

# Preoperative intensity-modulated chemoradiotherapy with simultaneous integrated boost in rectal cancer: five-year follow-up results of a phase II study

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**Background.** We conducted a phase II study to investigate the feasibility and safety of preoperative radiochemotherapy experimental fractionation, using intensity-modulated radiation therapy with simultaneous integrated boost (IMRT SIB) to shorten the overall treatment time without dose escalation in intermediate/locally advanced rectal cancer with the aim to improving treatment outcome.

**Patients and methods.** A total of 51 patients with operable stage II–III rectal carcinoma were included between January 2014 and January 2015. Fifty patients completed preoperative IMRT treatment with an elective dose of 41.8 Gy and simultaneously delivered 46.2 Gy to T2/T3 and 48.4 Gy to T4 tumour in 22 fractions, with concomitant capecitabine (825 mg/m<sup>2</sup>/12 h, including at weekends). Median follow-up was 70 months (range 11–80 m).

**Results.** Forty-seven patients completed treatment per protocol. Acute toxicity occurred in 2 (4%) patients. R0 resection was achieved in all but 1 and pathologic complete response (pCR) in 12 (25.5%) patients who had 5-year overall survival (OS), disease-free survival (DFS) and local control (LC) of 91.7%, 100% and 100%, respectively. The intention-to-treat analysis showed that the type of surgery significantly moderated OS and DFS, while total downstaging and pN were predictive for DFS only. For treatment per protocol 5-year OS, DFS and LC were 80.9% (95% confidence interval [CI] 69.7–92.1), 77.1% (95% CI 65.1–89.1) and 95.2% (95% CI 88.7–100), respectively. The proportion of patients with severe late (CTCAE G ≥ 3) gastrointestinal, urinary and sexual toxicity was 15%, 2% and 8% respectively, with one reported secondary carcinoma.

**Conclusions.** Preoperative IMRT-SIB without dose escalation was well tolerated, with a low acute toxicity profile, we achieved a high rate of pCR and showed encouraging 5-year OS, DFS and LC.

Key words: rectal cancer; IMRT; simultaneous integrated boost; preoperative radiochemotherapy; acute toxicity; pathologic complete response; overall survival; disease-free survival; local control; late toxicity; quality of life

## Introduction

In recent years, many different treatment strategies have been tested to improve outcomes for patients with locally advanced rectal cancer, with toxicity being the main obstacle for intensification of the

standard treatment.<sup>1-4</sup> Changing the preoperative radiotherapy (RT) technique from 3D conformal (3D CRT) to intensity-modulated radiotherapy (IMRT) allowed better sparing of normal tissue in dosimetric analyses<sup>5-8</sup> and was used in several phase II studies to achieve dose escalation with si-

multaneous integrated boost (SIB) with or without another drug in addition to standard concomitant capecitabine.<sup>9-14</sup> The published reports showed encouraging results for pathologic complete response (pCR) and local control (LC)<sup>9,11</sup>, but with no impact on gastrointestinal toxicity with the addition of oxaliplatin<sup>15</sup> and important late toxicity with dose escalation.<sup>10</sup>

Due to the promising impact on clinical outcome, but, conflicting toxicity results of treatment intensification with IMRT-SIB dose escalation in preoperative locally advanced rectal cancer (LARC) treatment, we conducted a prospective phase II study, where we kept the biologically effective dose (BED) of experimental IMRT SIB fractionation similar to the standard 3D CRT protocol of 45 Gy elective dose and boost of 4.5 Gy to T3 and 9 Gy to T4 tumour.

Our previously published results have shown that radiochemotherapy with IMRT-SIB without dose escalation, concomitantly with capecitabine, achieved a high rate of pCR (25.5%) and downstaging rate, with favourable acute toxicity profile and excellent compliance.<sup>16</sup> In this paper, we report LC, disease-free survival (DFS), overall survival (OS), late toxicity and quality of life (QoL) after median follow-up of 70 months.

## Patients and methods

### Study design and inclusion criteria

Details about the trial (the eligibility criteria, treatment details and trial design) are available elsewhere.<sup>16,17</sup> In short, to enter the study patients had to present with operable, histologically proven, intermediate/locally advanced (cT  $\geq$  3 and/or cN  $\geq$  1 on MRI), non-metastatic (M0 confirmed on CT thorax and abdomen) rectal adenocarcinoma, located up to 15 cm from the anal verge with no contraindications for systemic therapy. Written consent was signed before entering the trial, which was approved by the National Medical Ethics Committee of the Republic of Slovenia (No. 41/12/13) and complied with the Declaration of Helsinki. The study was registered in the ClinicalTrials.gov database (NCT02268006).

### Treatment protocol

The target volumes and dose prescription were described in detail.<sup>16</sup> Visible primary tumour was contoured as the gross tumour volume (GTV) and was extended with a 1 cm margin to represent a

boost volume (clinical target volume 2 – CTV2). Clinical target volume 1 (CTV1) encompassed CTV2, mesorectum, and regional lymph nodes. The nodes along the *arteria iliaca externa* were included in case of substantial genitourinary structure infiltration, and the ischioanal fossa and anal canal if the *musculus levator ani* or anal canal were involved. CTV 1 was extended anteriorly due to bowel movement as internal target volume (ITV). ITV + 1cm (7 mm posterior/lateral) represented the planning target volume (PTV). PTV 1 received 41.8 Gy in 22 fractions and SIB was prescribed to tumour (PTV 2) concomitantly to doses of 46.2 Gy and 48.4 Gy to T  $\leq$  3 and T4 tumours in 22 fractions, respectively, 5 times per week (Monday to Friday). Concomitant capecitabine was prescribed from the first to the last day of the radiation treatment (including at weekends) at a daily dose of 825 mg/m<sup>2</sup>/12 h. The treatment was delivered on Clinac 2100 CDI (Varian, Palo Alto, USA) using the dynamic multileaf collimator technique with 6MV photons and a daily position verification (ExacTrac X-ray 6D system, BrainLAB AG, Feldkirchen, Germany).

After total mesorectal excision (TME), that was scheduled 6–8 weeks after preoperative treatment, six cycles of adjuvant chemotherapy with capecitabine were offered to patients with residual tumour on pathologic examination. Pathologic stage and tumour regression grade (TRG) were recorded according to the American Joint Committee on Cancer (AJCC) 7th edition<sup>18</sup> and criteria by Dworak *et al.*<sup>19</sup>, respectively.

All patients were followed up with clinical and serum CEA evaluation every 3 months for two years, and later on a bi-annual basis with abdominal ultrasound every 6 months and a chest radiograph annually.

### Statistics

This prospective phase II study in patients with intermediate/locally advanced rectal cancer was designed to evaluate the pathologic complete response after experimental preoperative treatment as a primary endpoint. The key secondary endpoints were to evaluate the acute toxicity of preoperative treatment, tumour response, local control (LC), disease-free survival (DFS) and overall survival (OS). In this report, we focus on survival, late toxicity and quality of life (QoL) after a 5-year follow-up.

A statistical analysis was performed with the Statistical Package for Social Sciences, v. 25.0 (SPSS

Inc., Chicago, IL, USA). Descriptive statistics were used to present frequencies. Survival was calculated with the Kaplan-Meier method and the influence of possible prognostic factors verified by means of the log-rank test. Time intervals were defined from the end of treatment (operation or radiotherapy completion for non-operated patients) until the last follow-up or death for OS and additionally until local or distant recurrence for DFS. For the intention-to-treat analysis (all patients), LC and DFS were counted as 0m for non-operated patients and DFS as 0m for M1 patients. Patients surgically treated after chemoradiotherapy completion (N = 47) entered treatment per protocol analysis.

Late adverse events data were available in the medical records for all patients and telephone interviews were additionally performed in November 2020, discussing patients' late adverse effects and quality of life, following the Common Terminology Criteria for Adverse Events (CTCAE) v 5.0.<sup>20</sup>

QoL was recorded with the EORTC cancer-specific core questionnaire QLQ-C30<sup>21</sup> and colorectal-specific questionnaire QLQ-C29<sup>22</sup>, that were collected before treatment (T0), and 1 year (T1) and 5 years (T5) after treatment. Data from all questionnaires were available for 31 patients for which the recorded answers were transformed into dimensions in the range 1–100.<sup>23</sup> Higher scores represented a higher level of functioning (for functional scales and single items) and lower scores displayed a lower symptom level (for symptom scales and single items). Statistical significance for QLQ scores changes over time was verified by comparing means with the Wilcoxon signed-rank test and with the t-test for EORTC reference value comparison. A p-value < 0.05 was considered statistically significant.

## Results

Between January 2014 and January 2015, 51 (N = 51) patients were included. The patients' characteristics are described in detail elsewhere<sup>16</sup>, but briefly – median age was 66 years (range: 33–81 years) and nearly half of the tumours were located in the lower third of the rectum. The tumour invaded the mesorectal fascia in 20 patients and 15 patients had suspicious extramesorectal lymph nodes on MRI. Clinical stages were: T2N1M0 (n = 1), T3N0M0 (n = 6), T3N1M0 (n = 15), T3N2M0 (n = 22), T4N1M0 (n = 4), T4N2M0 (n = 2), and T3N1M1<sup>18</sup>, with small lung lesion prior to inclusion revealed as lung metastasis on control CT after the treatment in the last patient.

Preoperative radiochemotherapy was completed by 50 patients and 1 received preoperative short-course radiotherapy due to ischaemic stroke.

Altogether, 48 patients underwent surgery (47 treated per protocol). In 3 patients, surgery was omitted due to patient refusal, synchronous pancreatic cancer and rectal varices haemorrhage. Low anterior resection (LAR) was performed in 40 patients, abdominoperineal resection (APE) in 7, and pelvic exenteration in 1. One patient had a positive circumferential margin. Extramesorectal nodes exploration was based upon surgeon discretion and nodes were removed in 4 patients.

The total downstaging rate was 87% (41/47 patients), with a decrease in T and N stage observed in 32 and 39 patients, respectively. Pathologic complete response was achieved in 12 patients.

In median follow-up of 70 months (range 11–80 m) we recorded 13 deaths, 7 due to rectal cancer. Among the 6 remaining patients, 3 died of cardiovascular disease and one each of pancreatic cancer, alcohol hepatic cirrhosis and grade 5 (G5) ileus. One isolated local relapse and 1 with synchronous distant metastasis occurred 41 and 42 months after LAR and APE, respectively. Time to distant relapses was 0 m and 18 m (lung), 6 and 11 m (liver), 41 m (adrenal gland) and 42 m (abdominal lymph nodes). At the latest date of follow-up on 30.1.2021, there were 37 patients alive without disease and one patient on systemic treatment for disseminated rectal cancer.

## Survival

We performed an intention-to-treat analysis for all 51 patients and for 47 patients that were treated according to protocol (Table 1). For the entire cohort, cumulative 5-year OS, 5-year DFS and 5-year LC were 76.5% (95% CI 64.9–88.1), 72.4% (95% CI 60.4–84.6), and 89.7% (95% CI 81.1–98.3), respectively. In the treatment per protocol group 5-year OS, 5-year DFS and 5-year LC were 80.9% (95% CI 69.7–92.1), 77.1% (95% CI 65.1–89.1) and 95.2% (95% CI 88.7–100), respectively. Five-year colostomy-free survival was 76% (29/38).

The potential influence of prognostic factors on survival was determined by means of the log-rank test (Table 2). There was no association between age at diagnosis, performance status, tumour grade, positive mesorectal fascia or suspicious extramesorectal lymph nodes, removal of extramesorectal lymph nodes, clinical stage (cT, cN), decrease in T and N stage or pathologic T stage on survival. We found no predictive value for pCR, TRG prognostic

**TABLE 1.** Number of events after median follow-up of 70 months (11–80 m) and 5-year survival

	Intention to treat (N = 51)	Per protocol (N = 47)
5-year OS*	76.5%	80.9%
5-year DFS	72.5%	76.5%
5-year LC	90.2%	95.7%
	Number of events (%)	Number of events (%)
<b>OS status</b>		
Alive	38 (74.5)	37 (78.7) *
Dead	13 (25.5)	10 (21.3)
<b>DFS status</b>		
Alive without disease	37 (72.5)	36 (76.5)
Local/distant relapse/death	14 (27.5)	11 (23.5)
<b>LC status</b>		
Local relapse -	46 (90.2)	45 (95.7)
Local relapse +	5 (9.8)	2 (4.3)

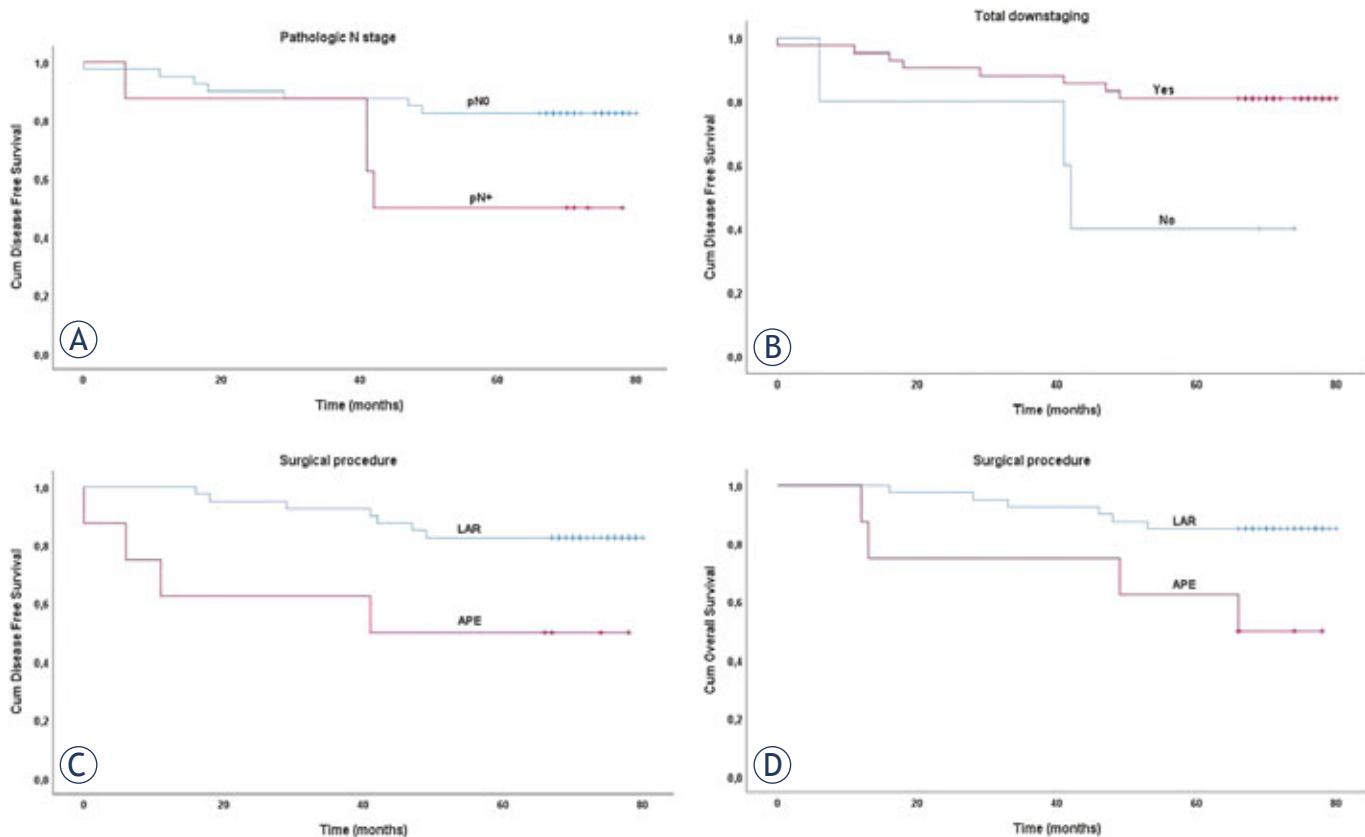
\* = Numbers differ from OS status due to one noncancer death > 5-year after surgery; DFS = disease-free survival; LC = local control; OS = overall survival; for non-operated patients and patient with M+ disease local or distant recurrence was calculated as 0 months.

group or NAR prognostic group as survival surrogates. Significantly better DFS was found in patients where total downstaging was achieved and in patients with pathologically negative lymph nodes (Figure 1). There was significantly better OS and DFS with LAR compared with APE or pelvic exenteration ( $p = 0.000$  and  $0.013$ , respectively). Gender was a predictive prognostic factor for OS and treatment per protocol was associated with better OS and DFS.

We recorded no local or distant relapses in the group of patients with pCR, with one death due to G5 adverse event, leading to 91.7% 5-year OS and 100% 5-year DFS and 5-year LC for this group of patients.

### Late toxicity

Late toxicity data are available for all patients and are listed in Table 3. Patients reported mean 5 late adverse events (range 0–19) at last follow up. Major adverse events (CTCAE version 5.0  $G \geq 3$ )<sup>20</sup>



**FIGURE 1.** Prognostic significance of (A) pathologic nodal stage (pN) and (B) total downstaging on 5-year disease-free survival, (C) prognostic significance of surgery procedure on 5-year disease-free survival and (D) overall survival in rectal cancer after preoperative radiochemotherapy and surgery.

APE = abdominoperineal excision; LAR = low anterior resection

occurred in 12 patients (23%), of which 7 patients had one, 3 patients two and 1 patient had three G3 toxicities. Following protective stoma closure, 1 patient died due to G5 ileus complication 29 months after LAR. Gastrointestinal toxicity (GI)  $\geq 3$  was recorded in 7 (15%) and genitourinary (GU) in 5 (10%) patients. Two men have erectile dysfunction and two women are reporting problems due to dyspareunia, vaginal dryness and vaginal stricture. Due to complete faecal incontinence, permanent stoma was required in two patients, 10 and 36 months after LAR. Urgent surgical intervention was required for anastomotic dehiscence and hernia incarceration in one case where the patient later developed enterocutaneous fistula. In the remaining two patients with anastomotic dehiscence, protective stoma closure was omitted in one patient and permanent stoma was placed 23 months after LAR in the other patient. Permanent stoma placement was also required due to rectoprostatic fistula in one patient 36m after LAR. Altogether, 6 patients with sphincter-preserving surgery had stoma closure omitted or later placed as permanent due to late toxicity (faecal incontinence, anastomotic dehiscence and fistula). The last recorded serious adverse event possibly related to treatment was recorded after 60m of follow up in a patient with bladder carcinoma (Figure 2).

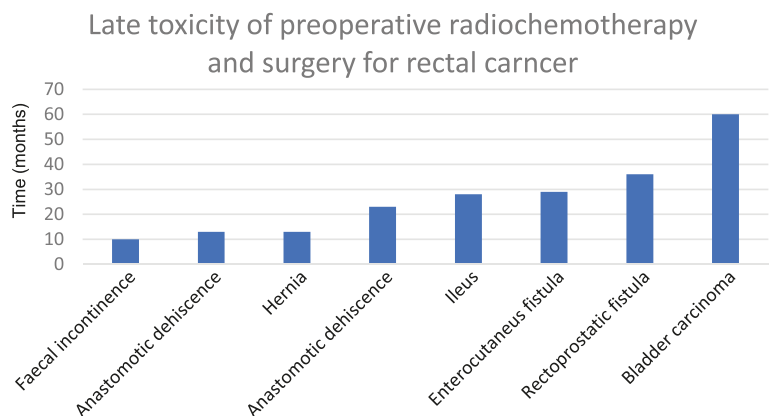
**Quality of life evaluation (QoL)**

Of 38 eligible patients, 31 (81.6%) completed the EORTC QLQ-C30 and QLQ-C29 questionnaires before treatment (T0), 1 year (T1) and  $\geq 5$  years (T5) after treatment at median age 75 years (range 37–86 years). The global QoL mean scores have not significantly changed over time (mean T0 vs. T5 was 57.0 vs. 60.8;  $p = 0.384$ ), but were significantly lower compared to the general Slovenian population ( $p = 0.035$ ). Also, no significant differences in mean scores over time were observed for any of the core functional and physical scales (data shown in Supplement 1). Significant changes in CR29 scales occurred 1 year after treatment and remain significant  $> 5$ -year post treatment. There was a significant drop in reported blood and mucus (mean T0 vs. T1 vs. T5 was 33.9 vs.7.5 vs.4.3; T0/T1 and T0/T5  $p < 0.000$ ) and anxiety score (mean T0 vs. T1 vs. T5 was 67.7 vs. 39.3 vs. 42.2; T0/T1  $p = 0.000$  and T0/T5  $p = 0.005$ ), but higher scores were recorded for faecal incontinence/leakage, hair loss, and body image (T0/T1  $p = 0.027, 0.046,$  and  $0.007$ , respectively). There was no difference in mean scores for urinary incontinence between T0/T1, but mean scores rose

**TABLE 2.** Influence of probable prognostic factors on OS and DFS

Prognostic factor	Intention to treat (N = 51)		Per protocol (N = 47)	
	OS	DFS	OS	DFS
Age at diagnosis ( $\geq 65$ years vs. $<65$ years)	ns	ns	ns	ns
Gender (male vs. female)	$p = 0.044$	ns	$p = 0.064$	ns
PS WHO	ns	ns	ns	ns
Tumour grade	ns	ns	ns	ns
Tumour location (upper/middle/lower rectal third)	ns	ns	ns	ns
MRI +	ns	ns	ns	ns
Extramesorectal lymph nodes (positive/negative)	ns	ns	ns	ns
Time to treatment ( $\leq 7w / > 7w$ )	$p = 0.045$	ns	ns	ns
Surgery procedure (APE and pelvic exenteration/LAR)	$p = 0.000$	$p = 0.013$	$p = 0.020$	$p = 0.016$
cT stage <sup>a</sup>	ns	ns	ns	ns
cN stage <sup>a</sup>	ns	ns	ns	ns
Decrease in T stage	ns	ns	ns	ns
Decrease in N stage	ns	ns	ns	ns
Total downstaging	ns	$p = 0.029$	ns	$p = 0.029$
pT stage (0-2 vs. 3-4)	ns	ns	ns	ns
pN stage (0 vs. +)	ns	$p = 0.044$	ns	$p = 0.019$
Ekstramesorectal lymph node removal	ns	ns	ns	ns
pCR	ns	ns	ns	ns
TRG prognostic group	ns	ns	ns	ns
NAR prognostic group	ns	ns	ns	ns
Adjuvant chemotherapy <sup>b</sup> (5-6 / $\leq 4$ cycles)	ns	ns	ns	ns
Treatment per protocol	$p = 0.006$	$p = 0.001$	/	/

<sup>a</sup> according to AJCC, 7th edition<sup>18</sup>; <sup>b</sup> calculated for 36 patients with indication for adjuvant chemotherapy; APE = abdominoperineal excision; DFS = disease free survival; LAR = low anterior resection; MRI+ = positive mesorectal fascia; N = nodal; NAR = neoadjuvant rectal cancer score<sup>32</sup>; ns = not specific ( $p > 0.05$ ). OS = overall survival; pCR = pathologic complete response; PS WHO = WHO performance status; T = tumour; TRG = tumour regression grade<sup>19</sup>



**FIGURE 2.** Time to occurrence of G  $\geq 3$  adverse events.



**TABLE 3.** Late toxicity after preoperative radiochemotherapy, surgery and adjuvant chemotherapy according to CTCAE version 5.0<sup>20</sup>

	G1, n (%)	G2, n (%)	G3, n (%)	G4, n (%)	G5, n (%)
Anastomotic dehiscence	-	1 (2.1)	3 (6.3)	-	-
Anastomotic stenosis	4 (10.0)	-	-	-	-
Ileus	-	-	-	-	1 (2.1)
Hernia	4 (8.3)	1 (2.1)	1 (2.1)	-	-
Abdominal or pelvic pain	11 (22.9)	3 (6.3)	-	-	-
Anal stenosis	5 (10.4)	-	-	-	-
Fistula	-	1 (2.1)	2 (4.2)	-	-
Bloating	21 (43.8)	3 (6.3)	-	-	-
Constipation	10 (20.8)	4 (8.3)	-	-	-
Diarrhoea	9 (18.8)	5 (10.4)	-	-	-
Faecal incontinence	6 (15.4)	12 (30.8)	3 (7.7)	-	-
Faecal urgency*	5 (13.2)	1 (2.6)	-	-	-
Flatulence	25 (52.1)	6 (12.5)	-	-	-
Haemorrhoidal haemorrhage	1 (2.1)	-	-	-	-
Haemorrhoids	3 (6.3)	-	-	-	-
Proctitis	1 (2.1)	-	-	-	-
Intestinal stoma leak	2 (8.3)	-	-	-	-
Dysuria	1 (2.1)	-	-	-	-
Urinary frequency	13 (27.1)	-	-	-	-
Urinary incontinence	9 (18.8)	4 (8.3)	1 (2.1)	-	-
Urinary retention	1 (2.1)	1 (2.1)	-	-	-
Urinary urgency	21 (43.8)	1 (2.1)	-	-	-
Ejaculation disorder (n = 20)	5 (25)	1 (5)	-	-	-
Erectile dysfunction (n=20)	2 (10.0)	6 (30.0)	2 (10.0)	-	-
Dyspareunia (n=18)	1 (5.6)	2 (11.1)	1 (5.6)	-	-
Vaginal dryness (n = 18)	1 (5.6)	3 (16.7)	1 (5.6)	-	-
Vaginal stricture (n = 18)	1 (5.6)	1 (5.6)	1 (5.6)	-	-
Treatment-related secondary malignancy	-	-	1 (2.0)	-	-
Other**	-	-	-	-	-

\* = data not available for all patients; \*\* = other: anal pain, anal, rectal or colonic haemorrhage, anal necrosis, anal or rectal fissure, anal ulcer, rectal obstruction or stenosis

CTCAE = Common Terminology Criteria for Adverse Events version 5.0.; G = grade

from 7.5 pre-treatment to 21.5 with > 5-year follow up ( $p = 0.008$ ). T1 and T5 comparison showed a small but significant deterioration of pain, fatigue and nausea ( $p = 0.09$ ,  $0.017$  and  $0.033$ , respectively) after treatment with longer follow-up.

According to QLQ-C30, our patient cohort had significantly lower QoL in comparison to the general Slovenian population (Table 4). Nearly all

functional scales' mean scores were lower with the exception of emotional function. Patients reported more fatigue, constipation, diarrhoea and financial problems. Compared to EORTC reference values for colorectal (CRC) cancer patients, our cohort had borderline significant lower cognitive functioning and reported higher financial problems.

**TABLE 4.** Health-related quality of life analysis: Mean scores comparisons 5 years after treatment with general Slovenian population<sup>38</sup> and with EORTC reference values for colorectal cancer patients<sup>39</sup> for all scales of EORTC QLQ-C30

Scale	5-year post-surgery mean (SD)	General Slovenian population mean (SD)	p value*	Colorectal reference values mean (SD)	p value*
Global health status/QoL	60.8 (26.1)	71.1 (21.4)	<b>0.035</b>	62.1 (23.4)	0.759
Functional scales					
Physical function	78.9 (24.5)	91.8 (14.0)	<b>0.006</b>	83.0 (21.1)	0.285
Role function	77.4 (26.0)	88.7 (20.1)	<b>0.022</b>	70.4 (32.8)	0.238
Emotional function	74.7 (25.0)	82.0 (18.5)	0.115	68.9 (24.5)	0.192
Cognitive function	78.0 (24.5)	90.2 (16.0)	<b>0.009</b>	85.2 (20.4)	0.052
Social function	78.5 (24.8)	90.9 (17.3)	<b>0.009</b>	76.0 (28.6)	0.629
Symptom scales					
Fatigue	29.4 (23.2)	19.8 (19.8)	<b>0.029</b>	34.7 (28.4)	0.302
Nausea/vomiting	6.5 (10.3)	3.3 (10.6)	0.097	7.3 (17.2)	0.796
Pain	21.0 (23.2)	14.5 (20.2)	0.130	24.0 (29.6)	0.575
Dyspnoea	10.8 (23.4)	5.3 (15.3)	0.204	17.4 (26.3)	0.160
Insomnia	30.1 (30.3)	19.8 (25.1)	0.067	30.5 (32.6)	0.946
Appetite loss	12.9 (22.2)	5.3 (15.5)	0.067	19.1 (30.2)	0.256
Constipation	20.4 (26.8)	6.9 (16.9)	<b>0.009</b>	15.8 (27.9)	0.363
Diarrhoea	16.1 (22.6)	4.2 (13.6)	<b>0.006</b>	16.6 (27.6)	0.920
Financial problems	22.6 (29.0)	6.6 (17.5)	<b>0.005</b>	13.6 (26.3)	0.059

\* = values (p < 0.050) are bolded

## Discussion

Preoperative use of IMRT for LARC is increasing rapidly with great variations in clinical practice among centres<sup>24</sup> indicating a lack of quality clinical studies reporting treatment outcome and toxicity for different fractionation regimes. To date, only four prospective phase II studies have been published with short-term outcome data after preoperative IMRT concomitant with capecitabine in patients with LARC<sup>9,16,25,26</sup>, but none of them reported long-term results. Our study is the first to report a 5-year treatment outcome with late toxicity and QoL.

With shorter treatment time and no dose escalation with SIB to primary tumour only, our pCR rate improved from 10% to 25.5%.<sup>16,27</sup> With dose escalation in a Chinese study (41 Gy elective; 56 Gy tumour/lymph node; 22 fractions) and a Spanish study (46 Gy elective; 57.5 Gy tumour/lymph nodes; 23 fraction) they reported 31% and 30.6% pCR, respectively.<sup>9,11</sup> The higher pCR rate can reflect higher BED in these trials, but pCR of 32.6% recently reported by Simson *et al.* in a prospec-

tive observational study with single target dose of 50.4 Gy in 28 fractions suggests other possible factors influencing treatment results, since there are important differences in target definition and treatment verification between studies.<sup>28</sup> With no boost to pathologic lymph nodes, but with detailed contouring guidelines, added internal safety margin and image-guided radiation therapy (IGRT), we have achieved an equal or better N downstaging rate (83%) in comparison to the Chinese and Spanish trials (79.2% and 47.2%, respectively) where a 5 mm uniform margin was used.

The encouraging total downstaging rate of 87% in our study translated into excellent 5-year OS, DFS and LC of 80.9%, 77.1% and 95.2%, respectively. OS and DFS were significantly higher compared to our historic cohort<sup>29</sup> (OS 61.4%, p = 0.03 and DFS 52.4%, p = 0.01). Studies with more intensified escalated IMRT regimes with added concurrent oxaliplatin<sup>12,30</sup>, are reporting 5-year OS of 63–82% and 5-year DFS of 60–66%, that are comparable to our study.

A reported death from cardiovascular disease in three male patients can probably explain why

male gender is significant prognostic factor for OS but not for DFS. Surprisingly, the type of operation significantly affected OS and DFS. In concordance with other studies, we found significant association between total downstaging and pN0 with the improvement of DFS<sup>31</sup>, but we found no predictive value for pCR, TRG prognostic group<sup>19</sup> or NAR prognostic group<sup>32</sup> as survival surrogates. However, we found excellent prognosis for the group of patients with pCR who had 91.7% 5-year OS and 100% 5-year DFS and 100% 5-year LC, confirming observations from other studies.<sup>33</sup>

Previously, we reported low acute toxicity of preoperative treatment and postoperative complications ( $G \geq 3$  of 4% and 8% respectively). All patients with LAR had protective stoma placement, so we recorded no anastomotic leakage, but with time, 4 (8%) cases of anastomotic dehiscence were detected, as expected from the published literature.<sup>34</sup> In median follow-up of 70 months, we recorded 17  $G \geq 3$  late adverse events in 12 patients (25% of patients who underwent surgery), with 15% of GI, 2% urinary and 8% sexual late toxicity, significantly lower than to our historic cohort (40%, 19.2% and 51.7%, respectively)<sup>29</sup> and less than 35% reported late surgical complications after 3D CRT concomitant with 5-Fu/oxaliplatin.<sup>35</sup> The only comparable IMRT study reporting late adverse events is a Belgian study, with preoperative IMRT-SIB to 46/55.2 Gy in 23 fractions and median 54 months of follow-up.<sup>10</sup> Their estimated  $G \geq 3$  late toxicity was 13% and is lower than the 25% observed in our study with much lower GI and GU toxicity rates (9% vs. 15% and 4% vs. 10%, respectively) compared to ours. With different recording of late effects in our study, the late toxicity could have been overestimated. Anastomotic dehiscence was discovered late, when protective stoma closure was planned and was not counted as a postoperative complication. Late events were recorded with the actuarial method, so faecal incontinence, although not present at the time of the last follow-up, occurred previously in two patients. Also, we had no data on sexual activity and GI disorder prior to treatment, so all GI events are counted as late sequelae, although no difference in sexual functioning before and 5 years after treatment was found on QoL analysis. Exclusion of anastomotic dehiscence and two cases of faecal incontinence decreases our rate of GI  $G \geq 3$  toxicity to 8%, which is in concordance with 9% in the Belgian study and 9% reported after 3D CRT.<sup>34</sup> The occurrence of  $G \geq 2$  late diarrhoea (10%) is also within the range of reported rates in the literature, with 9.5% of patients

from the EORTC 22921 trial who reported grade 2 diarrhoea or higher after 5-year follow-up.<sup>34</sup> The Spanish and Chinese investigators reported 2 and 4 fistulas in median follow-up at 17 m and 22 m. The occurrence of fistula in our study is similar, with 3 fistulas that occurred 29, 34 and 36 months after surgery, showing the importance of longer follow-up and subsequently underreporting of long toxicity events in clinical studies. Since the first publication with a reported sphincter preservation rate of 62% in our series, 6 patients with LAR ended up with permanent stoma due to faecal incontinence, anastomotic dehiscence and fistula, but still, we report a high rate of 5 y colostomy-free survival of 76%, comparable to other studies.<sup>35</sup> Regarding major toxicity, 1 patient (2%) died due to treatment-related toxicity, consistent with a 1.4% and 2% death rate in the EORTC 22921 and German CAO/ARO/AIO-94 trial, respectively.<sup>34,36</sup>

Our patient cohort have significantly lower quality of life compared to the general Slovenian population<sup>37</sup> according to EORTC QLQ-C30 scores comparison, with inferior global function and functional mean scales with problems with fatigue, constipation, diarrhoea and finance. However, comparison to EORTC reference scores<sup>38</sup> for CRC patients shows no difference in any of the QLQ-C30 items with borderline lower cognitive function, reflecting advanced patient age (median 75 years) at 5-year data collection. Time analysis of EORTC QLQ-C30 and QLQ-C29 median scores showed that improvement or deterioration of function/symptom appeared one year after treatment and remained stable with longer follow-up. We recorded improvement in body image and a drop of anxiety and as expected after surgery, there was less mucus/blood in stool, and after radiation patients reported hair loss. A significant rise in reported faecal incontinence/leakage, is in concordance with the reported late toxicity but late detection of urinary incontinence (significant after 5 but not 1 year) indicates the importance of long follow-up for reliable reporting of late toxicity. Contrary to 8% sexual late toxicity findings, there were no differences in sexual end points in the QoL analysis, reflecting the possibility of overestimation of these late events in our cohort.

Together with uncertainty in the reporting of late toxicity, the main limitation of our study design is the small sample size and lack of randomization. According to definition of "locally advanced rectal cancers" before new subgroup division consensus in 2013, we used the term locally advanced also for the intermediate/bad group, without additional



subdivision of T3 tumours. Nevertheless, our results are comparable to above mentioned studies, for they used the same definition in that time. The advantages of our study are the very strict radiotherapy protocol and quality control with the precise recording of acute and late toxicity events. In comparison to other IMRT studies for preoperative LARC, we were the only one not to intensify treatment with dose escalation and/or novel drug addition.

By shortening the overall treatment time using SIB, we reported excellent 25.5% pCR and after 5-year follow-up, our OS and DFS (80.9% and 77.1%, respectively) are in the survival range of more intensified treatments<sup>12,30</sup>, suggesting possible overtreatment for certain patients with LARC. In the era of high local control, more effort should be directed to reducing acute and late toxicity. Our fractionation regime showed a very low acute toxicity profile with a non-negligible late events rate. More high-quality data with longer follow-up is needed to determine the true effect on QoL and possibly determine relevant tolerances of the organ at risk for late consequences to optimize treatment planning.

## Conclusions

The results of this long-term study confirm that IMRT SIB is feasible for preoperative treatment of intermediate/locally advanced rectal cancer. By shortening the overall treatment time, without dose escalation, we achieved high pCR, five-year overall survival, disease-free survival and local control. Due to the favourable acute toxicity profile, our treatment regime is suitable for treatment intensification with another drug in addition to capecitabine. More long-term data is needed for late toxicity assessment.

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