally attracting, we have that $\Re c < 0$. Let $W = \frac{-c}{n} \sum_{j=1}^n z_j \frac{\partial}{\partial z_j}$. From (1.6) we see that $\operatorname{div}_\omega W = -c$. The flow ψ_t of W is complete on \mathbb{C}^n and satisfies

$$\psi_t^* \omega = e^{-ct} \omega \quad \text{for all } t \in \mathbb{C}. \quad (1.8)$$

(Compare with (1.7).) Consider the family of injective holomorphic maps

$$F_t := \psi_t \circ F \circ \phi_t : \Omega \rightarrow F_t(\Omega) \subset \mathbb{C}^n, \quad t \geq 0.$$

Note that $F_0 = F : \Omega \rightarrow \Omega'$. Since $JF = 1$, it follows from (1.7) and (1.8) that $JF_t = 1$ for all $t \geq 0$. The conclusion now follows by the same argument as in the proof of Theorem 1.1, using the second part of [11, Theorem 1.1] on approximation of isotopies of volume preserving biholomorphic maps by volume preserving automorphisms of \mathbb{C}^n . (See the Erratum to [11] concerning the condition $H^{n-1}(\Omega, \mathbb{C}) = 0$.) \square

Remark 1.3 (A) Theorem 1.2 can be generalized to Stein manifolds (X, ω) having the volume density property; see [16], [8], and [10, Sect. 4.10] for this topic.

(B) Chatterjee and Gorai stated an analogue of [6, V5, Theorem 1.5] for holomorphic vector fields on \mathbb{C}^{2n} with coordinates $(z_1, \dots, z_n, w_1, \dots, w_n)$ preserving the holomorphic symplectic form $\omega = \sum_{j=1}^n dz_j \wedge dw_j$ [6, V5, Theorem 1.10 (ii)]. Note however that such a vector field also preserves the volume form ω^n , so it does not have any attracting fixed points.

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Data Availability No datasets were generated or analysed during the current study.

Declarations

Competing interests The authors declare no competing interests.

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