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In vitro **hepatic 3D cell models and their application in genetic toxicology: a systematic review**

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Abstract

 The rapid development of new chemicals and consumer products has raised concerns about their potential genotoxic effects on human health, including DNA damage leading to serious diseases. For such new chemicals and pharmaceutical products, international regulations require genotoxicity data, initially obtained through *in vitro* tests, followed by *in vivo* experiments, if needed. Traditionally, laboratory animals have been used for this purpose, however, they are costly, ethically problematic, and often unreliable due to species differences. Therefore, innovative more accurate *in vitro* testing approaches are rapidly 212 being developed to replace, refine and reduce (3R) the use of animals for experimental purposes and to improve the relevance for humans in toxicology studies. One of such innovative approaches are *in vitro* three-dimensional (3D) cell models, which are already being highlighted as superior alternatives to the two-dimensional (2D) cell cultures that are traditionally used as *in vitro* models for the safety testing of chemicals and pharmaceuticals. 3D cell models provide physiologically relevant information and more predictive data for *in vivo* conditions. In the review article, we provide a comprehensive overview of 3D hepatic cell models, including HepG2, HepG2/C3A, HepaRG, human primary hepatocytes, and iPSC-derived hepatocytes, and their application in the field of genotoxicology. Through a detailed literature analysis, we identified 31 studies conducted between 2007 and April 2024 that used a variety of standard 218 methods, such as the comet assay, the micronucleus assay, and the γ H2AX assay, as well as new methodological approaches, including toxicogenomics, to Martina Stampar and Bojana Zegura*

Intent of Genetic Toxicology and Cancer Biology, Večna pot 121, 1000 Ljubljana,

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- 219 assess the cytotoxic and genotoxic activity of chemicals, nanoparticles and natural toxins. Based on our search, we can conclude that the use of *in vitro* 3D cell
- 220 models for genotoxicity testing has been increasing over the years and that 3D cell models have an even greater potential for future implementation and
- 221 further refinement in genetic toxicology and risk assessment.
- 222 *Key words: Genotoxicity, advanced 3D in vitro models, hepatic cells, spheroids, comet assay, micronucleus assay*
222 *Key words: Genotoxicity, advanced 3D in vitro models, hepatic cells, spheroids, comet assay, micron*

Introduction

 The increasing development of new chemicals and consumer products (e.g., pharmaceuticals, cosmetics, food and feed additives and other everyday products) has raised concern about their potential adverse effects on human health, in particular, in terms of genotoxicity [1–4]. Genotoxic chemicals pose a considerable 226 threat to human health by inducing DNA damage. This can result in cytotoxic effects such as cell death or accelerated ageing, or genotoxic effects that may 227 contribute to the onset of diseases such as cancer, chronic diseases, reproductive disorders, infertility, heritable diseases, malformations in offspring, neurodegenerative disorders, and other health-related problems [5,6]. For the registration and authorisation of chemicals and pharmaceutical products, international regulations and guidelines require genotoxicity data, which are obtained in the first step through a series of *in vitro* tests with bacteria, and mammalian cells, and if the results are positive, further *in vivo* experiments are required to assess the risk to human health [4,7–9]. In the past, laboratory 231 animals have widely been used for routine testing [10–12]. The cost of using standard animal testing to assess the safety of chemicals worldwide is approximately 13 billion euros annually, and more than 100 million experimental animals are sacrificed for chemical safety testing [13,14], which is ethically 233 critical. In addition, due to differences between species, it is difficult to predict findings from animal models to humans [11,15,16]. A meta-analysis of data on genotoxicity testing of chemicals showed that 90% of the positive results obtained with currently used *in vitro* test systems on bacteria and mammalian cell lines are false positives [14,17]. This again indicates that a large number of experimental animals are being unnecessarily sacrificed, raising ethical concerns and highlighting the importance of minimising the use of animals in such testing practices, which could be avoided by more reliable *in vitro* testing systems [18–20]. This is why, in the 21st century, several research agencies worldwide have started to discuss a paradigm shift in toxicology towards the development of alternative approaches to animal experimentation that would provide the same or even more human-relevant information than animal experiments [21,22]. chemicals and consumer products (e.g., pharmaceuticals, cosmetics, food and fe

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g DNA damage. This can result in cytotoxic effects such

239 Currently, the use of laboratory animals for research purposes and the protection of their well-being and welfare is regulated by the European Union legislation 240 Directive on the protection of animals used for scientific purposes (2010/63). The European Union's REACH program (Registration, Evaluation, Authorisation and Restriction of Chemical Substances, 2007/2006) actively promotes the use of non-animal methods for chemical testing. Similarly, the US Environmental Protection Agency (EPA) plans to eliminate the use of animal models in testing chemicals and pesticides by 2035 (US EPA, 2020). Although there is considerable investment in the 3R principles – Reduce, Replace and Refine – there is a certain reluctance to fully embrace alternative approaches, due to remaining challenges in standardization, quality control and validation [8,23–25]. All these facts highlight the urgent need to develop physiologically relevant human-245 derived hepatic models to replace the use of animals for experimental purposes to test chemicals, taking into account the continuous development of chemicals and drugs [10,16,20,22,26,27].

247 In the field of preclinical testing, the OECD guidelines indicate that genotoxicity assessment is predominantly performed using 2D monolayer cultures. These include metabolically deficient rodent cell lines such as Chinese hamster lung cells (V79) and mouse lymphoma cells (L5178Y), as well as various human cell lines including primary human hepatocytes (PHH), hepatic cancer cell lines, immortalized hepatic cells, and stem cell-derived hepatocyte-like cells (HLC) [14]. Since most genotoxic carcinogens in humans require metabolic activation, *in vitro* cell models should mimic human metabolism, in particular liver metabolism, as closely as possible. However, metabolic activation, especially in metabolically deficient models (bacteria and non-hepatic cell lines), is typically studied by adding an induced S9 fraction of rat or hamster liver, comprising the major isoforms of cytochrome P450 and other metabolic enzymes, to the compounds under study during incubation [28,29]. The use of the rat liver fraction is, however, questionable for the prediction of mutagenicity in humans, as the metabolic characteristics are species-specific. Therefore, metabolically competent human cell models particularly those of hepatic origin should be used for chemical 255 hazard assessment. However, standard hepatic 2D cell-based cultures often fall short, failing to predict human responses effectively. This highlights the urging need for more sophisticated and physiologically relevant cell models in early drug testing phases to improve safety and efficacy outcomes [30–32]. 26,27].

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26.02 guidelines indicate that genotoxicity assessment is predominantly performed and the such as Chinese hamster lung cells (V79) and mouse lymphoma celeatocytes (PHH), hepatic cancer c

 Hepatic cell lines cultured in monolayer (2D) systems often exhibit metabolic deficiencies, characterized by a lack of crucial metabolic enzymes, numerous limitations, and poor correlation with *in vivo* conditions. One of the main limitations is the lack of numerous biological functions such as cell-cell and cell- matrix interactions, resulting in decreased cell differentiation, flattened cell morphology with the altered cytoskeleton, reduced cell viability, altered cell 260 signalling pathways, and most importantly, as already mentioned, the reduction or loss of expression many hepatic enzymes (phase I and II) involved in the metabolism of xenobiotic substances. However, the metabolic capacity of a cell model is a crucial requirement for a valid model in genetic toxicology, and it is also important that the *in vitro* model resembles the *in vivo* phenotype. All of these shortcomings of culturing cells in two dimensions result in significantly different cell behaviour from *in vivo* conditions, leading to inaccurate pharmacological or toxicological results [31,33,34]. Human primary hepatocytes (hPH) are still considered the gold standard for liver toxicity and metabolism of xenobiotics [35,36]. However, hPH are not suitable for routine genotoxicity testing 265 in particular long-term studies due to their limited availability, short life span, genetic and metabolic variability between donors, rapid dedifferentiation and

 loss of hepatocyte functions and hepatic phenotype in two-dimensional (2D) culture, lack of proliferative capacity in 2D cultures, and high cost [37,38]. As an alternative, several human hepatocellular carcinoma cell lines (e.g., HepG2, HepG2/C3A, Huh-6, Huh-7, HepaRG) have been established [27,39,40], characterised and widely used to assess genotoxicity of various compounds [27,41–43]. These cell lines combine the advantages of an unlimited lifespan, high availability, easy handling and high reproducibility of experimental results due to a stable phenotype, and are of human origin [36], yet they also have a number of drawbacks, the most notable of which is a deficient metabolism [27].

 We have conducted a comprehensive review of the literature published from 2007 to April 2024 on the application of *in vitro* 3D hepatic models (spheroids) in the assessment of DNA damage. First, we provided an overview of the main hepatic cell types commonly used for spheroid formation, discussing their formation describing their advantages over 2D cultures and the specific limitations of certain cell types (Table 1). We also described techniques used to form spheroids for genotoxicity assessment, highlighting their respective advantages and disadvantages (Table 2). Additionally, we collected extensive data on cytotoxicity (Table 3) and genotoxicity (Table 4) endpoints. The tables summarize the most frequently used human hepatic cell types for testing the 276 (cyto)genotoxic effects of various chemicals, nanoparticles, and natural toxins. Furthermore, we gathered data on the chemicals tested, exposure durations, 277 and studied endpoints along with a discussion of future research directions. Relevant articles were searched in the Web of Science database, which includes articles from the following citation indexes; Science Citation Index Expanded (SCI-EXPANDED), Social Sciences Citation Index (SSCI), Arts & Humanities Citation Index (A&HCI), Conference Proceedings Citation Index-Science (CPCI-S) and Conference Proceedings Citation Index-Social Science & Humanities (CPCI-SSH), and the Google scholar database. The following keywords and corresponding logical operators (AND, OR and NOT) were used in the search: "3D models", 281 "spheroids", "Hepatic cells", "HepG2", "Huh6", "Huh7", "HepaRG", "HPP", "DNA damage" and "Viability". This resulted in 31 relevant hits of the scientific papers. The sample was obtained in April 2024. ssess genotoxicity of various compounds [27,41–43]. These cell lines combine the reproducibility of experimental results due to a stable phenotype, and are of table of which is a deficient metabolism [27].
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In vitro three-dimensional (3D) cell models

 In the last decade, there has been a notable increase in the development and use of three-dimensional (3D) cell models in toxicology, which are nowadays already considered as improved pre-clinical testing systems and are recognized as promising *in vitro* alternatives to animal testing [26,44,45]. Their development and advancement have significantly expanded their application in a variety of fields, encompassing research on cancer cell processes, intracellular interactions, cell differentiation, organ development, disease modelling, and drug development and screening [46–49]. In addition, there is a growing trend towards the application of 3D cell models in genetic toxicology and risk assessment [26,31]. Nowadays, it is well known and proven that hepatic 290 3D cell models, compared to traditional hepatic 2D monolayer cell cultures, have improved cell-cell and cell-extracellular matrix interactions, as well as tissue- like structures, and very importantly, have improved metabolic activity and hepatic function, thus providing more relevant *in vivo*-like responses in terms of cell viability, proliferation, differentiation, morphology, gene and protein expression and cell function [26,39,50–53].

 Several 3D cell models have been developed, ranging from basic spheroid cultures with one type of cells[39,54–56] to more complex systems such as organoids [48,57] and micro physiological systems [31,37,47,58]. A distinctive advantage of 3D cell models is that they can provide physiologically relevant information and more predictive data for *in vivo* conditions compared to 2D culture systems.

 This review summarizes the outcomes of studies that have used 3D hepatic cell models to study DNA damage caused by a wide range of chemicals, 297 nanoparticles and natural toxins, using a variety of standard genotoxicity assays, including the micronucleus, comet and γ H2AX assays, as well as some novel methodological approaches, including transcriptomics (screening for modifications and alterations in gene expression profiles) to assess mechanisms of action, 299 to provide scientific data to evaluate the suitability of 3D hepatic cell models for genotoxicity testing (Table 4). The analysis of the dataset indicates that the earliest published papers are from 2008 and 2016. Over the past few years, there has been a gradual increase in the number of publications documenting the application of 3D hepatocellular models for DNA damage assessment, from 2 published papers in 2008 and 2016 to 31 published articles until April 2024. These findings indicate that the field of study is relatively new, and the increase in research activity highlights the importance and interest in the development and application of 3D hepatic cell models for genotoxicity assessment. ion of 3D cell models in genetic toxicology and risk assessment [26,31]. Nowaday
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ly, have improved metabolic activity and hepatic function,

Most frequently used hepatic cell types and methods for spheroid formation to assess cytotoxic and genotoxic activities of chemicals and nanoparticles

 The development of hepatic 3D *in vitro* cell models and their application in the field of genetic toxicology is a dynamic and rapidly evolving field that is still in its infancy. This field holds great promise for advancing our understanding of genotoxic effects and offers new perspectives in an area where traditional approaches have reached their limits. Initially, hepatocellular 3D cell models were mainly used for drug development and pharmacological research. However, significant progress has recently been made in the development of 3D cell models and in particular their use to investigate genotoxic effects such as primary DNA damage [42,51,56,59,60] and chromosomal instability [61] induced by chemicals [42,43,59,61,62], nanoparticles [51,63], and natural toxins [41].

 The analysis of the literature data on this research topic showed that hepatic 3D *in vitro* systems are mainly presented in the form of spheroids. The most frequently utilized cell types for assessing DNA damage are the HepG2 and HepG2/C3A cells, followed by HepaRG cells. Table 1 summarizes the advantages of 313 3D hepatic cell models, developed from the most commonly used hepatic cell types, over traditional 2D models in genetic toxicology research, along with the limitations of these hepatic cell types. Additionally, a few studies assessing long-term toxicity in 3D systems using primary human hepatocytes have been reported, and one study involving iPSC-derived hepatocytes was encountered, however, to our knowledge there are no published data on the use of these two cell types in 3D conformation for genotoxicity assessment. Other hepatic cell lines such as HUH6/7, Hep3B, JHH6, which are in the traditional two-dimensional format commonly used to study DNA damage, have not yet been used in 3D *in vitro* systems. on this research topic showed that hepatic 3D *in vitro* systems are mainly presesing DNA damage are the HepG2 and HepG2/C3A cells, followed by HepaRG cd from the most commonly used hepatic cell types, over traditional 2D

 Forming spheroids with optimal characteristics such as normal karyotype, high cell metabolic activity, properly functioning repair mechanisms and others that can be routinely used for genotoxicity testing is challenging. Hence, various methods and technologies have been developed where cells are grown under static and dynamic conditions in more complex environments, such as agitation-based approaches, hanging drop cultures, microfluidic cell culture platforms, bioreactors, microchips (organs-on-chip), hydrogels, matrices, and scaffolds [64,65]. These techniques have been successfully employed in drug development, however, most of them have not yet been systematically verified and validated for their suitability for use in genetic toxicology to study whether chemicals, nanoparticles and complex mixtures cause DNA damage and to study their mechanisms of action.

 Table 2 summarizes the most commonly used methods for spheroid formation that have been used in studies to assess DNA damage. These techniques include the Ultra-Low Attachment (ULA) Plate method, the Hanging Drop method, the Forced Floating method, the Aggrewell (micro-modelling) method, and the dynamic bioreactor approach. In the studies analysed, the maturation period before treatment varies according to the spheroid formation technique chosen. Under static conditions, spheroids typically mature for 3 to 5 days and in some studies maturation period of 7 to 8 days has been used, whereas under dynamic conditions the maturation period can be extended to several weeks or even months.Table 1: Advantages of 3D cell models developed 328 from the most frequently used hepatic cell types over traditional 2D models used for genotoxicity assessment and limitations of these hepatic cell types.

Cell type Advantages of 3D models over traditional 2D models Limitation of hepatic cell types References

331 *Figure 1: Schematic presentation of the most frequently applied techniques for the formation of spheroids used for genotoxicity assessment (Created with BioRender).*

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338 *Table 2: The most frequently applied technique for the formation of spheroids used for genotoxicity assessment.*

Initial cell density*: 1,2x10⁴ - 1,2x10⁶ depending on cell type (Figure 1D)

Dynamic Bioreactor method Spheroids in one BR: 100-600 depending on cell type (Figure 1E)**

- Large-scale spheroid production - Uniform (size and shape) spheroids - Long-term culture (allows prolonged chronic exposures for more than several weeks) - Better diffusion of nutrients, growth factors, and oxygen supply into the spheroid (reduced formation of necrotic core) - Better excretion of waste products, CO₂ from the spheroid

[39,42,99]

Expensive material - Specialized equipment - Time-consuming and labor-intensive method

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The table summarizes the initial cell densities* and the number of spheroids per one bioreactor** reported in selected articles that utilize 3D culture techniques for cytogenotoxicity assessments.

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Methodological approaches for genotoxicity assessment on hepatic spheroids

Our systematic review revealed that the most widely applied methods for determining genotoxicity of chemicals and nanoparticles on hepatic spheroids were the comet assay (alkaline [26,66,100] and high-throughput CometChip [73,101]) and the micronucleus assay [61,67,102] followed by the γ -H2AX method [103] (Table 4). These methods are sensitive and valuable tools for the assessment of primary DNA damage and chromosomal instability and for studying the mechanisms of action of various chemicals and nanoparticles. Prior to genotoxicity testing, the most frequently used methods to evaluate cell viability in spheroids after exposure to chemicals and nanoparticles were the MTT and MTS assays, the ATP assay, the Alamar Blue and Presto Blue assays, and cell death assessment using the trypan blue assay, while cell proliferation in the spheroids was assessed on a suspension of single cells obtained from spheroids by flow cytometric analyses of the cell cycle and the proliferation marker Ki67, which is expressed only in proliferating cells (Table 3).

Table 3: Studies describing the use of 3D cell models to analyse viability, proliferation and oxidative stress caused by chemicals, nanoparticles or natural toxins. The table includes information on the cell model, method of spheroid formation, maturation time, endpoints determined, compounds tested, duration of exposure and effects observed.

* neg. -represents no detected effect, /- represents missing data.

**2,4-DAT - 2-4-diaminotoluene; 2-AA - 2-aminoanthracene; 2-AAF - 2-acetylaminofluorene; 3-MCPD - 3-monochloropropane-1,2-diol or 3 chloropropane-1,2-diol; 4-NQO - 4-nitroquinoline N-oxide; AA -acrylamide; AFB1 - Aflatoxin B1; APAP - Acetaminophen; AVA aminoquinoline; B(a)P - Benzo(a)pyrene; BPA- Bisphenol A; BPAF - Bisphenol AF; BPAP - Bisphenol AP; BPC - Bisphenol C; BPFL - Bisphenol FL; BPS - Bisphenol S; CdCl₂ - Cadmium chloride; CHLO - Chlorpromazine hydrochloride; COL - Colchicine; CPA - Cyclophosphamide; CPNP - N-
cyclopentyl-4-nitrosopiperazine; CYN - Cylindrospermopsin; DFPBA - (3.5-Diformvlphenvl cyclopentyl-4-nitrosopiperazine; CYN - Cylindrospermopsin; DFPBA - (3,5-Diformylphenyl)boronic acid; DMBA - 7,12 dimethylbenz[a]anthracene; DMNA - N-Nitrosodimethylamine; DMTP-Dimethylthiophosphate; EDAC-1-Ethyl-3-(3 dimethylaminopropyl)carbodiimide; ENU - N-Nitroso-N-ethylurea; ET - Etoposide; HOPO - 2-Hydroxypyridine-N-oxide; HQ - Hydroquinone; IQ - 2-Amino-3-methyl-3H-imidazo[4,5-f]quinoline; KBrO3 - Potassium bromate; MMS - Methyl methanesulfonate; MP1 - Microplastics; MP2 - Microplastics; NDBA - N-nitrosodibutylamine; NDEA - N-nitrosodiethylamine; NDIPA - N-nitrosodiisopropylamine; NDMA - Nnitrosodimethylamine; NEIPA - N-nitrosoethylisopropylamine; NMBA - N-nitroso-N-methyl-4-aminobutyric acid; NMPA - Nnitrosomethylphenylamine; NP: CFO - Cobalt ferrite nanoparticle; NP: NFO - Nickel ferrite nanoparticle; NP: TiO2 - Titanium dioxide nanoparticle; NP: Ag - Silver nanoparticle; NP: BaSO4 - Barium sulfate nanoparticle; NP: CeO2 - Ceric oxide nanoparticle; NP: ZFO - Zinc ferrite nanoparticle; NP: FeO - Iron oxide nanoparticle; PBA - Phenylboronic Acid; PhIP - Amino-1-methyl-6-phenylimidazo[4,5-b]pyridine; PLN - Piperlongumine; TBHQ - Tert-Butylhydroquinone; Uzara - Extract from Xysmalobium undulatum.

HepG2 spheroids have been used to study the impact of direct-acting compounds H_2O_2 [56,101], MMS [56] and etoposide using the comet assay [66]. In addition, Elje et al. [56] used formamidopyrimidine DNA glycosylase (Fpg), an enzyme that detects and excises oxidised and alkylated base lesions, and the results showed that H_2O_2 and MMSinduced increased DNA strand breaks formation in the presence of the Fpg enzyme, suggesting that the enzyme-linked comet assay can be successfully used in 3D cell models[56]. Moreover, the modified comet assay using the Fpg enzyme has also been applied for the detection of DNA strand breaks formed by nanoparticles; however, no effect on the level of DNA damage has been observed after exposure of HepG2 spheroids to non-cytotoxic concentrations of TiO2-NPs, Ag-NPs, ZnO-NPs without and with Fpg enzyme, corresponding to the results obtained in HepG2 monolayer cultures. The positive control H_2O_2 induced a positive response within the expected range [51]. ds have been used to study the impact of direct-acting compounds H_2O_2 [56
the comet assay [66]. In addition, Elje et al. [56] used formanidopyrimidine DN
etects and excises oxidised and alkylated base lesions, and the

In the study on HepG2 spheroids developed by the forced floating method, Sendra et al. (2023) [60] reported that six bisphenols (BPA, BPS, BPAP, BPAF, BPFL and BPC), which are metabolically transformed, induced primary DNA damage after 24 and 96 hours of exposure. Similar was reported for pro-genotoxic compounds, BaP, AFB1, IQ, and PhIP, which caused DNA damage in HepG2 spheroids after 24-hour exposure detected with the comet assay. In the same study, the sensitivity of HepG2 2D and 3D cell models was compared and the spheroids proved to be more sensitive for detection of indirect-acting genotoxic compounds [66].

The cytokinesis-block micronucleus (CBMN) and mononuclear micronucleus assays were used to study the effects of chemicals and nanoparticles on chromosomal instability. The CBMN is a reliable technique for measuring fixed chromosomal damage in cells that have undergone cell division, which should be considered especially in 3D cell models where cell proliferation is diminished. In HepG2 spheroids developed by hanging drop method, the indirectacting aflatoxin B1 [61,67] and PhIP [61], as well as direct-acting alkylating agent MMS [67] induced micronuclei formation. The authors reported that AFB1, PhIP and BaP at lower concentrations induced higher MN frequencies in 3D HepG2 spheroids compared to 2D HepG2 monolayer cultures, while direct-acting MMS induced similar levels of MN formation in both 2D and 3D HepG2 models indicating higher metabolic capacity of 3D cell models [61,67].

Moreover, Conway et al. (2020) [67] compared the performance of CBMN assay (addition of CytoB) and mononuclear (MN) micronucleus assay (without addition of CytoB) in HepG2 spheroids after 5 days of exposure to zinc oxide engineered nanomaterials (ZnO ENMs) and reported that after prolonged exposure, when cell proliferation in the 3D conformation is reduced, the CBMN assay underestimated the true level of genotoxicity. They observed a significant difference between the two modifications of the method and reported a clear trend of higher MN frequency due to ZnO ENMs for mononucleated cells. In the same study, HepaRG cells were also used in parallel; however, due to the very low proliferation rate, the authors concluded that HepaRG cells are not suitable for genotoxicity assessment using CBMN assay after long-term exposure of several days unless epidermal growth factors are added to stimulate cell proliferation [113,114]. Llewellyn et al. (2020, 2021) reported that ENMs including TiO₂, ZnO, Ag, BaSO₄ and CeO₂ affected chromosomal instability of HepG2 cells in spheroids after 24 hours of exposure detected by the CBMN assay, while only ZnO increased the level of micronuclei also after 120 hours of exposure detected by the mononucleate version of the micronucleus assay [63,117].

Literature data have shown that the expression of specific genes involved in DNA damage response has been studied mainly in HepG2 and HepG2/C3A spheroids, focusing on the effects of model genotoxic compounds. The key genes investigated in these studies were *TP53*, *CDKN1α*, *MDM2*, *ERCC4* and *GADD45α*. In HepG2 spheroids developed by the forced floating method, BaP and PhIP induced the formation of the DNA double-strand breaks after 24 and 96 hours of exposure detected with the γ H2AX assay [62], while BPA, BPAP, BPAF and BPC in the same model induced DNA double-strand breaks and pH3-positive cells, reflecting clastogenic and aneugeic effects, respectively [60]. Moreover, in HepG2 spheroids after 72 hours of exposure, cylindrospermopsin (CYN), an emerging toxin produced by cyanobacteria that exhibits genotoxic effects in metabolically competent systems caused the increased formation of H2AX positive foci, reflecting DNA double-strand breaks [41]. For studying the mechanisms of action, a toxicogenomic approach was used in HepG2 spheroids and the results revealed that BaP, AFB1, and two heterocyclic aromatic amines, PhIP and IQ, as well as direct-acting ET, upregulated the mRNA level of genes involved in the response to DNA damage (*TP53*, *CDKN1α*, *GADD45α*) and metabolism (*CYP3A4, CYP1A1, CYP1A2, UGT1A1, SULT1A1, SULT1B1, NAT1, NAT2)* [66]. Similarly, also CYN after 72-hour exposure caused deregulation of genes encoding phase I (*CYP1A1, CYP1A2, CYP3A4, ALDH3A*) and II (*NAT1, NAT2, SULT1B1, SULT1C2, UGT1A1, UGT2B7*) enzymes and genes involved in DNA damage response (*CDKN1α, GADD45α, ERCC4*) [41]. broaded the level of micronuclei also after 12 hours of exposure detected
increased the level of micronuclei also after 120 hours of exposure detected
incronucleus assay [63,117].

have shown that the expression of specif

Similar to HepG2 spheroids, the comet assay was the most commonly used method for assessing genotoxic activity also in HepG2/C3A spheroids formed in agreewells and grown in a dynamic Clinostar bioreactor system for 21 days [42] and in cell-repellent microplates and agarose ultra-low attachment plates - agarose liquid overlay technique cultured for 7 and 10 days [109]. In both systems, indirect-acting BaP and PhIP resulted in an increased formation of DNA single-strand breaks after 24 [42,109] and 96 [42] hours of exposure. In addition, Coltman et al. (2021) [109] reported elevated levels of DNA single-strand breaks induced by pro-genotoxic 2-amino-anthracene (2-AA) and DNA double-strand breaks induced by both indirect-acting (BaP, PhIP, 2-AA) and direct-acting (4-NQO) genotoxic compounds detected with the yH2AX phosphorylation assay. The authors compared HepG2/C3A 3D spheroids and 2D monolayer cultures and concluded that the spheroids are a more sensitive model for detecting pro-genotoxic compounds [109].

The toxicogenomic approach is often used when assessing the mechanisms of action of various compounds. In HepG2/C3A spheroids several genes involved in metabolism (e.g., *CYP1A1, CYP1A2, EPHX, NAT2, UGT1A1, UGT1A3 and UGT1A6, GSTm1, GSTm1, SULT1A1* and *SULT1A2*), cellular stress (e.g., *MKI67, HIF1α, NFKB, NQN1 and NRF2*) and DNA damage response (e.g., *TP53, BRCA2, CDK2, CDK7 and CDKN1α, GADD45α, HUS1, MDM2 and SERTAD1*) were deregulated upon exposure to genotoxic compounds for 24 hours [109]. Similar observations were reported by Štampar et al. (2021) [42], when DNA-damage response-related genes (e.g., *TP53, CDKN1α, GADD45α, MDM2 and ERCC4*), immediate-early response genes (*JUNB* and *MYC*) and metabolic genes (e.g., *CYP1A1, CYP1A2, CYP3A4, UGT1A1, UGT2B7, NAT1, NAT2, SULT1B1* as well as *SULT1C2*) were deregulated in 21-old spheroids exposed to BaP and PhIP for 24 hours.

In HepaRG spheroids formed in ultra-low attachment plates and cultured for 10 days, the genotoxicity of more than 34 chemicals (including 8 direct-acting and 11 indirect-acting genotoxic compounds or carcinogens and 15 compounds that showed different genotoxic responses *in vitro* and *in vivo*) [73] and 11 chemicals [59] was detected by the CometChip assay and alkaline comet assay, respectively. Both studies revealed that 3D HepaRG spheroids exhibited higher sensitivities than 2D differentiated HepaRG cells and are therefore more suitable to detect DNA damage caused by direct- and indirect-acting genotoxic compounds. Recently, Seo et al. (2024) reported that N-nitrosodimethylamine (NDMA), an alkylating agent metabolized by *CYP2E1*, induced a dose-dependent formation of DNA strand breaks in HepaRG spheroids, which was detected by the alkaline comet assay [112]. Furthermore, genotoxicity of eight *N*nitrosamines (CPNP, NDBA, NDEA, NDMA, NDIPA, NEIPA, NMBA and NMPA) assessed with the CometChip assay revealed that after 24-hour exposure all tested *N*-nitrosamines caused DNA damage in HepaRG spheroids, while only three (NDBA, NDEA and NDMA) produced positive response in 2D HepaRG cells [114]. In addition to the chemicals, titanium dioxide (TiO₂) and two types of zinc oxide (ZnO) nanoparticles (NMs) were tested for their potential genotoxic activity in the absence and presence of Fpg enzyme in 2D and 3D HepaRG models using both classical and highthroughput (CometChip) formats of the comet assay. The TiO₂ NMs did not show cytotoxic nor genotoxic activities in either the 2D or 3D system. In contrast, the cytotoxic effects of ZnO NMs (ZnO S. and NM-110) were greater in the 2D compared to the 3D cell model. ZnO S caused DNA damage only at cytotoxic concentrations, whereas NM-110 showed significant genotoxic effects at non-cytotoxic concentrations in both 2D and 3D models. The positive controls, directacting MMS and KBrO₃, gave a similar response in the 2D and 3D HepaRG models [93]. eroids formed in ultra-low attachment plates and cultured for 10 days, the genotd
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carcinogenic compounds, and 15 compounds that show different genotoxic responses *in vitro* and *in vivo.* The results showed comparable sensitivity of 2D and 3D HepaRG models for direct-acting compounds, however, a much higher sensitivity of 3D HepaRG cells for detection of indirect-acting genotoxic compounds compared to 2D cell cultures was reported, which is likely due to the higher levels of cytochrome P450 (CYP) gene expression and enzyme activities in the spheroids [113]. Five out of eight tested *N*nitrosamines caused a significant increase in the frequency of MNi in human epidermal growth factor-stimulated 3D HepaRG cell model determined with the flow cytometrybased micronucleus (MN) assay and the sensitivity of the 3D cell model was reported to be higher compared to 2D HepaRG monolayer culture. In addition, all eight nitrosamines induced statistically significant increases in γH2A.X formation in 3D spheroids [114]. In a recent study, Seo et al. (2024) [112] reported that NDMA induced formation of MNi in 2D and 3D HepaRG cell models again with 3D being more sensitive. In addition, the induction of NDMA mutations was studied using two error-corrected next-generation sequencing (ecNGS) technologies (Duplex Sequencing (DS) and High-Fidelity (HiFi) Sequencing) to identify and quantify rare mutations. Mutational spectrum analyses showed predominantly induction of $A:\mathsf{T} \to \mathsf{G}:\mathsf{C}$ transitions, along with a lower frequency of G:C → A:T transitions [112]. **Cell line** y of MNi in human epidemal

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Table 4: Studies describing the use of 3D cell models to identify DNA damage caused by chemicals, nanoparticles or natural toxins. The table includes information on the cell model, method of spheroid formation, maturation time, endpoints determined, compounds tested, duration of *exposure and effects observed.*

* neg. -represents no detected effect, /- represents missing data.

**2,4-DAT - 2-4-diaminotoluene; 2-AA - 2-aminoanthracene; 2-AAF - 2-acetylaminofluorene; 3-MCPD - 3-monochloropropane-1,2 diol or 3-chloropropane-1,2-diol; 4-NQO - 4-nitroquinoline N-oxide; AA – Acrylamide; AFB1 - Aflatoxin B1; B(a)P - Benzo(a)pyrene; BPA - Bisphenol A; BPAF - Bisphenol AF; BPAP - Bisphenol AP; BPC - Bisphenol C; BPFL - Bisphenol FL; BPS - Bisphenol S; CdCl2 -Cadmium chloride; COL – Colchicine; CPA – Cyclophosphamide; CPNP - N-cyclopentyl-4-nitrosopiperazine; CYN – Cylindrospermopsin; DFPBA - (3,5-Diformylphenyl)boronic acid; DMBA - 7,12-dimethylbenz[a]anthracene; DMNA - N-Nitrosodimethylamine; DMTP – Dimethylthiophosphate; EDAC - 1-Ethyl-3-(3-dimethylaminopropyl)carbodiimide; ENU - N-Nitroso-

N-ethylurea; ET - Etoposide; H₂O₂ - Hydrogen peroxide; HOPO - 2-Hydroxypyridine-N-oxide; HQ - Hydroquinone; IQ - 2-Amino-3methyl-3H-imidazo[4,5-f]quinoline; KBrO₃ - Potassium bromate; LMG - Leucomalachite Green; MMS - Methyl methanesulfonate; NDBA - N-nitrosodibutylamine; NDEA - N-nitrosodiethylamine; NDIPA - N-nitrosodiisopropylamine; NDMA - Nnitrosodimethylamine; NEIPA - N-nitrosoethylisopropylamine, NMBA - N-nitroso-N-methyl-4-aminobutyric acid; NMPA - Nnitrosomethylphenylamine; NP: Ag – Silver nanoparticle; NP: BaSO4 - Barium sulfate nanoparticle; NP: CeO2 - ceric oxide nanoparticle; NP: TiO2 - Titanium dioxide nanoparticle; NP: ZnO - Zinc oxide nanoparticle; PBA - Phenylboronic Acid; PhIP - Amino-1-methyl-6-phenylimidazo[4,5-b]pyridine; PLN - alkaloid Piperlongumine; SIN -1 - 3-Morpholinosydnonimine hydrochloride; TBHQ - Tert-Butylhydroquinone.

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Conclusions

It is becoming increasingly recognized that *in vitro* 3D cell models represent a significant advancement in genotoxicity testing and can contribute to reducing the number of animals used for scientific purposes. Literature data have revealed that 3D hepatic cell models (e.g., HepG2, HepG2/C3A, HepaRG etc.) appear to be more sensitive than 2D monolayer cultures when assessing the genotoxic activities of indirect-acting compounds. As 3D cell models are a physiologically more accurate and ethically more responsible alternative to traditional 2D cell cultures on the one hand and animal experiments on the other hand, increasing the accuracy of toxicity assessments and significantly enhancing the safety of chemicals and public health, it can be concluded that they represent a powerful model for genotoxicity assessment. Since chemicals and nanoparticles can cause genotoxicity by various mechanisms (e.g., primary DNA damage, chromosomal instability, chromosomal aberration, mutations etc.), an integrated test battery measuring different genotoxicity endpoints is warranted to provide information for appropriate follow-up *in vivo* testing, thereby reducing unnecessary animal studies. chemicals and public health, it can be concluded that they represent a powerful model for
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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

 \Box The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

Highlights

- *In vitro* three-dimensional (3D) cell models are being highlighted as superior alternatives to the two-dimensional (2D) cell cultures.
- *In vitro* three-dimensional (3D) cell models are a more sensitive model for detecting progenotoxic compounds compared to two-dimensional (2D) cell cultures.
- To assess the genotoxic effects of chemicals and nanoparticles, an integrated test battery measuring different genotoxicity endpoints should be used.

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