

Azo-Bridged Dextran: A Photoresponsive Sustainable Material with Photo-Tunable Mechanical Properties

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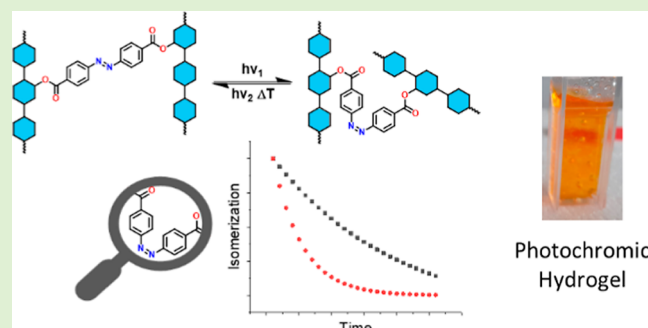
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ABSTRACT: We report on the synthesis, characterization, and properties of dextran polymers, which are covalently bridged/cross-linked by azobenzene moieties. The reversible photoactivity of the azo moiety is retained in the polymers, and the kinetics of the *E/Z* isomerization depend on the dextran/azobenzene ratio. Together with the simple preparation, our approach provides convenient access to photoresponsive sustainable materials. Moreover, based on the water-soluble polymers, we have prepared photoresponsive hydrogels, which soften upon UV irradiation. Our findings are based on spectroscopy (UV/vis, IR, and NMR/DOSY), size exclusion chromatography, and rheology.



1. INTRODUCTION

Photoresponsive biomaterials provide a broad range of applications.^{1–4} Natural polysaccharides are well suited in this field based on their nontoxicity, high biocompatibility, and biodegradability.⁵ Accordingly, over the last years, many polysaccharide-based materials, in particular hydrogels, for use in drug delivery,⁶ tissue engineering,⁷ and wound healing⁸ have been reported. Suitable polymer networks are a decisive starting point for developing smart materials, and understanding their stimulus-response is crucial.^{9,10} A polysaccharide with a long history of use in biomedicine is dextran. Its good water solubility, nontoxicity, and low antigenicity were first exploited in its most classic biomedical application, which is the use of aqueous dextran solutions as a replacement for blood plasma.¹¹ In its cross-linked form, dextran is most often encountered as the chromatographic medium Sephadex. More recent research has focused on employing dextran-derived materials for drug delivery,¹² tissue engineering scaffolds,¹³ wound dressings,¹⁴ and biosensing applications.¹⁵

Here, we show the access to photoresponsive molecular networks expanding the scope of dextran-based materials.¹⁶ We use covalent cross-linking with azobenzene (4,4'-azobenzene dicarboxylic acid; **B**), a well-established molecular photoswitch. This allows selectively creating *E* or *Z* isomers of the covalently embedded azobenzene moieties¹⁷ using distinct wavelengths. It is established that the presence of either an *E* or *Z* azobenzene component determines the characteristics of the materials. This has been demonstrated, e.g., in the fields of self-healing polymers¹⁸ and sensing,¹⁹ for the photocontrol of enzyme conformation,²⁰ for energy storage applications,²¹ and for responsive surfaces or (bio)polymers.²² The aim of this

work is to establish whether the photochromic character of azobenzene is retained in dextran networks and if it leads to distinguishable photochromic properties of the new materials.

To this end, we present the synthesis of azobenzene-bridged dextran and explore the photoresponse of the polymers. Moreover, we examined the possibility of producing dextran/azobenzene hydrogels.

2. SYNTHETIC STRATEGY

For the synthesis of the cross-linked dextran polymers, we use azobenzene-4,4'-dicarboxylic acid (**B**). The *E*-isomer of **B** possesses absorption maxima at wavelengths of 440 and 320 nm. Its energy is 10–12 kcal/mol lower than that of the corresponding *Z* isomer. Correspondingly, a solution of **B** that is kept in the dark will consist almost entirely of the *E*-isomer. When a solution of the *E*-isomer is illuminated at 355 nm, the *E* to *Z* photoisomerization takes place. This is associated with a change in the UV/vis spectrum of the solution, since the *Z* isomer has absorption maxima at 430, 280, and 250 nm. Upon light irradiation the rates of *E* to *Z* and *Z* to *E* isomerization eventually equilibrate, a photostationary state (PSS) is reached, containing an excess of the *Z* isomer. The reverse process can be triggered by illumination at a wavelength of 450 nm, eventually establishing an *E*-enriched PSS (see Scheme 1, and

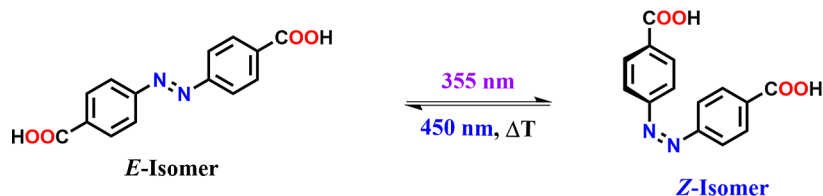
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Scheme 1. *E/Z* Photoisomerization of the Bifunctional 4,4'-Azobenzene Dicarboxylic Acid (**B**)

UV/vis spectra are shown in Figure 5). The differences between the two isomers are not limited to their UV/vis spectra, with the *Z* isomer possessing a bent geometry, in which the phenyl rings are twisted out-of-plane with the azo group.²³

For decades, azobenzene dyes have been used as colorants in the textile and food industries,²⁴ and owing to their photoactivity, much research has been conducted on bioconjugates of these molecules. The conjugation of azobenzene to polysaccharides can be accomplished by the formation of amides,²⁵ esters, ethers, or carbamates.²⁶ Moreover, glycosidic bonds with saccharides can be formed starting from *p*-aminophenol reacting to azobenzene derivatives.²⁷ One possibility to form an ester linkage between 4,4'-azobenzene dicarboxylic acid (**B**) and the hydroxyl groups of the polysaccharide is the activation of the carboxylic acid using 1,1'-carbonyldiimidazole (CDI), a technique that can be applied to a wide range of carboxyl containing compounds such as *N*-protected amino acids.^{28,29}

Dextran-based nanogels have been prepared by grafting monofunctional azobenzene moieties onto the dextran backbone, making use of the hydrophobic interaction between the grafts.³⁰ It has further been reported that physical cross-linking between cyclodextrin-modified dextran and a diazobenzene linker can be accomplished using host–guest interactions.³¹ A 4-arm polyethylene glycol and 4,4'-azobenzene dicarboxylic acid were used to cross-link cellulose nanofibers, resulting in a photochromic smart hydrogel that could release bovine serum albumin when the *E* to *Z* photoisomerization was induced via UV light.³² It is apparent that the reaction of 4,4'-azobenzene dicarboxylic acid with dextran will result in the formation of an unprecedented photoresponsive network whose synthesis and properties are elucidated in the present work.

3. EXPERIMENTAL SECTION

3.1. Synthesis. **3.1.1. General Procedure for Obtaining Azobenzene-Cross-linked Dextran.** 4,4'-Azobenzene dicarboxylic acid (**B**) was dissolved in DMSO (20 mL). Two equivalents (relative to **B**) of CDI dissolved in DMSO (5 mL) were added dropwise until gas evolution stopped. The mixture was added to a solution of dextran (**D**, M_w 20 kDa, in 25 mL of DMSO) and stirred at elevated temperature. The product was precipitated by the addition of ethanol (100 mL); the precipitate was filtered off and washed with EtOH (100 mL). After drying overnight over solid KOH, the product was obtained as an eggshell-colored powder.

The amounts of the reactants and reaction conditions are listed in the Supporting Information (Table S1). Analogous reactions were performed with monofunctional 4-azobenzene carboxylic acid (**M**) using one equivalent of CDI for control (see Table S1). The corresponding products are denominated as **DBD** (weight portion of the linker) and **DM** for the cross-linked and the reference derivatives, respectively.

3.1.2. General Procedure for the Synthesis of the Gels. Linker **B** and dextran (**D**, M_w 20 kDa) were suspended in DMSO and stirred for 2 h at 80 °C. A solution of CDI in DMSO was added dropwise. The mixture was stirred until all particles had dissolved, and the

evolution of gas had ceased. The amounts of the reactants and reaction conditions are presented in the Supporting Information (Table S2). The solution was stored at 80 °C for 19 h. The product was immersed into deionized H₂O. The water was exchanged 5 times over the course of 3 days, and the swollen hydrogels were stored underwater.

3.1.3. UV/Vis Spectroscopy and Illumination. UV/vis spectra were recorded on a fiber-optic spectrophotometer (J&M Analytik AG, Esslingen, Germany, UV/vis spectrometer equipped with a 1024-pixel diode array detector, light sources: deuterium and halogen lamps). The soluble composites were dissolved in deionized H₂O, and spectra were recorded in quartz cuvettes ($d = 1$ cm). **B** and **M** were dissolved in pH = 9.2 borate buffer. Gels were filled into disposable polystyrene cuvettes ($d = 0.3$ cm) or squeezed tightly between two microscope slides depending on the optical density of the material. The used illumination setup was described previously.³³ By placing an LED perpendicular to the light path of the spectrophotometer, it allows simultaneous illumination of the sample and recording of UV/vis spectra. A detailed description of the LEDs, including emission spectra and measured light fluxes, is available in the Supporting Information. The gels were illuminated with a UV-lamp (Lightning-cure LC4, Hamamatsu Photonics, Japan).

3.1.4. Elemental Analysis. The C/N/H/S content of the lyophilized gels was determined using a Vario MICRO cube elemental analyzer (Elementar Analysensysteme GmbH, Langensfeld, Germany). Two mg samples were analyzed in duplicate. Sulfanilamide was used as a calibration standard, along with He as a carrier and oxygen as the combustion gas.

3.1.5. Determination of *B/M* Content. The cross-linker content of the soluble composites was determined via photometry by comparing the absorbance at 365 nm to that of a solution of **B** or **M**. The **B** content of the gels was determined from elemental analysis under the assumption that all nitrogen was contained within the azo groups of **B**.

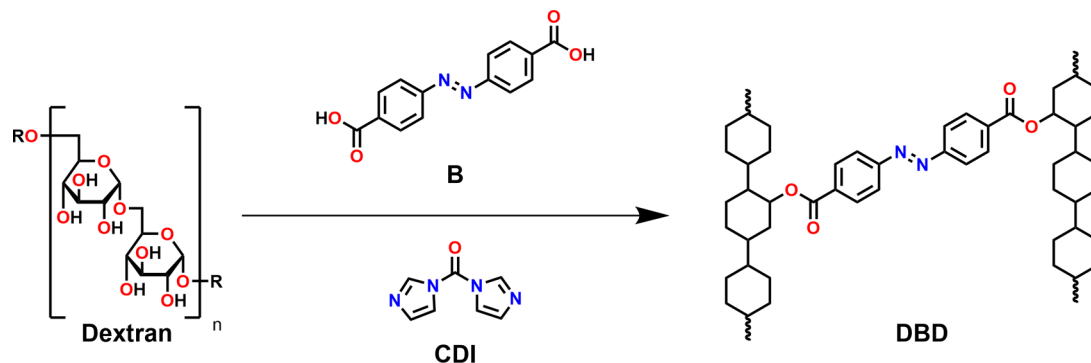
3.1.6. IR Spectroscopy. IR spectra were recorded on a FT-IR spectrometer (Bruker ALPHA, Billerica, USA) operated in ATR mode. The composites were investigated after drying, and the gels were lyophilized before recording the spectra.

3.1.7. ¹H NMR and ¹H DOSY. ¹H DOSY spectra were recorded on a 600 MHz Avance NEO spectrometer (Bruker BioSpin GmbH, Ettlingen, Germany) using the standard Bruker *stepped* pulse sequence. Sixteen different gradient strengths were incremented between 1 and 47 G/cm for the DOSY gradient array with a gradient pulse duration of 4.4 ms. The diffusion delay between coding and decoding gradient pulse was optimized and set to 250 ms. Each gradient increment was recorded using 96 scans. The spectra were processed using Dynamics Center 2.8.0.1 software.

¹H DOSY spectra for the determination of diffusion constants were recorded on a 400 MHz Avance III 400 NMR spectrometer (Bruker BioSpin GmbH, Ettlingen, Germany) using the *stepped* pulse sequence. Samples were dissolved in D₂O (10 mg/mL). Spectra were integrated from 3.995 to 3.28 ppm and fitted with the TopSpin software suite.

3.1.8. Size Exclusion Chromatography. The molar mass characteristics of samples were determined by size-exclusion chromatography coupled with a multiangle light scattering detector (DAWN HELEOS-II, Wyatt Technology Corporation, USA) and a refractive index detector (Optilab T-rEX, Wyatt Technology Corporation, USA) (SEC/MALS-RI). The input parameter required to determine the molar mass characteristics of HPMC is a specific refractive index increment (dn/dc), which was determined assuming 100% mass

Scheme 2. Coupling Reaction of B to Dextran



recovery of the samples from the column. Separation of samples by size was performed at room temperature on an Agilent 1260 HPLC chromatograph (Agilent Technologies, USA) using a PolarGel-L analytical column (7.5 mm \times 300 mm, 8 μ m) with a precolumn (both columns from Agilent Laboratories, USA) and aqueous 0.1 M NaNO₃ solution with pH = 10 as a mobile phase. The nominal flow rate of the eluent was 1 mL min⁻¹. The sample solutions with a concentration of \sim 1 mg mL⁻¹ were injected directly onto the SEC column, so that the mass of the injected sample was \sim 100 μ g. Astra 7.3.1 software (Wyatt Technology Corp., USA) was used for data acquisition and evaluation.

3.1.9. Rheological Measurements. All rheological measurements were performed in a rheometer using a plate–plate setup (Anton Paar Group AG, Graz, Austria). The plate diameter was 25 mm, and the plate–plate distance was 0.5 mm. The sample temperature was maintained at 25 $^{\circ}$ C. Amplitude tests were conducted from 0.01 to 100% shear deformation at an angular frequency of 10 rad/s. Frequency tests were conducted within a range of 0.1 to 100 rad/s. The shear deformation was 1%, within the LVE region of all of the investigated gels.

4. RESULTS AND DISCUSSION

4.1. Synthesis and Evaluation. In 2008, Wondraczek and Heinze²⁹ showed that attaching a carboxylic acid to dextran (D) can be conveniently accomplished by using CDI as the coupling agent. Following this procedure, we have used 4,4'-azobenzene dicarboxylic acid (B) to perform links between dextran moieties (Scheme 2).

We initially performed the reaction in a dilute DMSO solution with various B/D ratios. After precipitation with EtOH, we obtained various water-soluble cross-linked products, which are denoted DBD with the mass percentage of B in brackets. Yields and degrees of substitution for all products are listed in the Supporting Information. The highest degree of cross-linking obtained in this way was DBD(7.4), as higher contents of B led to insoluble products. As a control, the reaction was also performed with 4-azobenzene carboxylic acid (M), yielding the DM product.

At higher educt concentrations, the reaction mixtures solidified into DMSO-containing solvogels. These were turned into hydrogels by exchanging the solvent over several days. In this way, we obtained the less-cross-linked DBD(9.9) and the highly cross-linked DBD(16.6) gels.

To establish the mode of binding between D and B, we have performed FT-IR, diffusion NMR, and SEC analyses. The IR spectra of unmodified dextran, D, linker B, the cross-linked product DBD(7.4), and the lyophilized gel DBD(16.6) are shown in Figure 1. The IR spectrum of D is dominated by the O–H stretching modes (from 3600 to 3000 cm⁻¹), skeletal CH₂ stretching vibrations (at 2900 cm⁻¹), and C–O

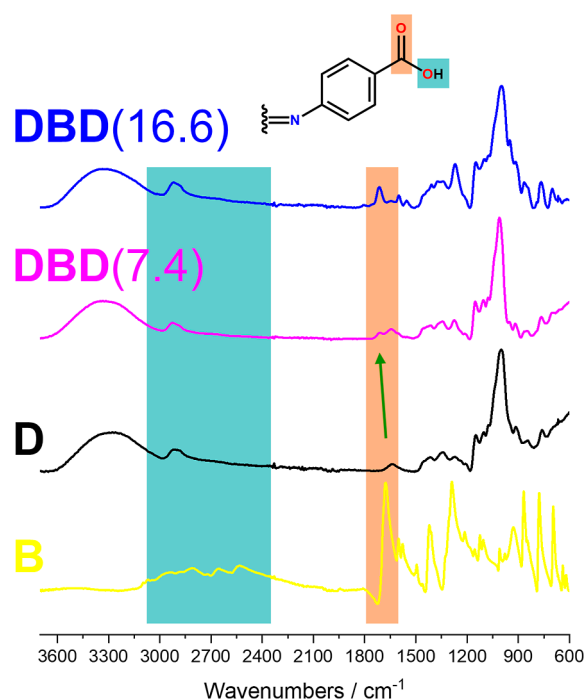


Figure 1. FTIR spectra of B, D, DBD(7.4), and DBD(16.6).

deformations (around 1000 cm⁻¹). Due to the high molecular weight and the abundance of hydrogen bonds, the vibrational modes of dextran are highly broadened.³⁴ The cross-linker B possesses two modes that are characteristic for carboxylic acids. The C=O stretching mode at 1677 cm⁻¹, while the O–H stretching modes are broadened, reaching from 3100 to 2500 cm⁻¹.³⁵

Owing to the low cross-linker content in the DBD derivatives, the vibrational modes of B are only clearly distinguishable in the IR spectra of highly cross-linked derivatives like DBD(7.4) and DBD(16.6). The disappearance of the O–H mode of B suggests that a dominating portion of the carboxyl OH group was coupled to D. This is further substantiated by the C=O stretching mode, which shifts from 1677 to 1715 cm⁻¹, being characteristic of esters of carboxylic acids.

A possible side reaction is the cross-linking of dextran through the formation of carbonate linkages.^{36,37} However, the absence of C=O stretching modes at higher wavenumbers (1740 to 1760 cm⁻¹),³⁵ being characteristic for alkyl carbonates, indicates that this side-reaction only plays a minor role.

The ^1H NMR spectrum of DBD(0.3) in $\text{DMSO}-d_6$ is shown in Figure 2. The ^1H NMR spectrum of dextran is characterized

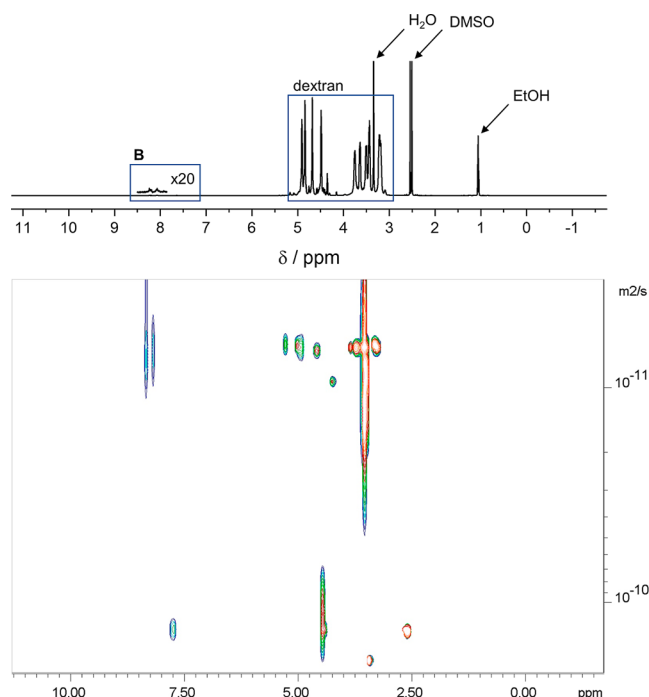


Figure 2. ^1H NMR (top) and ^1H DOSY (bottom) spectrum of DBD(0.3) in $\text{DMSO}-d_6$.

by a cluster of peaks ranging from 3.2 to 3.8 ppm, which can be attributed to the non-anomeric protons bound directly to the skeletal carbons (C2 to C6). The peak of the anomeric proton is shifted downfield due to the α -glycosidic linkage. It appears together with the protons of the hydroxyl moieties (OH2, OH3, and OH4), which are visible due to the absence of exchange broadening in $\text{DMSO}-d_6$.³⁸ The NMR spectrum of

cross-linker **B** reveals two doublets in the aromatic region ($J = 8.5$ Hz) at 8.01 and 8.17 ppm. The ^1H NMR spectrum of DBD(0.3) basically reflects the sum of the two components (with the appropriate intensity ratios).³⁹

The NMR-DOSY experiment can separate NMR peaks according to their associated diffusion constant. The observation (see Figure 2) that resonances assigned to the cross-linker indicate the same diffusion constant as those of the skeletal protons of the dextran moiety provides strong evidence for covalent attachment of **B** to **D**.

IR and NMR spectroscopy has shown that the $-\text{COOH}$ groups of **B** were esterified with the $-\text{OH}$ groups of dextran. However, it is unclear how much of this conjugation happens within one dextran molecule (intramolecular cross-linking). To establish the degree of intramolecular cross-linking, we have correlated the diffusion constants, D , determined by DOSY, with the corresponding molecular weights determined via SEC.

The SEC chromatograms are shown in Figure 3. The molecular weight of our parent dextran samples was determined by SEC to be 17 kDa. Upon functionalization with the monoacid **M**, no changes in the M_w are detected. Whereas at small **B/D** ratios, the SEC-determined molecular weight remains basically unchanged; it clearly increases for DBD(7.4), yielding 31.4 kDa (Table 1). This is clearly visible in the chromatogram of DBD(7.4), which shows a shift toward smaller elution times.

Table 1. Comparison of Molecular Weights (as Determined by SEC), Diffusion Constants, and Hydrodynamic Radius (as Determined by NMR-DOSY) for the Different Composites

	M_w/kDa	$D/10^{-11} \text{ m}^2\text{s}^{-1}$	$r_h/10^{-9} \text{ m}$
dextran	17.7 ± 1.2	8.4 ± 0.17	2.09 ± 0.04
DBD(0.3)	21.2 ± 1.8	8.05 ± 0.16	2.18 ± 0.04
DBD(7.4)	31.4 ± 1.2	6.8 ± 0.14	2.58 ± 0.05

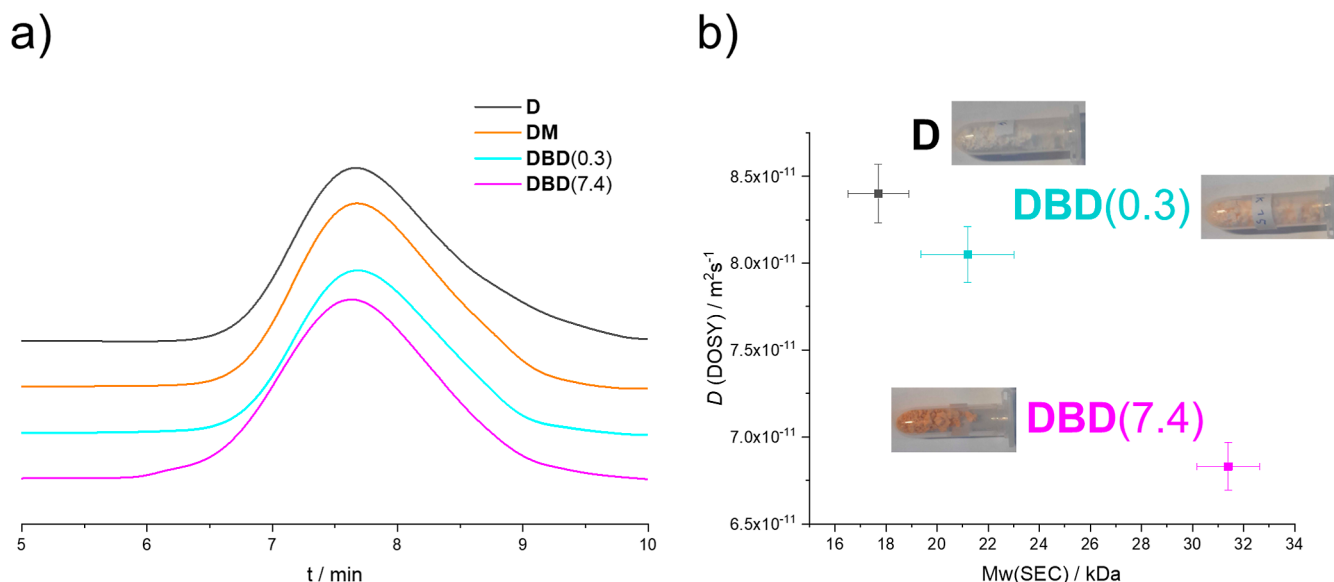


Figure 3. (a) SEC of native dextran **D**, a lightly cross-linked derivative DBD(0.3), a highly cross-linked derivative DBD(7.4), and of the non-cross-linked sample **DM**. Apart from the chromatogram for DBD(7.4), which is shifted toward smaller elution times, the obtained curves are mostly identical. (b) Plot of diffusion constants determined by DOSY NMR against the M_w obtained via SEC, showing the linear relationship between the two properties. Photographs indicate the corresponding samples.

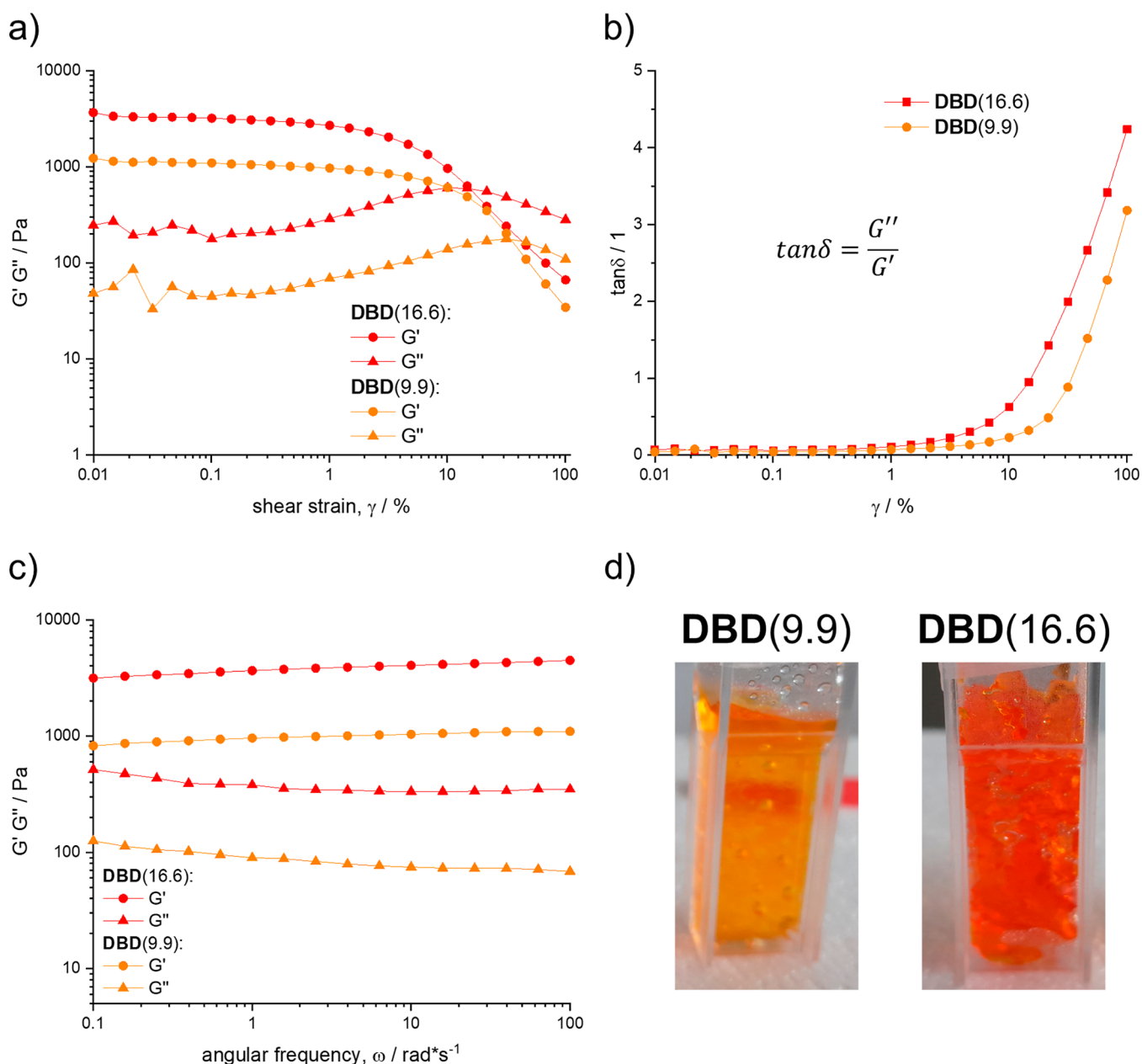


Figure 4. Amplitude scan (a), loss tangent (b), frequency scan (c), and photographs (d) of DBD(9.9) and DBD(16.6). Error bars omitted for clarity (see Supporting Information).

These results correlate well with the values of the diffusion constant and hydrodynamic radius obtained from NMR-DOSY experiments (Table 1). According to the Stokes–Einstein relationship (eq 1), the diffusion constant D is inversely proportional to the hydrodynamic radius r_s . Since r_s increases with M_w , D is intimately connected to the molecular weights obtained via SEC. The other parameters in the Stokes–Einstein relationship are the Boltzmann constant k , the absolute temperature T , and the viscosity of the solvent η . The plot shown in Figure 3 indicates proportionality between M_w and D in line with previously reported data for polysaccharides⁴⁰

$$D = \frac{kT}{6\pi\eta r_s} \quad (1)$$

The rather similar molecular weights determined for D and DBD(0.3) (17.7 and 21.7 kDa, respectively) point to a substantial amount of intramolecular cross-linking in DBD(0.3). The higher M_w of DBD(7.4) indicates that the number of intermolecular cross-links increases with a higher content of B. This finding is substantiated by the corresponding hydrodynamic volumes (i.e., D values, Table 1 and Figure 3). No drop in the M_w of the products is observed in the SEC measurements, which indicates no degradation under the experimental conditions.

4.2. Hydrogels. We observed that higher educt concentrations led to DMSO-containing solvogels. Following solvent exchange with water, we obtained the less cross-linked DBD(9.9) and the highly cross-linked DBD(16.6) hydrogels.

IR spectroscopy (see Figure 1) gives no indication that apart from their higher content of B, the hydrogels DBD(9.9) and

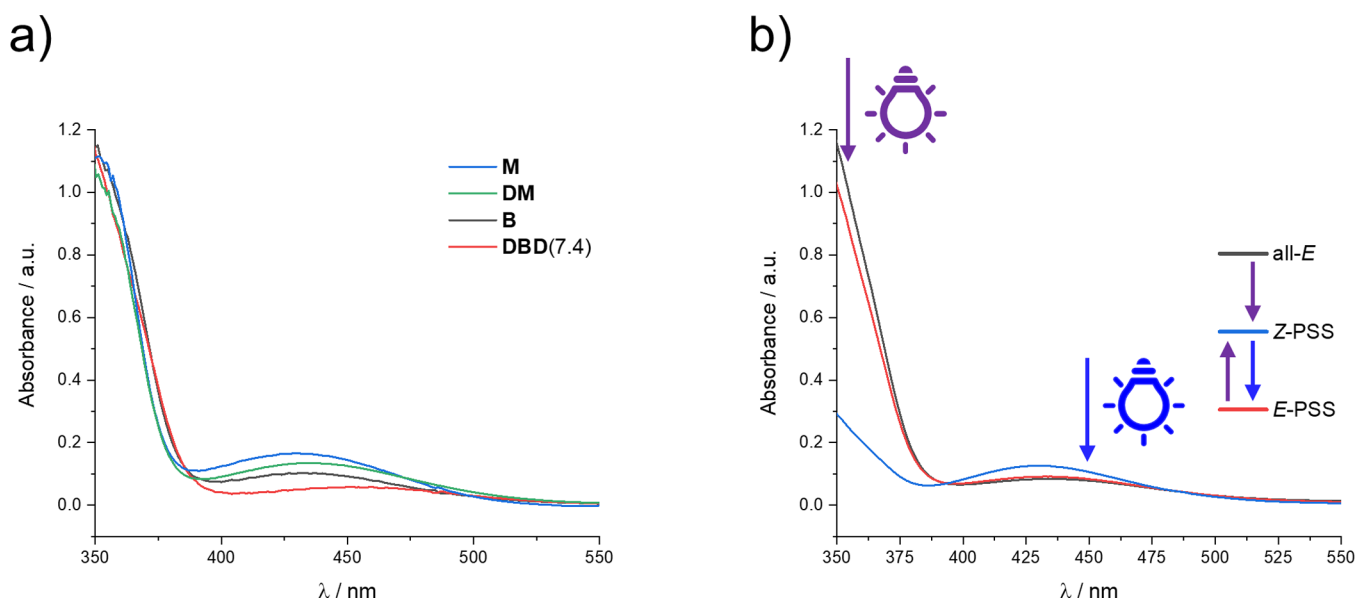


Figure 5. (a) UV-vis spectra of **B** and **M** (aqueous pH = 9 borate buffer), as well as **DBD(7.4)** and **DM** (recorded in water). (b) In black: spectrum of a freshly prepared solution of **B** (aqueous pH = 9 borate buffer). In blue: spectrum after irradiation at 355 nm. In red: spectrum after subsequent irradiation at 450 nm.

DBD(16.6) are chemically distinct from the soluble derivatives. The different morphology of the gels arises from the higher educt concentration during synthesis and can be investigated by probing the mechanical properties of the materials in a rheological experiment.

It is apparent that differences in cross-linker content directly affect the mechanical strength of the gels. **DBD(16.6)** is stiff and breaks apart when force is applied to it, while **DBD(9.9)** is more flexible. These differences can be quantified by determining the rheological properties of the hydrogels.⁴¹ **Figure 4** shows the amplitude scans and loss tangents ($\tan \delta$) of both **DBD(9.9)** and **DBD(16.6)**.

Both **DBD** derivatives show typical behavior for cross-linked hydrogels (**Figure 4**). At low shear rates, both gels behave as solids ($G' > G''$). As the shear rate increases, the gels gradually start to soften until the curves for G' and G'' crossover, and the gel behaves as a liquid ($\tan \delta > 1$). For both gels, the linear-viscoelastic region (LVE) stretches to shear rates of approximately 2%. Due to its lower degree of cross-linking, **DBD(9.9)** possesses smaller G' and G'' , it is a softer, more flexible material than **DBD(16.6)**. The distinct "hump" in the G'' curve is characteristic for hydrogels that possess a continuous superstructure. As the shear rate begins to increase, microfissures start to form in the material. The freely moving elements around the fissures are capable of dissipating large amounts of energy, while the overall structure of the gel remains intact. This leads to the observed rise in G'' . At the maximum value of G'' , a macrofissure forms in the material, and the gel begins to flow like a liquid. The frequency scans of the gels (**Figure 4c**) show that G' remains above G'' within the entire measured frequency range. The absence of a crossover point between the two curves is characteristic for a covalently cross-linked hydrogel. As shown above, the stiffer **DBD(16.6)** possesses higher values of G' and G'' than the more flexible **DBD(9.9)** gel.

The rheological properties of the gels point toward the existence of a covalently cross-linked superstructure within the materials. This suggests that the amount of intermolecular

cross-linking between dextran molecules is much higher than in the soluble products synthesized in dilute solution.

4.3. Optical Properties and Photo-Induced Activity. A comparison of the UV-vis spectra of **M**, **DM**, **B**, and **DBD(7.4)** is shown in **Figure 5a**. While the position of the $\pi-\pi^*$ band does not change upon esterification with dextran, the $n-\pi^*$ band decreases in intensity and shifts toward slightly higher wavelengths. This effect is more pronounced for **B** (430 to 460 nm) than for **M** (430 to 435 nm).

Upon illumination, solutions of **M**, **B**, **DM**, and of all soluble **DBD** derivatives display similar photoswitching behavior. Irradiation at 355 nm leads to the conversion of the parent *E* isomer toward *Z* until an equilibrium, the PSS is reached. As a representative example, **Figure 5b** indicates the changes in the UV-vis spectra of **B** recorded upon illumination at 355 nm, followed by irradiation at 450 nm. Analogous behavior is displayed by **M**, **DM**, and the different **DBD** derivatives. Upon irradiation at 355 nm, the absorbance of the $\pi-\pi^*$ band decreases, while the $n-\pi^*$ band increases in intensity and shifts slightly toward lower wavelengths. When this latter solution is irradiated at 450 nm, a PSS is reached, which is dominated by the *E* isomer.

To evaluate the photoswitching ability of the dextran-bound azobenzene moieties, we have recorded time profiles for parent compounds **M** and **B**, dextran coupled to a monoacid (**DM**) and cross-linked derivatives (**DBD**) with various linker/dextran ratios. **Figure 6** shows the normalized absorbance at 355 nm over the course of the illumination for the *E* to *Z* conversion of freshly prepared (all *E*) solutions. It is apparent that each sample eventually reaches a PSS, but that the kinetics of the photoswitching process differ.

The photoswitching kinetics of azobenzenes result from three simultaneous reactions.⁴² When a solution of azobenzenes is illuminated, the overlap in the absorption spectra leads to simultaneous absorption of light by both isomers. Accordingly, the *E* to *Z* and *Z* to *E* photoisomerizations always occur together. Furthermore, there is a constant thermal

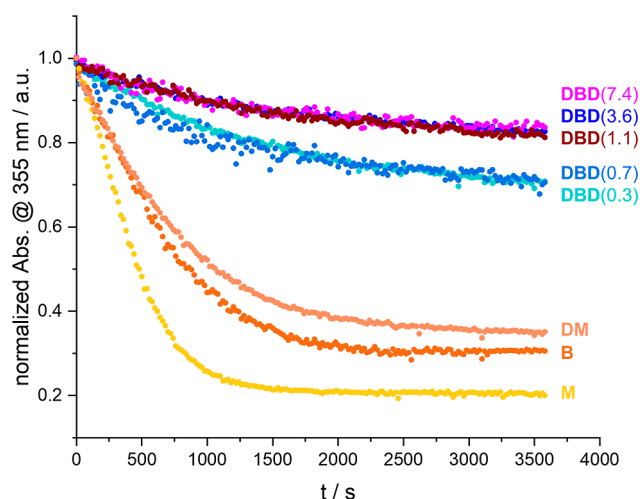


Figure 6. Time traces at 355 nm for the *E* to *Z* conversion of DBD samples compared to the references DM, B, and M.

reaction converting the *Z* isomer into the more energetically favorable *E* isomer (Scheme 3).

The three reactions can be regarded as first-order reactions. Here, the first one describes the *E* to *Z* conversion (see eq 2). The change in the concentration of the *E* isomer (c_E) depends on the light absorbed by the *E* isomer (I_E), the rate constant for *E* to *Z* photoisomerization (k_{EZ}), and the concentration of the *E* isomer. Consequently, the rate of the *Z* to *E* photoisomerization (see eq 3) depends on the concentration of the *Z* isomer (c_Z), the light absorbed by the *Z* isomer (I_Z), and the associated rate constant (k_{ZE}). The thermal *Z* to *E* isomerization solely depends on the rate constant k_{therm} (see eq 4)

$$\frac{dc_E}{dt} = -k_{EZ} \times I_E \times c_E \quad (2)$$

$$\frac{dc_E}{dt} = k_{ZE} \times I_Z \times c_Z \quad (3)$$

$$\frac{dc_E}{dt} = k_{\text{therm}} \times c_E \quad (4)$$

When the solution is continuously illuminated, the system reaches a dynamic equilibrium called a PSS. Since k_{EZ} , k_{ZE} , I_E , and I_Z depend on the wavelength of the incident light, different illumination wavelengths may produce PSSs with different isomer ratios.

UV-vis spectra of *Z*-PSS solutions taken several hours after illumination show no change compared with spectra recorded immediately after illumination. Therefore, the thermal *Z* to *E* conversion is not rate determining while the solution is

illuminated. A freshly prepared solution contains no *Z* isomer. Thus, the *E* isomer produces an absorption at 355 nm. Accordingly, the absorption at 355 nm is directly proportional to c_E , and the rate law can be simplified to the following expression (eq 5), where I is the overall light flux and A_{355} is the absorbance at 355 nm

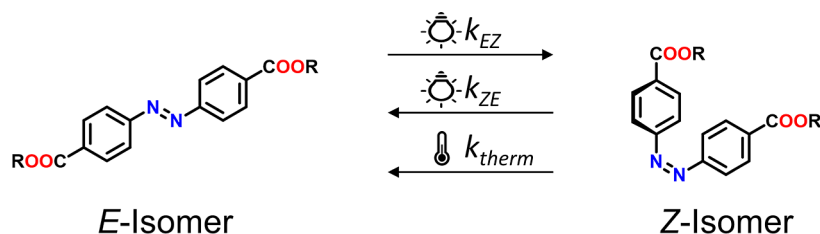
$$\frac{dA_{355}}{dt} = -k_{EZ} \times I \times A_{355} \quad (5)$$

This is illustrated in Figure 7a, which shows the curves displayed in Figure 6 on a logarithmic scale. At lower conversions, the kinetics clearly follow first order, as expected for the *E* to *Z* isomerization of azobenzene. Owing to the growing contribution of the *Z* isomer to A_{355} , the linear behavior of the plot gradually deteriorates. Accordingly, we used decays only up to 1000 s to determine the corresponding first-order rate constants. They are compared in Figure 7b. For simplicity (see the Supporting Information for the absolute values), we have set the value of parent M as the reference (100%). For dicarboxylic acid B, the rate constant is 55% relative to that of M and that of DM is only slightly lower (44%). Substantially lower rate constants follow for the dextran derivatives, which are reacted with the bifunctional B. Whereas the rate constant for DBD(0.3/0.7) reaches 12% of the reference it is further reduced to 6% for DBD(1.1/3.6/7.4).

The considerations shown above only apply to the *E* to *Z* conversion; analyzing the *Z* to *E* isomerization in the same way yields similar results (see Supporting Information). The observed drop in k_{EZ} resembles similar observations made in azobenzene-containing amphiphilic compounds, where k_{EZ} dropped when the critical micelle concentration was surpassed.⁴³ In the case of the amphiphilic azobenzenes, this was rationalized as the effect of two azobenzene populations, one with high k_{EZ} outside the micelles and one with low k_{EZ} contained in micelles. Contrary to the amphiphilic azobenzenes, in this work, the k_{ZE} of the DBD derivatives was unaffected by the concentration. This suggests a different mechanisms in the DBD derivatives. We observed that all DBD derivatives undergo slower photoswitching than free B and that the overall difference in absorbance between the two PSS is smaller than in free B. Therefore, we assume that large portions of the B cross-linker do not participate in photo-switching and instead serve as an internal filter that attenuates the light. This may be caused by an increase in the local rigidity in the polymer network, even at only partial *E* to *Z* conversion of the azo moieties.

It is remarkable that photoswitching activity is observed here, as azobenzenes serving as structural elements inside highly ordered reticular frameworks often exhibit no photo-switching ability,⁴⁴ continuously revert to the *E* isomer due to induced stress within the framework,⁴⁵ or undergo a ligand-

Scheme 3. Reactions That Occur Upon Illumination of an Azobenzene Solution: Photochemical *E* to *Z* and *Z* to *E* Conversion As Well As Thermal *Z* to *E* Conversion



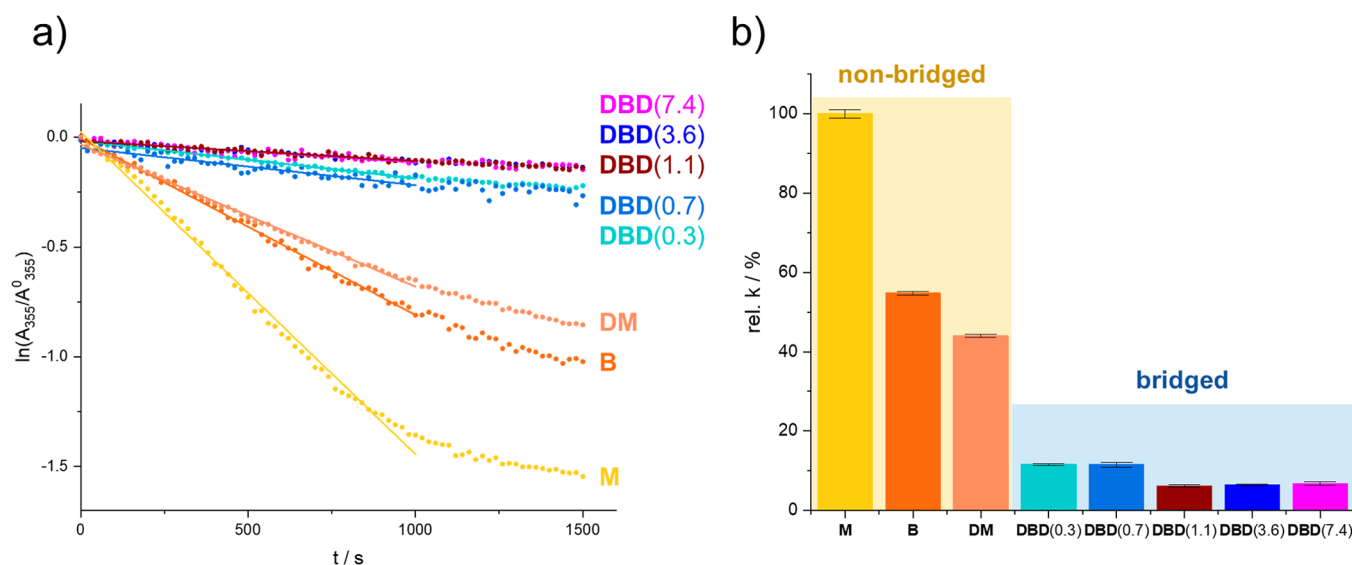


Figure 7. (a) Logarithmic plot of the curves shown in Figure 6 (the regions used for the fit are marked with the corresponding lines). (b) Relative rate constants for reference compounds **M**, **B**, **DM**, and **DBD**(0.3–7.4); error bars are taken from the linear fits.

buckling transition instead.⁴⁶ It is likely that the observed photoswitching behavior is only possible due to the soft and amorphous nature of dextran together with the relatively low amount of cross-linking.

It must be kept in mind that k_{EZ} is a compound parameter that also includes the absorption cross-section (what proportion of incident light is absorbed) and the quantum yield (what proportion of absorbed photons leads to isomerization). Additionally, for photoreactions, the overall rates also depend on the light intensity. Accordingly, by adjusting the light intensity I , the isomerization rate can be conveniently adjusted.

Figure 8 shows the photo response of **DBD**(0.7) toward irradiation cycling at 355/450 nm. We observe that the sample

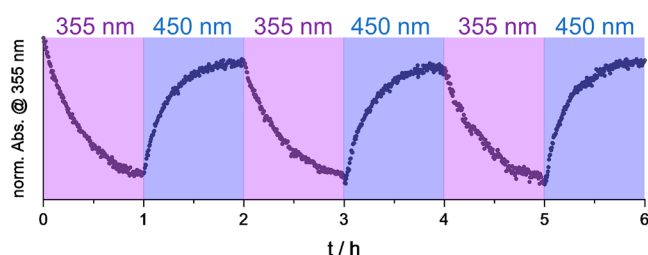


Figure 8. Cyclic irradiation of **DBD**(0.7) at 355 nm followed by 450 nm at pH = 7.

can be cycled repeatedly for 6 h, within this time frame, no bleaching of the chromophore or change in the photoswitching kinetics is observed. This points toward a high degree of stability in the **B–D** linkage, with little degradation at neutral pH. When the experiment is conducted in an aqueous borate buffer (pH = 9.2), the photoswitching behavior of **DBD**(0.7) gradually approaches that of free **B**, as the ester bonds are hydrolyzed (see Supporting Information).

The photoswitching experiments conducted with the soluble composites reveal that a high content of **B** is associated with a low k_{EZ} . Furthermore, the gels cannot be diluted, meaning that high light fluxes are required for the isomerization. Therefore, the chosen light source was a high-power UV lamp, which

illuminated the sample from various angles for 30 min. To prevent photothermal heating, the sample holder was cooled with compressed air. While **DBD**(9.9) could be measured in a polystyrene cuvette, the high optical density of **DBD**(16.6) required the material to be squeezed between two microscope slides to resolve the $n-\pi^*$ band.

We observe that the *E* to *Z* photoisomerization is also possible in gels **DBD**(9.9) and more rigid gel **DBD**(16.6). Figure 9 displays frequency scans taken before and after UV irradiation for **DBD**(9.9). G' and G'' drop (by approximately 40%) when the sample is enriched with the *Z* isomer. This indicates that the *E* to *Z* photoisomerization of the covalently bound azo moiety causes a well-detectable softening of the hydrogel. This being in line with previously published observations of acrylate-based hydrogels cross-linked by azobenzenes.⁴⁷ The observed drop in G' and G'' is much smaller in **DBD**(16.6) (just 15%, see Supporting Information). Studies on similar systems have shown that the change in mechanical properties upon illumination depends on the azobenzene cross-linker content.⁴⁸ Not only are the mechanical properties of the synthesized gels tunable, but this tunability also extends to the mechanical photoresponse.

5. SUMMARY

Dextran can be conveniently cross-linked with the bifunctional azo linker **B**. The azo-bridged, water-soluble dextran polymers retain the photoactivity of the azo group. The rates of *E* to *Z* isomerization depend on the ratio between the linker and the polymer and decrease to ca. 10–5% compared with the free linker **B**. The time scale of the isomerization can be adjusted by the intensity of the LEDs. The *E* to *Z* procedure is fully reversible. Accordingly, azo-bridged dextran of the type **DBD** have the potential to be used as components in photoactive materials.

The use of highly concentrated solutions yields gels. We converted the primary DMSO-based gel to a hydrogel. Even in such hydrogels, the photoactivity of the azo group is retained. In this latter case, *E* to *Z* isomerization of the azo moieties causes a change of the mechanical properties: The *E*-enriched

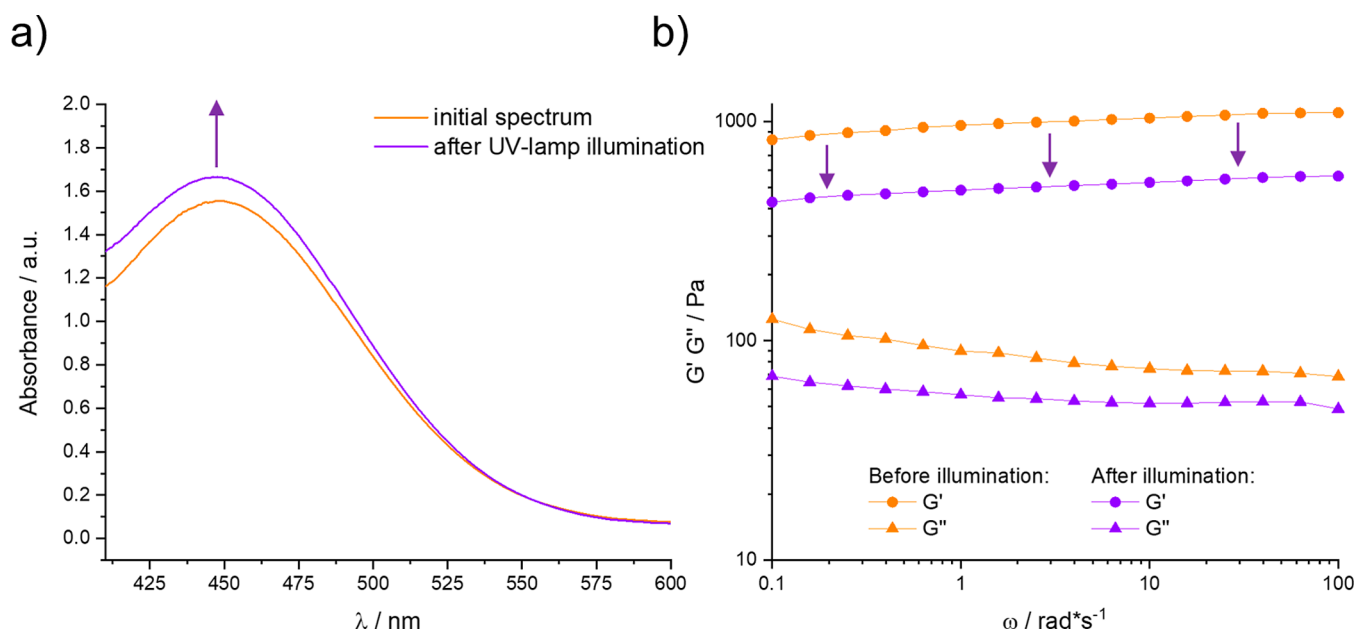


Figure 9. (a) UV/vis spectrum before (orange) and after (violet) illumination with a UV lamp for 30 min. (b) Photo-dependent frequency scans of the DBD(9.9) gel before (orange) and after (violet) illumination.

material softens upon its conversion to the Z-based material related to the properties of self-healing azo-based polymers.

Our investigations illustrate that dextran-based photo-responsive materials can be conveniently synthesized, and accordingly, this opens a route to new sustainable functional devices with a plethora of applications.

■ ASSOCIATED CONTENT

SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.biomac.4c01508>.

Detailed synthesis information; calculated yields and degree of substitution values for all products; LEDs, including their spectral profile and measured light fluxes; absolute values for k_{EZ} , as well as a kinetic evaluation of the Z to E photoisomerization; and individual rheological measurements for the two hydrogels, including error bars and the photoresponse of the DBD(16.6) gel (PDF)

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Notes

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